ICMJE INTERNATIONAL COMMITTEE of MEDICAL JOURNAL EDITORS

ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

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4. Intellectual Property.

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Section 1. Identifying Information

1. Given Name (First Name)
Meixiao

2. Surname (Last Name)
Long

3. Date
09-March-2017

4. Are you the corresponding author? ☐ Yes ☑ No

Corresponding Author's Name
John Byrd

5. Manuscript Title
Ibrutinib Treatment Expands T-cell Number and Improves Function in Patients with Chronic Lymphocytic Leukemia

6. Manuscript Identifying Number (if you know it)
89756-JCI-CMED-RV-2

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Dr. Long has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name): Kyle
2. Surname (Last Name): Beckwith
3. Date: 12-March-2017
4. Are you the corresponding author? ☐ Yes ☑ No

Corresponding Author's Name: John Byrd

5. Manuscript Title: Ibrutinib Treatment Expands T-cell Number and Improves Function in Patients with Chronic Lymphocytic Leukemia
6. Manuscript Identifying Number (if you know it): 89756-JCI-CMED-RV-2

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Are there any relevant conflicts of interest? ☐ Yes ☑ No

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Dr. Beckwith has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name): Priscilla
2. Surname (Last Name): Do
3. Date: 13-March-2017

4. Are you the corresponding author? ☐ Yes ☑ No

Corresponding Author's Name: John C. Byrd

5. Manuscript Title: Ibrutinib Treatment Expands T-cell Number and Improves Function in Patients with Chronic Lymphocytic Leukemia

6. Manuscript Identifying Number (if you know it): 89756-JCI-CMED-RV-2

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? ☑ Yes ☐ No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
NCI	☑	☐	☐	☐		

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Dr. Do reports grants from NCI, during the conduct of the study; .

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Section 1. Identifying Information

1. Given Name (First Name)
Bethany

2. Surname (Last Name)
Mundy-Bosse

3. Date
10-March-2017

4. Are you the corresponding author? ☐ Yes ☑ No

Corresponding Author's Name
Dr. John Byrd

5. Manuscript Title
Ibrutinib Treatment Expands T-cell Number and Improves Function in Patients with Chronic Lymphocytic Leukemia

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1. Given Name (First Name)
Amber

2. Surname (Last Name)
Gordon

3. Date
10-March-2017

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Corresponding Author's Name
John Byrd

5. Manuscript Title
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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.
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Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name): Amy
2. Surname (Last Name): Lehman
3. Date: 15-March-2017
4. Are you the corresponding author? ☐ Yes ☑ No

Corresponding Author's Name: John Byrd

5. Manuscript Title: Ibrutinib Treatment Expands T-cell Number and Improves Function in Patients with Chronic Lymphocytic Leukemia
6. Manuscript Identifying Number (if you know it): 89756-JCI-CMED-RV-2

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? ☐ Yes ☑ No

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? ☐ Yes ☑ No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☑ No

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Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

☐ Yes, the following relationships/conditions/circumstances are present (explain below):

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Lehman has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name): Kami
2. Surname (Last Name): Maddocks
3. Date: 13-March-2017
4. Are you the corresponding author? ☐ Yes ☑ No

Corresponding Author's Name: John Byrd

5. Manuscript Title: Ibrutinib Treatment Expands T-cell Number and Improves Function in Patients with Chronic Lymphocytic Leukemia
6. Manuscript Identifying Number (if you know it): 89756-JCI-CMED-RV-2

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? ☐ Yes ☑ No

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? ☑ Yes ☐ No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Pharmacyclics	✓		✓		Research Funding
Merck	✓		✓		Research Funding
BMS	✓		✓		Research Funding
Pharmacyclics		✓			Consulting/Advisory
BMS		✓			Advisory
Janssen		✓			Advisory

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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☑ No

Section 5. Relationships not covered above

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Maddocks reports grants and non-financial support from Pharmacyclics, grants and non-financial support from Merck, grants and non-financial support from BMS, personal fees from Pharmacyclics, personal fees from BMS, personal fees from Janssen, outside the submitted work; .

