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Graphical abstract





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3 CDKL3 is a targetable regulator of cell cycle progression in cancers

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37 Conflict of Interest

- 38 We declare the following financial interests/personal relationships which may be
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- 41

42 Abstract

Cell cycle regulation is largely abnormal in cancers. Molecular understanding and 43 44 therapeutic targeting of the aberrant cell cycle are essentially meaningful. Here, we identified an under-appreciated Serine/Threonine kinase, CDKL3 (Cyclin-Dependent 45 Kinase Like 3), crucially drives the rapid cell cycle progression and cell growth in 46 cancers. Mechanism-wise, CDKL3 localizes in the nucleus and associates with 47 48 specific cyclin to directly phosphorylate Retinoblastoma (Rb) for quiescence exit. In parallel, CDKL3 prevents the ubiquitin-proteasomal degradation of CDK4 by direct 49 50 phosphorylation on T172 to sustain G1 phase advancement. The crucial function of 51 CDKL3 in cancers was demonstrated both in vitro and in vivo. We also designed, synthesized and characterized a first-in-class CDKL3-specific inhibitor, HZ1. HZ1 52 53 exhibits greater potency than CDK4/6 (Cyclin-Dependent Kinase 4/6) inhibitor in pancancer treatment by causing cell cycle arrest and overcomes the acquired resistance 54 of the latter. In particular, CDKL3 has significant clinical relevance in colon cancer, 55 56 and the effectiveness of HZ1 was demonstrated by murine and patient-derived 57 cancer models. Collectively, this work presented an integrated paradigm of cancer cell cycle regulation and suggested CDKL3-targeting as a feasible approach in 58 59 cancer treatment.

61 Maintext

62 Introduction

63 The cell cycle consists of multiple modules and machineries to regulate the cell division in a precise manner (1, 2). A complete cell cycle is composed of G1, S, G2 64 and M phases sequentially. The progression of cell cycle is primarily governed by 65 cyclins and Cyclin-Dependent Kinases (CDKs) at various stages (2, 3). For instance, 66 67 the pair of CDK4/6-cyclin D is known to maintain the G1 phase forwarding (4). At the G1/S phase checkpoint, the combination of CDK1/2-cyclin E/A takes over the 68 69 responsibility for G1-to-S transition (4, 5). In both processes, the phosphorylation of Rb protein by CDKs is essentially required (3, 4). The phosphorylated Rb (i.e., on 70 71 S807/S811) can dissociate with the transcription factor E2F, leading to the expression 72 of multiple cell cycle related genes which are required in the ensuing phases (6).

73

74 Besides the active cycling, cells can exit the cell cycle and stay in a resting/quiescent state called G0 phase. Cells in this state are generally found non-dividing and non-75 76 growing (7). The regulation of G0 entry and exit remains obscure. An earlier literature 77 suggested CDK3-cyclin C to support the G0-to-G1 transition by phosphorylation of 78 Rb (8). However, CDK3 in most laboratory mice harbors the natural loss-of-function 79 mutation with no phenotypic defects (2, 4). Hence, the biological importance of CDK3 in G0 phase exit was undermined by this phenomenon. Despite that new factors 80 81 have been reported to regulate this transition in recent years (9, 10), the 82 understanding of this process is still largely lacking (7).

84 Cell cycle is often dysregulated in cancer (2, 7). Normal cells have clear schedule on 85 their cell cycle entry and exit. However, various types of (epi)genetic changes allow the cancer cells to bypass the resting phase (7). Thereby, in cancers, the accelerated 86 and uncontrolled cell cycle is widely seen, which is favored for the infinite mitogenic 87 proliferation (7). Targeting cell cycle progression has been shown effective in cancer 88 89 therapy (11, 12). Due to the central role in cell cycle regulation and the enzymatic 90 nature of CDKs, multiple small molecule inhibitors against CDKs are designed and 91 tested in clinical trials or have been clinically approved in cancer treatment (11, 12). 92 However, clinical feedbacks pointed out the challenge of the acquired drug resistance of these inhibitors (13). The discovery of new targets to overcome it is hence of 93 94 greater need and value.

95

CDKL3 belongs to Cyclin-Dependent Kinase Like (CDKL) kinase subfamily, which is 96 97 part of CMGC Ser/Thr protein kinase superfamily. CDKL kinases share the 98 conserved α -helix on the kinase domain of CDK as the putative cyclin-binding site 99 (14-16). However, whether CDKL could bind cyclins or function via cyclins is 100 unknown. In fact, CDKL family is overall underexplored in terms of both function and 101 mechanism. CDKL5 has been shown related to neurological disorders, whereas CDKL1 was reported to regulate cilia formation (17-19). Despite CDKL3 was reported 102 103 to associate with cancer progression (20-22), the mechanism requires further scrutiny with rigorous evidence. 104

105

Here, we report that CDKL3 directly promotes cell cycle progression in cancer. Two 106 107 parallel regulatory paths exist molecularly. First, CDKL3 couples with cyclin A2 to directly lead to Rb phosphorylation and G0-to-G1 transition. Ablation of CDKL3 108 results in the cell cycle exit and growth retardation in cancers. Also, CDKL3 can 109 phosphorylate CDK4 on T172 with the assistance of cyclin A2. This leads to the 110 consequence that CDK4 avoids undergoing ubiquitin-proteasomal dependent 111 112 degradation, thereby sustaining G1 phase progression. E3 ubiquitin ligases such as 113 Trim28 cause CDK4 ubiquitination in the absence of T172 phosphorylation. Moreover, we rationally designed and characterized small-molecule inhibitor HZ1 specifically 114 against CDKL3. HZ1 showed strong tumor-suppressing effect with IC₅₀ at nanomolar 115 116 range and the potential to overcome the resistance of CDK4/6 inhibitor, and manifested satisfactory tumor clearance on laboratory animals and patient-derived 117 samples. Together, we have discovered a series of mechanistic findings on the 118 119 critical role of CDKL3 in cell cycle regulation and revealed the cyclin-dependent 120 function of CDKL subfamily kinase. Besides the value in basic biomedical research, this work also presented and proposed an alternative cancer therapeutic approach by 121 122 targeting CDKL3-mediated cancer cell cycle progression.

123

124 **Results**

125 CDKL3-loss abrogates cancer cell growth by impeding G0-to-G1 transition

126 Similar to CDK family kinases, CDKL kinases contain the conserved putative cyclin

binding α -helix (14-16, 19) (Figure 1A). CDKL3 also has a potential nucleus-127 localization sequence (NLS) by prediction that is conserved among different species 128 129 (Supplemental Figure 1A). We speculated that CDKL3 might be ready to localize in the nucleus and regulate cell cycle in a manner reminiscent of CDKs. CDKL3's 130 subcellular location was inspected to test this assumption. Immunofluorescence has 131 demonstrated that endogenous CDKL3 localizes largely in the nucleus with a small 132 amount in the cytoplasm (Figure 1B and Supplemental Figure 1, B and C). And this 133 nuclear localization was largely cell cycle phase-independent (Supplemental Figure 134 135 1D). We found that CDKL3 could no longer effectively dwell in the nucleus after internal deletion of the putative NLS (Figure 1C). After the insertion of the NLS of 136 CDKL3, tandem GFPs (Green Fluorescent Proteins), which could only stay in the 137 138 cytosol, became able to translocate into the nucleus (Figure 1C).

139

Next, we sought to determine whether CDKL3 affected the cell cycle progression. 140 141 CRISPR-Cas9 system was employed to generate multiple CDKL3 knockout (KO) cancer cell lines (Supplemental Figure 1, E-G). According to the flow cytometry 142 143 experiment of BrdU/Propidium Iodide (PI) dual-staining, KO of CDKL3 significantly raised the fraction of G0/G1 phase while significantly decreasing the percentage of S 144 145 phase under both normal and serum starvation conditions (Figure 1, D-F and Supplemental Figure 1, H-K). The recovery of CDKL3 effectively reversed the 146 147 situation (Figure 1, D-F). Immunofluorescent intensity of BrdU incorporation per cell and the number of BrdU-positive cells supported the stalled G0/G1-to-S transition 148

149 (Figure 1, G-I and Supplemental Figure 1, L-O) (23).

150

151 We then had a closer look at G0/G1 phase. By serum starvation, the cells can be synchronized to G0 phase, the release of which was able to reveal the process of cell 152 cycle re-entry from quiescence (24). In this assay, we visualized that upon CDKL3 153 KO the appearance of phosphorylated Rb (pRb) and cyclin D1 were substantially 154 delayed and diminished (Figure 2A and Supplemental Figure 1, P-R). Even after 155 156 release for thirty hours, both pRb and cyclin D1 showed minimal levels when CDKL3 157 was ablated (Figure 2A and Supplemental Figure 1, P-R). These two served as strong markers of cell cycle progression from G0/G1 towards S phase (2, 3). Later, 158 we further discriminated G0 and G1 phases by Pyronin Y/PI staining (8, 10). The flow 159 160 cytometry result showed that in multiple cell lines CDKL3 ablation markedly caused an increased proportion of G0-phased cells with strong statistical significance (Figure 161 2, B-D and Supplemental Figure 1, S-V). The protein and transcription levels of 162 163 several G0 phase markers also increased after CDKL3 ablation, which supported the flow cytometry data (Figure 2, E and F and Supplemental Figure 1, W-Z) (25, 26). 164 Moreover, depletion of CDKL3 resulted in evident growth defects in these cancer cell 165 lines, which was in accordance with the cell cycle arrest (Figure 2G and 166 Supplemental Figure 1, AA and AB). Further evidence to support that CDKL3 167 positively regulated cancer cell growth was provided by the three-dimensional colony 168 169 formation (Figure 2, H and I and Supplemental Figure 1, AC-AE). Together, we 170 demonstrate CDKL3 promotes the cell cycle and cell growth in multiple cancer cells.

171 CDKL3-loss leads to the severe delay of G0-to-G1 and G1-to-S transitions and thus
 172 prevents cancer cell cycle progression.

173

174 CDKL3 phosphorylates Rb for cell cycle entry when coupling with cyclin A2

175 We further interrogated the mechanism of cell cycle regulation by CDKL3. As CDKL3 was positively correlated with pRb level, we hypothesized Rb as a direct substrate of 176 CDKL3 (Figure 2A and Supplemental Figure 1, Q and R). Due to the presence of the 177 178 conserved α-helix on the kinase domain, we first questioned whether CDKL3 could 179 interact with any cyclin before further investigation. We observed that several cyclins, including A2, B1, D1, and E1, bound CDKL3 both exogenously and endogenously 180 through co-immunoprecipitation (co-IP) (Figure 3, A and B and Supplemental Figure 181 182 2A). The binding between CDKL3 and cyclin A2 remained unchanged at different cell cycle phases, as the binding of other cyclins to CDKL3 moderately fluctuated 183 (Supplemental Figure 2B). When the conserved α -helix was truncated, the binding 184 185 was greatly impaired (Figure 3C). However, the point mutation of RXL motif, another 186 motif suggested to be involved in cyclin binding (27, 28), showed minimal difference from wild-type (WT) CDKL3 for the binding (Figure 3C). In addition, we demonstrated 187 that endogenous CDKL3 can bind to Rb (Figure 3B). The mapping study pointed out 188 189 that the carboxyl-terminal of CDKL3, which also contained the NLS motif, was primarily involved in Rb binding (Figure 3, D and E). CDK inhibitors such as p21, p27 190 191 and p16 were shown incapable of interacting CDKL3 (Supplemental Figure 2C) (2).

192

To determine whether Rb was the direct substrate of CDKL3, we exercised in vitro 193 kinase assay. Two truncations (a.a. 379-928 and 792-928) containing the 194 195 phosphorylation sites (S807/S811) were widely accepted in vitro because the size of full-length Rb was too large for bacterial expression (6, 29, 30) (Figure 3F). We 196 constructed both truncations and purified the expressed protein from E.coli. In the 197 absence of cyclin, it was evident that CDKL3 was unable to phosphorylate Rb (Figure 198 3G). Introduction of cyclin A2 and E1 into the reaction system could lead to 199 substantial phosphorylation of Rb on S807/S811 by CDKL3, whereas B1 and D1 200 201 behaved otherwise (Figure 3G and Supplemental Figure 2D). The CDKL3-cyclin A2 pair was comparable to the traditional CDK4/6-cyclin D1 coupling in Rb 202 phosphorylation strength in vitro (Figure 3H and Supplemental Figure 2E). 203

204

We intended to evaluate the functionality of a kinase-dead mutant to confirm the 205 contribution of CDKL3's kinase activity to Rb phosphorylation. However, the 206 207 activation site of CDKL3 was unidentified and the loss-of-function mutant thus 208 remained to be determined. We made a number of point mutations on the putative ATP binding site (K33), the conserved aspartic acid (D125) and the MAPK (mitogen-209 activated protein kinase)-mimicking activation loop (T158/Y160) of CDKL3 210 211 (Supplemental Figure 2F). Via in vitro kinase assay, it was discovered that K33E and D125K both led to the loss of CDKL3 kinase activity (Figure 3I). Meanwhile, the 212 213 conserved α -helix truncation mutant ($\Delta \alpha$), which lost cyclin binding capacity, was unable to phosphorylate Rb in vitro in the presence of cyclin (Figure 3J). Neither did 214

the combinatory mutant of K33E/D125K or Aa promote Rb phosphorylation nor 215 restore the cell cycle progression in CDKL3 KO cells (Supplemental Figure 2G). We 216 217 next determined whether both cyclin A2 and E1 could co-operate with CDKL3 for cell cycle regulation in cell. In U2OS cell where CDKL3 was ectopically expressed, 218 phosphorylation of Rb was apparently accelerated after serum starvation and release 219 (Figure 3K). This effect, however, could only be neutralized by the depletion of cyclin 220 A2 instead of E1 (Figure 3K and Supplemental Figure 2, H-K). And only when cyclin 221 222 A2 was depleted did BrdU incorporation, a clear indication of cell cycle progression 223 into S phase, become impaired (Figure 3, L and M) (23). Since CDK2 also requires cyclin A2 to function at G1/S checkpoint, we further interrogated the relationship 224 between CDKL3 and CDK2. The in vitro competition assay revealed that cyclin A2 225 226 has higher affinity toward CDKL3 than CDK2 (Supplemental Figure 2, L and M). Since the amount of cyclin A2 is low at cell quiescence, we hypothesize that cyclin A2 227 preferably interacts with CDKL3 for cell cycle entry. When cyclin A2 gradually 228 229 becomes abundant along with the progression of cell cycle, CDK2 then will receive 230 sufficient cyclin A2 for activation, hence functioning as the critical factor in G1-to-S 231 transition (Supplemental Figure 2N).