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name): Carolyn
2. Surname (Last Name): Cheney
3. Date: 09-March-2017
4. Are you the corresponding author? ☐ Yes ☑ No

Corresponding Author's Name: John Byrd

5. Manuscript Title: Ibrutinib Treatment Expands T-cell Number and Improves Function in Patients with Chronic Lymphocytic Leukemia
6. Manuscript Identifying Number (if you know it): 89756-JCI-CMED-RV-2

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? ☐ Yes ☑ No

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Are there any relevant conflicts of interest? ☐ Yes ☑ No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☑ No

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Dr. Cheney has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name): Jeffrey
2. Surname (Last Name): Jones
3. Date: 09-March-2017
4. Are you the corresponding author? ☐ Yes ☑ No

Corresponding Author's Name: John Byrd

5. Manuscript Title: Ibrutinib Treatment Expands T-cell Number and Improves Function in Patients with Chronic Lymphocytic Leukemia
6. Manuscript Identifying Number (if you know it): 89756-JCI-CMED-RV-2

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? ☐ Yes ☑ No

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? ☑ Yes ☐ No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Janssen	☐	☑	☐	☑	advisory board member; research funding (to institution)
Pharmacyclics	☐	☑	☐	☑	advisory board member; research funding (to institution)
Abbvie	☐	☑	☐	☑	advisory board member; research funding (to institution)

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 4. Intellectual Property -- Patents & Copyrights

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Dr. Jones reports personal fees and other from Janssen, personal fees and other from Pharmacyclics, personal fees and other from Abbvie, outside the submitted work; .

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Joseph

2. Surname (Last Name)
Flynn

3. Date
11-March-2017

4. Are you the corresponding author? ☐ Yes ☑ No

Corresponding Author's Name
Meixiao Long

5. Manuscript Title
Ibrutinib Treatment Expands T-cell Number and Improves Function in Patients with Chronic Lymphocytic Leukemia

6. Manuscript Identifying Number (if you know it)
89756-JCI-CMED-RV-2

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Are there any relevant conflicts of interest? ☐ Yes ☑ No

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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☑ No

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Dr. Flynn has nothing to disclose.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes
Pending: The patent has been filed but not issued
Issued: The patent has been issued by the agency
Licensed: The patent has been licensed to an entity, whether earning royalties or not
Royalties: Funds are coming in to you or your institution due to your patent

ICMJE INTERNATIONAL COMMITTEE of MEDICAL JOURNAL EDITORS

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name): Leslie
2. Surname (Last Name): Andritsos
3. Date: 09-March-2017

4. Are you the corresponding author? ☐ Yes ☑ No

Corresponding Author's Name: John Byrd

5. Manuscript Title: Ibrutinib Treatment Expands T-cell Number and Improves Function in Patients with Chronic Lymphocytic Leukemia

6. Manuscript Identifying Number (if you know it): 89756-JCI-CMED-RV-2

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? ☑ Yes ☐ No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
Pharmacyclics	☑	☐	☐	☐		

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? ☐ Yes ☑ No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☑ No

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Section 6. Disclosure Statement

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Dr. Andritsos reports grants from Pharmacyclics, during the conduct of the study; .

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name): Farrukh
2. Surname (Last Name): Awan
3. Date: 10-March-2017

4. Are you the corresponding author? ☐ Yes ☑ No

Corresponding Author's Name: John Byrd

5. Manuscript Title: Ibrutinib Treatment Expands T-cell Number and Improves Function in Patients with Chronic Lymphocytic Leukemia

6. Manuscript Identifying Number (if you know it): 89756-JCI-CMED-RV-2

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? ☐ Yes ☑ No

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Are there any relevant conflicts of interest? ☑ Yes ☐ No

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Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Pharmacyclics	✓	✓			
Gilead		✓			
Innate Pharma	✓				

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☑ No

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Section 6. Disclosure Statement

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Dr. Awan reports grants and personal fees from Pharmacyclics, personal fees from Gilead, grants from Innate Pharma, outside the submitted work; .

Evaluation and Feedback

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ICMJE INTERNATIONAL COMMITTEE of MEDICAL JOURNAL EDITORS

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name): Joseph
2. Surname (Last Name): Fraietta
3. Date: 09-March-2017
4. Are you the corresponding author? ☐ Yes ☑ No

Corresponding Author's Name: John Byrd

5. Manuscript Title: Ibrutinib Treatment Expands T-cell Number and Improves Function in Patients with Chronic Lymphocytic Leukemia
6. Manuscript Identifying Number (if you know it): 89756-JCI-CMED-RV-2

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? ☑ Yes ☐ No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
University of Pennsylvania	☑	☐	☐	☐		

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Are there any relevant conflicts of interest? ☐ Yes ☑ No

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☑ Yes ☐ No

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Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments
Treatment of cancer using anti-CD19 chimeric antigen receptor	☐	☑	☑	☐	University of Pennsylvania	US 20150283178 A1

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Dr. Fraietta reports grants from University of Pennsylvania , during the conduct of the study; In addition, Dr. Fraietta has a patent Treatment of cancer using anti-CD19 chimeric antigen receptor licensed to University of Pennsylvania.