232

Together, these results indicate that CDKL3 serves as the kinase that can directly phosphorylate Rb on the conventional S807/S811 sites. In this event, CDKL3 uses the conserved putative cyclin-binding α -helix in its kinase domain to interact with cyclins, in particular cyclin A2, to enable the phosphorylation of Rb for cell cycle initiation. The fruit of this event can be further handed into the classical CDK-cyclin
pairs to sustain the hyper-phosphorylation of Rb and eventually overcome the G1/S
phase checkpoint.

240

241 CDKL3 phosphorylates CDK4 on T172 to promote CDK4 stability via K48-linked

242 poly-ubiquitination prevention

We found an unexpected but intriguing behavior when we monitored pRb. In 243 244 all CDKL3 KO cell lines, CDKL3 ablation remarkably reduced the endogenous CDK4 245 protein levels (Figure 4A). After multiple attempts, we realized the pattern was highly reproducible. To investigate it, we first checked the transcription of CDK4. The RT-246 qPCR results showed that CDKL3 ablation did not affect CDK4 transcriptionally 247 248 (Supplemental Figure 3A). Alternatively, the cycloheximide (CHX)-blocking experiment was used to determine the protein stability of CDK4. It appeared that 249 CDK4 protein stability and CDKL3 had a strong positive correlation, supporting our 250 251 finding in Figure 4A (Figure 4B and Supplemental Figure 3B). Further demonstration 252 of protein ubiquitination revealed that the presence of CDKL3 markedly reduced the poly-ubiquitination of CDK4 (Figure 4C). The particular change of poly-ubiquitination 253 on CDK4 was K48-linked (Figure 4C), which agreed with the well-known biological 254 255 function of K48-linked poly-ubiquitination in protein degradation (31).

256

We used the in vitro kinase assay to determine if CDK4 was the direct substrate of CDKL3. According to previous researches, CDK7 was able to phosphorylate CDK4

on a conserved threonine, T172 (32, 33). This event was reported to promote CDK4 259 activity and vitally control cell cycle. We therefore interrogated whether CDKL3 could 260 261 phosphorylate the same site. Enlightened by our earlier finding, we tested the four cyclins that could bind CDKL3 by in vitro kinase assay and used CDK7 as the 262 stringent control. The data showed that cyclin A2 and D1 could both assist WT 263 CDKL3 to directly phosphorylate CDK4 on T172 (the kinase-inactive CDK4 was used 264 as the substrate to avoid self-phosphorylation) (34), while the kinase-dead mutant 265 266 K33E/D125K of CDKL3 failed to accomplish so (Figure 4, D and E). Co-IP assays 267 also showed that the carboxyl region of CDKL3 interacted with CDK4, fulfilling the requirement of potential kinase-substrate relationship (Figure 3B and 4F). These 268 observations were further consolidated by the data that the kinase-dead mutant of 269 270 CDKL3 behaved deficient to phosphorylate CDK4 or to reduce CDK4 ubiquitination endogenously (Figure 4G and Supplemental Figure 3C). 271

272

273 According to these clues, we proposed that phosphorylation on T172 of CDK4 could 274 promote its protein stability by avoidance of ubiquitination. By the KO-and-rescue 275 approach, we observed that the phosphorylation-gain mimicking mutant T172E 276 showed minimal ubiquitination, whereas the phosphorylation-loss mimicking mutant T172A was highly poly-ubiquitinated (Figure 5A and Supplemental Figure 3D). 277 Treatment of proteasome inhibitor MG132 could substantially stabilize T172A to the 278 same extent of WT and T172E, which confirmed the involvement of proteasomal 279 degradation pathway (Figure 5B and Supplemental Figure 3D). From the CHX-280

blocking assay, it was seen that T172E was markedly stabler than CDK4 WT, 281 whereas T172A only maintained a basal protein level, indicating its low protein 282 283 stability (Figure 5C and Supplemental Figure 3, E-G). T172E in CDKL3 KO cells appeared as stable as WT CDK4 in CDKL3-rescued cells (Figure 5D and 284 Supplemental Figure 3H). T172A, on the other hand, showed imminent protein 285 degradation either in the presence or absence of CDKL3 (Figure 5D and 286 Supplemental Figure 3H). In CDK4 KO cells, rescue of T172A failed to recover G1 287 progression as shown by both immunoblotting and flow cytometry, which further 288 289 illustrated the functional importance of the phosphorylation (Figure 5, E and F and Supplemental Figure 3I). Regarding the functional relevance of cyclins in the cellular 290 context, depletion of cyclin A2 was incapable of stabilizing CDK4 even in the 291 292 presence of surplus CDKL3 (Figure 5G and Supplemental Figure 3J). Since the depletion of cyclin D1 was reported to cause the strong compensation by cyclin D2 293 and D3 (35-37), the loss of function study of D1 was involuted due to the technical 294 295 limitation. We therefore knocked down all three to confirm the involvement of cyclin D (Supplemental Figure 3K). The CHX-blocking data revealed that depletion of cyclin D 296 did not affect the stability of CDK4 either with endogenous or ectopically expressed 297 CDKL3 (Figure 5H and Supplemental Figure 3L). 298

299

300 Combining the findings on the phosphorylation of Rb and CDK4 by CDKL3, we 301 tested the regulatory role of CDKL3 in cancer cell proliferation in vivo. On the nude 302 mice, we transplanted the parental, CDKL3 KO, and CDKL3 overexpressed (OE)

strains of DLD-1 cells. It was convincingly proved that transplanted CDKL3 KO DLD-303 1 cells hardly developed into tumors (Figure 5, I and J and Supplemental Figure 3M). 304 305 Overexpression of CDKL3, on the other hand, considerably enlarged the tumors in vivo when compared with the parental group (Figure 5, I and J and Supplemental 306 Figure 3M). As expected, this effect can be effectively neutralized by the knockout of 307 CDK4 (Supplemental Figure 3, N-P). In the transplanted tumors, we also observed 308 that pRb and CDK4 were markedly reduced when CDKL3 was depleted by both 309 immunohistochemistry (IHC) and immunoblotting (Figure 5K and Supplemental 310 311 Figure 3Q). Conversely, pRb and CDK4 levels were further elevated under CDKL3 overexpression condition (Figure 5K and Supplemental Figure 3Q). This data 312 demonstrated that CDKL3 caused cancer cell proliferation by promoting cell cycle 313 314 progression in vivo, which concurred with the in vitro conclusions. Taken together, we found that CDKL3 directly phosphorylates T172 on CDK4. This process requires 315 cyclin A2 and prevents the ubiquitin-proteasomal degradation of CDK4. Consequently, 316 317 CDKL3 can assist the cell cycle progression and cell proliferation in cancer via "dual 318 paths" - CDK4 stabilization and Rb phosphorylation in parallel. CDKL3 therefore can 319 both trigger G0-to-G1 transition and sustain G1 progression.

320

Trim28 ubiquitinates CDK4 for protein degradation in the absence of T172
 phosphorylation

323 We next set out for the specific protein that senses CDK4 phosphorylation and 324 governs CDK4 ubiquitination. Stub1 and UBE3A, two E3 ubiquitin ligases, have been

linked to CDK4 ubiquitination in prior researches (38, 39). We verified that CDK4 WT 325 and T172A can be ubiquitinated by both ligases, with T172A exhibiting higher poly-326 327 ubiquitination level than WT CDK4 (Figure 6A). When T172 was mutated to glutamic acid, neither could Stub1 nor UBE3A be operative (Figure 6A). From Mass-spec 328 database on protein-protein interaction (40), we also identified a nuclear-localized 329 and CDK4-binding E3 ligase, Trim28 (41), to be capable of CDK4 ubiquitination 330 (Figure 6A). Like Stub1 and UBE3A, Trim28 failed to ubiquitinate CDK4 T172E while 331 332 being active on CDK4 WT and T172A (Figure 6A). The co-IP assay showed that 333 T172E had poorer binding capacity with all three E3 ligases (Figure 6B), suggesting 334 that the phosphorylation of T172 might be unfavored by these E3 ligases in terms of the substrate recognition. 335

336

Trim28 was further characterized. Depletion of Trim28 attenuated the overall poly-337 ubiquitination of CDK4 (Figure 6, C and D and Supplemental Figure 4, A-D). 338 339 Additionally, Trim28 enzymatic-dead mutant (42) (C65A/C68A) failed to ubiquitinate 340 CDK4, supporting the requirement for the ligase activity (Figure 6E). The direct enzyme-substrate relationship between Trim28 and CDK4 was further validated by in 341 vitro ubiquitination assay, in which CDK4 was purified from bacteria with zero basal 342 343 ubiquitination background (Figure 6F). Endogenously, Trim28 depletion reduced CDK4 ubiquitination and increased CDK4 protein stability, whereas overexpressed 344 345 Trim28 further diminished CDK4 stability (Figure 6G and Supplemental Figure 4E). Function-wise, perturbation of Trim28 (overexpression or depletion), however, did not 346

affect cancer cell growth or cell cycle (Supplemental Figure 4, F-H). We hypothesized 347 that this was due to the redundancy or compensation of CDK6 or other E3 ligases. 348 Also, by literature search, we realized that Trim28 had several cell cycle related 349 proteins as substrates (such as Rb and cyclin A2) (41, 43, 44). Thus, Trim28 could 350 exert complicated influence on cell cycle, which is likely context-dependent. 351 Nevertheless, this mystery does not affect the claim of CDK4 being a direct substrate 352 of Trim28. CDK4 ubiquitination (and ensuing degradation) is sensitive to the 353 phosphorylation on T172, but unspecific to a particular E3 ubiquitin ligase. 354

355

356 **CDK inhibitors do not affect CDKL3 kinase activity**

We next sought to find the small molecule to block CDKL3 in order for cell cycle 357 358 arrest. To date, CDK4/6 inhibitors and CDK1/2 inhibitors are widely used in clinical therapies or under clinical trials due to their pan-cancer killing effects (Figure 7A) (11, 359 12). We thus tested the possible inhibitory effect of these inhibitors on CDKL3. From 360 361 the in vitro kinase assay, we observed that CDK2 inhibitor (45) (Cdk1/2 Inhibitor III) 362 could effectively inhibit CDK2 but exerted no effect on CDKL3 when phosphorylating Rb (Figure 7B). Since CDK1/2 primarily governs the entry of S phase from G1, we 363 364 used double thymidine blocking to synchronize cells right at the G1/S checkpoint to 365 explore this process (46). The results supported our earlier discovery that CDKL3 primarily functioned in G0-to-G1 transition and G1 phase. CDKL3 ablation or 366 367 overexpression had minimal impact on S phase entry of the cancer cells (Figure 7C and Supplemental Figure 5, A-C). In all circumstances, the treatment of CDK2 368

inhibitor led to the complete disappearance of pRb and cyclin D1 (Figure 7C and
 Supplemental Figure 5, A-C). This data validates that CDKL3 cannot replace the
 function of CDK1/2 at G1-to-S transition.

372

Meanwhile, we tested CDK4/6 inhibitor (47) in vitro. The data demonstrated that the 373 CDK4/6 inhibitor Palbociclib could only effectively target CDK4 rather than CDKL3 for 374 375 inhibition (Figure 7D). To observe G0-to-G1 transition and the progression of G1 376 phase, serum starvation was employed to synchronize cells at G0. In the cancer cells, 377 the accumulation of pRb halted when CDKL3 was ablated and accelerated with ectopic CDKL3 (Figure 7E and Supplemental Figure 5, D-F). However, in all 378 conditions, treatment with CDK4/6 inhibitor resulted in the elimination of pRb and 379 380 cyclin A2 (Figure 7E and Supplemental Figure 5, D-F). This came as a surprise because, according to our hypothesis, CDKL3 functions prior to CDK4/6; as a result, 381 Rb phosphorylation by CDKL3 should appear shortly after serum release in the 382 383 presence of CDK4/6 inhibitor but unlikely further augment in an extended period. 384 From literature reading and the data analysis, we also noticed a well-documented side-effect that cyclin A2 can be ameliorated by CDK4/6 inhibitor treatment (48, 49). 385 It was very likely that CDK4/6 inhibition disabled E2F for CCNA2 (gene name of 386 387 cyclin A2) expression. Though the kinase activity of CDKL3 was not affected by Palbociclib, it required cyclin A2 to function (Figure 3K). Thus, why Rb remained 388 unphosphorylated could be explained (Figure 7E and Supplemental Figure 5, D-F). 389 When we ectopically expressed cyclin A2, CDKL3 indeed could partially sustain Rb 390

phosphorylation and G1 progression (Figure 7F). But CDKL3 could hardly
 compensate the function of CDK4/6 in sustaining substantial Rb phosphorylation
 required for cell cycle advancement in full.

394

Together, these results suggest that neither CDK1/2 nor CDK4/6 inhibitor affects CDKL3 kinase activity. CDKL3 cannot replace the function of CDK1/2 in G1/S transition or that of CDK4/6 in maintaining adequate Rb phosphorylation in G1. The design and characterization of a first-in-class CDKL3 inhibitor is necessary, which can potentially provide an alternative therapeutic path in cancer treatment by causing cell cycle arrest.