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ICMJE INTERNATIONAL COMMITTEE of MEDICAL JOURNAL EDITORS

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name): Carl
2. Surname (Last Name): June
3. Date: 15-March-2017

4. Are you the corresponding author? ☐ Yes ☑ No

Corresponding Author's Name: John Byrd

5. Manuscript Title: Ibrutinib Treatment Expands T-cell Number and Improves Function in Patients with Chronic Lymphocytic Leukemia

6. Manuscript Identifying Number (if you know it): 89756-JCI-CMED-RV-2

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Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
Novartis	☑	☐	☐	☐	Sponsored research grant	

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Novartis	☐	☐	☐	☑	IP licensed by University of Pennsylvania to Novartis	
Tmunity	☐	☐	☐	☑	Scientific founder with equity in Tmunity	

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Dr. June reports grants from Novartis, during the conduct of the study; other from Novartis, other from Tmunity, outside the submitted work.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Marcela

2. Surname (Last Name)
Maus

3. Date
09-March-2017

4. Are you the corresponding author? ☐ Yes ☑ No

Corresponding Author's Name
John Byrd

5. Manuscript Title
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Dr. Maus has nothing to disclose.

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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Section 1. Identifying Information

1. Given Name (First Name): Jennifer
2. Surname (Last Name): Woyach
3. Date: 13-March-2017
4. Are you the corresponding author? ☐ Yes ☑ No

Corresponding Author's Name: John Byrd

5. Manuscript Title: Ibrutinib Treatment Expands T-cell Number and Improves Function in Patients with Chronic Lymphocytic Leukemia
6. Manuscript Identifying Number (if you know it): 89756-JCI-CMED-RV-2

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? ☐ Yes ☑ No

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Are there any relevant conflicts of interest? ☑ Yes ☐ No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
	☑	☐	☐	☐	research funding from karyopharm, morphosys, abbvie, and acerta.

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☑ No

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advisory board for Janssen

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Dr. Woyach reports grants from null, outside the submitted work; and advisory board for Janssen .

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Section 1. Identifying Information

1. Given Name (First Name)
Michael

2. Surname (Last Name)
Caligiuri

3. Date
13-March-2017

4. Are you the corresponding author? ☐ Yes ☑ No

Corresponding Author's Name
John Byrd

5. Manuscript Title
Ibrutinib Treatment Expands T-cell Number and Improves Function in Patients with Chronic Lymphocytic Leukemia

6. Manuscript Identifying Number (if you know it)
89756-JCI-CMED-RV-2

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? ☐ Yes ☑ No

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Are there any relevant conflicts of interest? ☐ Yes ☑ No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☑ No

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Dr. Caligiuri has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name): Amy
2. Surname (Last Name): Johnson
3. Date: 13-March-2017
4. Are you the corresponding author? ☐ Yes ☑ No

Corresponding Author's Name: John Byrd

5. Manuscript Title: Ibrutinib Treatment Expands T-cell Number and Improves Function in Patients with Chronic Lymphocytic Leukemia
6. Manuscript Identifying Number (if you know it): 89756-JCI-CMED-RV-2

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? ☐ Yes ☑ No

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Are there any relevant conflicts of interest? ☐ Yes ☑ No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☑ Yes ☐ No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
Use patent on Ibrutinib as immune modulation agent	☑	☐	☐	☐			

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Dr. Johnson reports In addition, Dr. Johnson has a patent Use patent on Ibrutinib as immune modulation agent pending.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name): Natarajan
2. Surname (Last Name): Muthusamy
3. Date: 10-March-2017

4. Are you the corresponding author? ☐ Yes ☑ No

Corresponding Author's Name: John Byrd

5. Manuscript Title: Ibrutinib Treatment Expands T-cell Number and Improves Function in Patients with Chronic Lymphocytic Leukemia

6. Manuscript Identifying Number (if you know it): 89756-JCI-CMED-RV-2

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name): John
2. Surname (Last Name): Byrd
3. Date: 09-March-2017
4. Are you the corresponding author? ☑ Yes ☐ No
5. Manuscript Title: Ibrutinib Treatment Expands T-cell Number and Improves Function in Patients with Chronic Lymphocytic Leukemia
6. Manuscript Identifying Number (if you know it): 89756-JCI-CMED-RV-2

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Are there any relevant conflicts of interest? ☐ Yes ☑ No

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☑ Yes ☐ No

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Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
Use patent on Ibrutinib as immune modulation agent	☑	☐	☐	☐		All revenue goes to pharmaceutical company	

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Byrd reports In addition, Dr. Byrd has a patent Use patent on Ibrutinib as immune modulation agent pending.

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