401

402 **Design and characterization of CDKL3 inhibitor**

According to the previous publication, a potential CDKL3 inhibitor candidate caught 403 our attention. From a chemical screening study, it was discovered that a compound 404 405 named ASC67 could bind to the catalytic pocket of CDKL3 kinase domain with 406 satisfactory selectivity over other related kinases, yet the functional demonstration was absent (Figure 8A) (19). Following that, we intended to generate an efficient 407 CDKL3 inhibitor based on this chemical backbone in order for cell cycle arrest. As the 408 409 first step, the original compound (ASC67) was synthesized and the performance was evaluated (50). The addition of ASC67 could gradient-dependently diminish the 410 411 phosphorylation of Rb by CDKL3 while remaining uninfluential to CDK4 or CDK2 in vitro (Figure 8B). The effectiveness of this chemical was then evaluated using a 412

413 variety of cancer cell lines including the estrogen-responsive (ER+) breast cancer where Palbociclib (Palbo) is administrated clinically (51). All cell lines that were 414 415 treated with ASC67 for 24 and 72 hours shown significant tumor-suppressing effects, with IC₅₀ values with the median of 500 nanomolar (Figure 8, C-F and Supplemental 416 Figure 6, A-F). As a direct comparison, the clinically approved CDK4/6 inhibitor had 417 the IC₅₀ value ranging from 1 to 5µM in different cell lines (Figure 8, C-F and 418 Supplemental Figure 6, A-F) (47, 52-55). This information made it evident that ASC67 419 420 holds considerable promise in cancer treatment and can be used as a backbone 421 compound for further optimization.

422

We further conducted rational design to enhance the affinity and effectiveness of 423 424 CDKL3 inhibitor based on ASC67. From the structural analysis, we realized the central pyrimidine group of ASC67 forms multiple key hydrogen bonds with CDKL3, 425 which was not recommended to be replaced (Figure 8A). And the cyclopentane 426 427 group forms π - π interaction with the side chain of Phe80 to stabilize the hydrophobic 428 pocket residence (Figure 8A). We hence focused on the cyan group of this molecule and proposed several polar functional groups for substitution (Figure 8G). All 429 molecules were produced by organic synthesis. After tests, a few replacements 430 431 showed lessened CDKL3 inhibitory impact. Fortunately, C3I-22, one of the compounds, had a much lower IC₅₀ value for clearing cancer cells compared to the 432 433 backbone molecule (Figure 8, H-J). From the numbers, we could see C3I-22 had increased the effectiveness for 3-4 folds compared to ASC67 (Figure 8, H-J and 434

Supplemental Figure 6, A and D). Consistently, C3I-22 also showed a lower threshold 435 for substrate phosphorylation in vitro (Figure 8K). Biophysical detection (by Surface 436 Plasmon Resonance assay) clearly indicated that the binding affinity between CDKL3 437 and C3I-22 was 5-fold higher than that between CDKL3 and ASC67 (Figure 8, L and 438 M and Supplemental Figure 6, G and H). To test the cellular selectivity of the ASC67 439 derivatives, we designed and synthesized the orthogonal PEG (polyethylene glycol)-440 441 linked biotinylated derivatives (C3I-PEG3-Biotin) for cellular content pull-down assay by streptavidin resins (Supplemental Figure 6I). After treatment to the cells, the 442 443 protein bound to C3I-PEG3-Biotin was harvested after cell lysis and dialysis. It showed that cellular CDKL3 could be effectively captured by C3I-PEG3-Biotin 444 instead of CDK2 and CDK4/6 (Figure 8N). Hence, we argue that the selectivity of 445 446 ASC67 derivatives is adequate. We further genetically modulated CDKL3, CDK4 and Rb in the cells to examine whether C3I-22 restrained cell cycle specifically through 447 CDKL3-mediated events. As expected, cells with ectopic expression of CDKL3 and 448 449 CDK4 both required greater amount of C3I-22 to suppress cell growth; knockout of 450 CDK4 or increased amount of Rb led to reduced IC_{50} value; depletion of CDKL3 or Rb made the cells insensitive to C3I-22 treatment (Table 1 and Supplemental Figure 451 1, E and F and Supplemental Figure 3E and Supplemental Figure 6, J-S). These 452 453 results thus further confirmed the specificity of C3I-22 and our mechanistic model. Together, we demonstrated the feasibility of CDKL3 inhibitors and the improved 454 455 potency of C3I-22 with satisfactory specificity.

C3I-22 (HZ1) antagonizes cancer growth via cell cycle arrest in multiple models 457 Besides the cell growth suppression effect, we further examined C3I-22 molecularly. 458 Under the cellular context, C3I-22 treatment reduced the levels of pRb and CDK4 459 (Figure 9A and Supplemental Figure 7A), and caused the failure of rapid cell cycle 460 progression in cancer cells shown by flow cytometry (Figure 9, B and C and 461 Supplemental Figure 7, B and C). Besides, the treatment of C3I-22 could overcome 462 the acquired drug resistance of CDK4/6 inhibitor in ER+ breast cancer (Table 2 and 463 Supplemental Figure 7D). The cell cycle of the resistant cell lines showed high 464 sensitivity to C3I-22 treatment, thus further promising the therapeutic value of C3I-22 465 (Figure 9, D and E and Supplemental Figure 7, E and F). Further interrogation 466 revealed that not only could C3I-22 remove the existing resistance of CDK4/6 467 468 inhibitor but also sensitize the cells to CDK4/6 inhibitor (Figure 9, F-I) (56). We reason that C3I-22 could act on a different stage (G0-to-G1 transition) from CDK4/6 469 inhibitor and meanwhile suppress the elevated CDK4/6 protein level, which was often 470 471 the cause of acquired resistance (13, 57). On a different note, improved potency was not seen in the compounds which was further developed based on C3I-22 472 (Supplemental Figure 7, G and H). Thus, we focused on C3I-22 for functional tests in 473 474 this study.

475

We examined C3I-22's ability to inhibit tumors in vivo. We first tested the therapeutic effect of C3I-22 in the xenograft model. Administration of a very low dosage of C3I-22 could already effectively clear the tumor burden of the transplanted DLD-1 cells and

mitigate the levels of pRb and CDK4, and within the period of treatment the mice 479 displayed no severe liver and kidney toxicity (Figure 9, J-L and Supplemental Figure 480 7, I-K). Another mouse model was also employed to demonstrate the efficacy of this 481 inhibitor. In Apc^{min/+} mice, a well-documented model which forms spontaneous 482 colorectal cancer (58, 59), treatment of C3I-22 significantly reduced the tumor 483 number and volume (Figure 9, M and N and Supplemental Figure 7, L and M). 484 485 Histological data also showed that the intestinal architecture restored and the proliferation marker Ki67 diminished after treatment (Supplemental Figure 7N). Thus, 486 we eventually renamed C3I-22 as HZ1 for further characterization. Together, we have 487 rationally designed and developed a first-in-class CDKL3 inhibitor HZ1. HZ1 showed 488 substantial promise as a therapy for cancer since it effectively blocks CDKL3-489 490 mediated cell cycle progression both in vitro and in vivo.

491

492 **HZ1** has strong clinical implication in colon cancer treatment

We analyzed multiple cancer clinical database to further demonstrate the potential 493 494 clinical importance of CDKL3. The transcriptome databases showed that CDKL3 expressed much higher in the colon cancer tissue than the normal adjacent tissues 495 496 (Figure 10A) (60). This was confirmed by immunohistochemical images of the colon 497 cancer patients (Figure 10, B and C and Supplemental Table 1). Significant difference between cancer and normal tissues was also seen for CDK4 and pRb 498 499 (Supplemental Figure 8, A-D). Both CDK4 and pRb positively correlates with CDKL3 in the colon cancer tissues (Supplemental Figure 8, E and F). Additionally, a strong 500

501 correlation existed between the high level of CDKL3 and poor prognosis for colon 502 cancer patients (Figure 10D) (61). All these clues together suggested CDKL3 was 503 unfavored in colon cancer.

504

The patient-derived organoid is widely acknowledged and welcomed for both 505 fundamental and translational cancer research due to the strong clinical relevance 506 507 (62). We collected the primary colon cancer samples from the patients and cultured them according to the protocols (62). After seven days of culturing, two out of three 508 509 samples successfully formed structured organoids which could be stably maintained (Supplemental Figure 8G). We first depleted CDKL3 in the organoids to observe the 510 effects (Supplemental Figure 8, H and I). After CDKL3 depletion, the organoids 511 512 dissociated and failed to survive (Figure 10, E and F). Immunoblotting verified that both CDK4 and pRb levels clearly dwindled (Figure 10G). Afterwards, we tested the 513 514 potency of HZ1 on these samples. With the increased administered doses, the colon 515 cancer organoids clearly showed a rising proportion of dissociation and death (Figure 516 10, H-J and Supplemental Figure 8, J-L). Treatment of HZ1 could eradicate almost 100% of the patient tumor organoids with 72 hours at the concentration of 5µM 517 (Figure 10, H-J and Supplemental Figure 8, J-L). The proliferation marker Ki67 518 519 vanished after 24 hours of HZ1 treatment as shown by immunofluorescence (Figure 10K). Under 1µM HZ1 administration for 5 days, 80-90% colon cancer organoids 520 521 died (Figure 10, L and M and Supplemental Figure 8, M and N). Similar to the data 522 obtained from cell lines, HZ1 markedly decreased the levels of pRb and CDK4

(Figure 10N and Supplemental Figure 80), hence effectively triggering cell cycle 523 arrest and tumor death. We also interrogated the response of the cancer organoids 524 525 to HZ1 with the genetical modulations of CDKL3, CDK4 or Rb. Consistent with the cell line data, cancer organoid became more sensitive to HZ1 with the depletion of 526 527 CDK4 or increased expression of Rb (Figure 10, O and P and Supplemental Figure 8, P-V). Forced expression of CDKL3 and CDK4 both required higher HZ1 528 concentration to inhibit organoid growth (Figure 10O and Supplemental Figure 8, R-529 530 U). And organoids with CDKL3 or Rb depletion were insensitive to the treatment 531 (Figure 10P and Supplemental Figure 8, P-T and V). Collectively, we have 532 established a robust clinical connection between CDKL3 and colon cancer overall. CDKL3 inhibitor such as HZ1 could efficiently clear the tumor burden derived from 533 534 the colon cancer patient. These results strongly implicate that HZ1 and CDKL3-535 targeting strategy has great translational potential in clinic.

536

537 Discussion

In this work, we identified CDKL3 as a crucial regulator of cell cycle progression in cancer, by phosphorylation of Rb to initiate cell cycle from quiescence and preserving CDK4 to maintain G1 phase advancement. These two events both contribute to the accelerated cell cycle that is required for rapid growth in cancers. The proposed small molecule inhibitor against CDKL3 was shown for its great potency in cancer treatment. We discovered that CDKL3 may function in pair with cyclin A2 at the G0to-G1 transition. Unlike cyclin D, cyclin A2 is present in G0 phase, which is readily engaged by CDKL3. Therefore, CDKL3-cyclin A2 together run the first leg in the
"relay race" of cell cycle. CDKL3-loss caused severely delayed G0-to-G1 transition
and alleviated cancer cell growth.

548

Meanwhile, CDKL3 can give a big push to the "second leg runner" CDK4. Through 549 direct phosphorylation on CDK4 T172, CDKL3 prevents CDK4 from ubiquitin-550 proteasomal degradation in cancer cells, thus preserving sufficient amount of CDK4 551 552 for G1 phase "highway" racing. Though phosphorylation of T172 was uncovered 553 previously as a demonstration of CDK4 activation (32, 33), the underlying mechanism remained obscure. It was found in this work that phosphorylation of T172 554 can stabilize CDK4 by precluding the recognition of E3 ubiquitin ligase including 555 556 UBE3A, Stub1 and Trim28. Among them, Trim28 is identified as an E3 ligase of CDK4 in this work, which causes the K48-linked poly-ubiquitination of CDK4 in the 557 absence of T172 phosphorylation. 558

559

As a member of CDKL kinase subfamily, the functional and molecular understandings of CDKL3 are both under-explored. We have provided direct evidence that CDKL kinase can enter the nucleus and function inside. Also, we discovered that the conserved putative cyclin-binding α -helix on CDKL kinase in fact binds to cyclins and is functionally required. After rational design and synthesis, we also presented the potent CDKL3 inhibitor HZ1 for cancer treatment. This inhibitor is first-in-class, and has very low dosage requirement in cancer growth repression both in vitro and in

vivo. After testing on patient-derived samples, we believe that HZ1 genuinely provides a promising perspective in cancer therapy. HZ1 can also be used together with other inhibitors as combinatory therapy and more importantly, may overcome the resistance of CDK4/6 inhibitors.

571

For future direction, the functional importance can be strengthened by transgenic 572 animals. In a separate study, we have generated the Cdkl3 flox mice and discovered 573 574 the important role of Cdk/3 in fatty liver diseases. We are generating the cancer 575 model mice with the conditional-knockout of Cdk/3 to validate the cell-cycle promoting effect of CDKL3. Also, how CDKL3 is regulated appears very intriguing to 576 us, which is the next goal to pursue. And further design and tests of CDKL3 inhibitors 577 578 based on HZ1 should be conducted for higher potency and specificity. Possible offtarget effect of these molecules should be carefully examined in the preclinical 579 580 models.

581

582 Methods

583 Sex as a biological variant

584 In this study, sex was not considered as a biological variable.

585 Cell lines

586 This study used HEK293T (ATCC, Cat#CRL-11268), U2OS (ATCC, Cat#HTB-96),

587 DLD-1 (NCACC, China, Cat#TCHu134), HeLa (ATCC, Cat#CCL-2), MDA-MB-231

588 (ATCC, Cat#HTB-26), MCF-7 (ATCC, Cat#HTB-22) and T47D (ATCC, Cat#HTB-133)

cells. All cell lines (parental and genetically modified) were cultured in DMEM (Gibco) medium supplemented with 10% FBS (fetal bovine serum) and 100 mg/mL of penicillin/streptomycin/glutamine (Gibco) in humidified incubators with 5% CO₂ at 37°C. All cell information is listed in Supplemental Table 2.

593 Animal work and treatment

BALB/cA-nude mice (Strain NO 13001A) were purchased from Beijing HFK 594 Bioscience CO., LTD. C57BL/6J WT (Strain NO.N000013) and C57BL/6J Apcmin/+ 595 mice (Strain NO.T001457) were purchased from GemPharmatech (Nanjing, China). 596 597 The nucleotides encoding the 850th amino acid of Apc gene was mutated to a stop 598 codon, resulting in early termination of translation. After crossing with C57BL/6J WT mice, we generated mice with the genotype Apc^{+/+} and Apc^{min/+}. The example of 599 600 mouse genotyping is showed in Supplemental Figure 7L, and the primers are listed in the Supplemental Table 2. 601

602 Antibodies and immunoblotting

Cells were prepared by PLB as described above and resuspended in SDS loading 603 604 buffer, boiled at 95°C for 5 minutes to prepare protein samples. Protein samples were separated by using 6%-10% SDS-PAGE gels and PVDF membranes (Millipore) was 605 606 used to transfer. Then, PVDF membranes were blocked in 3% BSA which was 607 diluted with TBS-T buffer and incubated with indicated primary antibodies at 1:1,000 dilution overnight at 4°C. After 3 times washing by TBS-T for 10 minutes each time, 608 609 the membranes were treated with corresponding secondary antibodies at 1:5,000 dilution at room temperature (RT) for 45 minutes. Before exposure, the membranes 610

were washed 3 times by TBS-T buffer for 10 minutes each time. The Tanon
chemiluminescent substrate kit and Tanon 5200 Chemiluminescence Imaging
System were used. Protein levels were quantified by ImageJ. All antibody information
is listed in Supplemental Table 2. The polyclonal CDKL3 antibody was customized
and produced by ABclonal. All other antibodies are commercial.

616 **Statistics**

617 Image J was used to quantify the results of immunoblotting, BrdU immunofluorescence and colony formation assay. GraphPad Prism 8.0 software was 618 used for statistical analyses. BrdU immunofluorescence results analysis: 3 fields 619 620 were randomly selected and about 100 cells were counted per field to calculate the proportion of BrdU-positive cells and mean BrdU intensity per cell. Experimental 621 622 results were shown as the mean ± SD and n value of each set was indicated in the panel. One-way ANOVA was performed for statistical analysis. Flow cytometry results 623 analysis: The analysis was performed using FlowJo version 10.0.7r2. Experimental 624 625 results were shown as the mean \pm SD, n=3 replicates, and two-tailed Student's t test 626 (if 2 sets of data) or one-way ANOVA (if 3 or more sets) was performed for statistical analysis. Protein immunoblotting results quantification: The results of immunoblotting 627 628 results were quantified with Image J. The protein expression values in CHX-blocking 629 assay were normalized to those of GAPDH or tubulin. The protein expression values of pRb were normalized to those of Rb. Two-tailed Student's t test was performed for 630 631 statistical analysis. MTT assay: The OD₄₉₀ values at each time point was plotted in Prism. Experimental results are shown as the mean ± SD, n=3 replicates, and two-632

way ANOVA was performed for statistical analysis. Quantitative real-time PCR (RT-633 qPCR): The gene expression values were normalized to those of GAPDH. And data 634 processing was performed using the 2^{-ΔΔCt} method. Experimental results are shown 635 as the mean ± SD, n=3 replicates, and one-way ANOVA was performed for statistical 636 analysis. Colony number: Used Image J to analyze the colony formation results. 637 Experimental results are shown as the mean \pm SD, n=3 replicates, and one-way 638 ANOVA was performed for statistical analysis. Tumor volumes of athymic nude 639 mouse : Tumor volume was calculated using the formula: $\frac{1}{2}$ (Length × Width²). 640 Images were drawn after obtaining the tumor volumes in combination with the 641 642 corresponding time points. Experimental results are shown as the mean \pm SD, n=10, and two-way ANOVA was performed for statistical analysis. Tumor weight of athymic 643 644 nude mouse: The tumor weight was measured after sacrificing the nude mice and dissecting the tumor. Images were drawn based on the weight results. Experimental 645 results are shown as the mean ± SD, n=10, and two-tailed Student's t-test (if 2 sets 646 647 of data) or one-way ANOVA (if 3 sets) was performed for statistical analysis. Tumor number and volume in the intestines of Apc^{min/+} mice : After the end of experiment, 648 the intestines of Apc^{min/+} mice under different treatment were removed. The number 649 650 and volume of different groups were recorded and measured. For tumor number, 651 experimental results are shown as means ± SD , n=5 per group, by one-way ANOVA analysis. For tumor volume, experimental results are shown as means ± SD, by one-652 way ANOVA analysis. *Apc*^{+/+}+PBS group, n=3; *Apc*^{min/+}+PBS group, n=71; 653 Apc^{min/+}+C3I-22 group, n=10. Superplot analysis was performed according to the 654

instruction (63). Semi-quantitative scoring of immunohistochemistry results: 655 Experimental results are shown as the mean ± SD, n=5 replicates, and two-tailed 656 Student's t test was performed for statistical analysis. The transcriptome database 657 analysis: The expressions of CDKL3 mRNA level were acquired from TNMplot (60) 658 and TCGA datasets. After normalized, P values were tested with Student's t-test or 659 one-way ANOVA with post hoc Tukey's H SEM test between groups using GraphPad 660 661 Prism 8. As shown in Figure 10A, Left: normal n=41, cancer n=282; Middle: normal n=41, cancer n=41; Right: normal n=377, cancer n=1450, metastatic n=99. Analysis 662 of the expression correlation of CDKL3 with CDK4 and pRb: Immunohistochemistry 663 scores were used for correlation analysis by two-tailed Spearman correlation, n=5. p 664 values < 0.05 were considered to be statistically significant. 665

666 Study Approval

The procedures performed on patient tissue samples including organoid culturing and immunohistochemistry staining were in accordance with the ethical standards of EC-2023-KS-O43 (China Medical University). Written informed consent of the patient was received prior to participation. The general patient information is listed in Supplemental Table 1.

Production of mice and all research protocols in the study were approved by the Institutional Animal Care and Use Committee (IACUC) of Northeastern University under protocol number NEU-EC-2022A019S and NEU-EC-2022A048. In this study, all animals received humane care according to the criteria outlined in the "Guide for the Care and Use of Laboratory Animals".

677 Data availability

- All data is available in this manuscript. All materials are available upon reasonable
- 679 request from the authors. All the data used to generate graphs are provided in the
- 680 Supporting Data Values file.

682 Author Contributions

R.S. and S.W. designed this study. H.Z., J.L., S.Z., L.M., Z.P., H.Y., C.M., Y.W., Q.H.
and Z.L. performed the experiments and analyzed the data. X.Z., L.C., L.L., T.F., D.G.,
L.Y., X.P., C.D., S.W. and R.S. wrote and revised the manuscript. S.W. and R.S.
oversaw this project. H.Z. focused on the mechanistic study and J.L. focused on the
biology, who share equal contribution as co-first authors. H.Z. started and followed
through the whole study and J.L. joined the study two years later than H.Z. Hence,
H.Z. is placed in front of J.L.

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Figure 1. CDKL3-loss causes cell cycle arrest in cancers. (A) Overlay of the 884 kinase domains of CDK1 (pale grey, PDBID: 4YC3) and CDKL3 (purple, PDBID: 885 3ZDU). (B) Immunostaining showing the nuclear-localization of endogenous CDKL3. 886 (C) Fluorescent images of GFP-labeled proteins confirming the NLS function of 887 888 CDKL3. (D) Representative flow cytometry results of U2OS cells with BrdU-889 FITC/Propidium Iodide (PI) dual staining. (E, F) Statistical analysis of the flow cytometry results in **D** showing CDKL3 ablation significantly increased G0/G1 890 percentage. Error bar means ± SD, n=3, by one-way ANOVA. CDKL3 KO-1 were 891 abbreviated as CDKL3 KO in sequel experiments. (G, H) Representative 892 immunofluorescent images of BrdU in U2OS cells under normal (G) or starvation (H) 893 conditions. (I) Superplot analysis of the number of BrdU-positive cells and mean 894 BrdU intensity per cell in **G** and **H**. Error bar means ± SD, triplicated, by one-way 895 896 ANOVA. n value in the panel represents the total number of cells. All images in the same panel are under the same amplification scales. ns, not significant; *, p<0.05; **, 897 p<0.01; ***, p<0.001; ****, p<0.0001. 898



Figure 2. CDKL3-loss retards cancer cell growth by impeding G0-to-G1 901 transition. (A) Immunoblotting of multiple cell cycle related proteins after serum 902 starvation and release. pRb: pS807/pS811 Rb. (B) Representative flow cytometry 903 results of U2OS cells with Pyronin Y/PI dual staining. (C, D) Statistical analysis of B 904 905 showing CDKL3 ablation significantly increased G0 proportion. Error bar means ± SD, 906 n=3, by one-way ANOVA. (E) Immunoblotting showing CDKL3 ablation or starvation increased the protein levels of G0 markers, HES1 and p27, in U2OS cells. (F) RT-907 pPCR assay showing the transcription of G0 markers genes (CDKN2B, CDKN2A, 908 CDKN1B and HES1) increased in the absence of CDKL3 in U2OS cells. CCND1 was 909 used as the marker of G1 phase. Error bar means \pm SD, n=3, by one-way ANOVA. 910 (G) MTT assay showing the growth of U2OS cells. Error bars mean ± SD, n=3, two-911 way ANOVA. (H, I) Statistical analyses of the colony numbers under three-912 913 dimensional culturing of U2OS (H) and DLD-1 (I) cells. Error bar means \pm SD, n=3, by one-way ANOVA. ns, not significant; *, p<0.05; **, p<0.01; ***, p<0.001; ****, 914 915 p<0.0001.



Figure 3. CDKL3 phosphorylates Rb for cell cycle entry when coupling with 917 cyclin A2. (A) Co-IP assay showing exogenous CDKL3 binds to cyclin A2, B1, D1 918 and E1. (B) Co-IP assay showing endogenous CDKL3 binds to endogenous cyclin 919 A2, B1, D1 and E1, as well as Rb and CDK4. (C) Co-IP assay showing the truncation 920 921 of the putative cyclin binding α -helix on the kinase domain of CDKL3 ($\Delta \alpha$) abrogated 922 CDKL3 binding to cyclins. RXL-AAA: R148A/T149A/L150A/R510A/K511A/L512A. (D) 923 Schematic drawing of the segments of CDKL3. (E) Co-IP assay showing the carboxyl 924 region of CDKL3 binds to Rb. (F) Schematic drawing of the commonly-used segments of Rb in vitro. S807 and S811 are the major phosphorylation sites. (G) In 925 vitro kinase assay showing CDKL3 phosphorylates Rb in the presence of cyclin A2 926 927 and E1. (H) In vitro kinase assay showing CDKL3 phosphorylates Rb to the similar extent of CDK4/6. (I) In vitro kinase assay showing CDKL3 K33E and D125K mutants 928 929 lost the capacity to phosphorylate Rb. TXY-AAA: T158A/D159A/Y160A, 5A: 930 K33A/D125A/T158A/D159A/Y160A. (J) In vitro kinase assay showing CDKL3 $\Delta \alpha$ mutant lost the capacity of Rb phosphorylation. (K) Immunoblotting assay showing 931 CDKL3 promoted the initial Rb phosphorylation depending on cyclin A2 after serum 932 933 starvation and release in U2OS cells. (L) Representative images of 934 immunofluorescence of BrdU in U2OS cells. (M) Superplot analysis of the number of BrdU-positive cells and mean BrdU intensity per cell in L. Error bar means ± SD, 935 triplicated, by one-way ANOVA. n value in the panel represents the total number of 936 cells. ns, not significant; **, p<0.01; ****, p<0.0001. Red asterisks represent the target 937 938 protein bands.



Figure 4. CDKL3 phosphorylates CDK4 on T172 to stabilize CDK4. (A) CDKL3 940 knockout reduced the protein levels of endogenous CDK4 and CDK6 in multiple cell 941 lines. The levels of CDK1/2/3 remained unaffected. (B) The CHX-blocking assay of 942 endogenous CDK4 protein showing CDK4 protein stability was positively correlated 943 944 with CDKL3 level. Error bar means ± SD, by one-way ANOVA (C) Ubiquitination 945 assay of CDK4 showing the presence of CDKL3 specifically reduced K48-linked polyubiquitination of CDK4. K63: a Ub mutant with all Lys mutated to Arg except Lys63. 946 K48: a Ub mutant with all Lys mutated to Arg except Lys48 (D, E) In vitro kinase 947 assay showing CDKL3 phosphorylates CDK4 on T172 in the presence of cyclin A2 948 and D1 (D). And K33E/D125K mutant lost the capacity (E). The kinase-dead mutant 949 of CDK4 (D158N) was used as substrate to avoid self-phosphorylation. (F) Co-IP 950 assay revealing the carboxyl region of CDKL3 is primarily involved in CDK4 binding. 951 952 (G) Ubiquitination assay of endogenous CDK4 in the presence of WT CDKL3 and K33E/D125K. K33E/D125K lost the capacity to reduce CDK4 ubiquitination. MG132 953 was pretreated to maintain the same protein level. Red asterisks represent the target 954 protein bands. *, p<0.05; **, p<0.01. 955

Figure 5



DLD-1

Figure 5. Phosphorylation on T172 prevents the ubiquitin-proteasomal 957 degradation of CDK4 and secures the function of CDK4. (A) Ubiquitination assay 958 of CDK4 WT, T172A and T172E in CDK4 KO-and-rescued HEK293T cells. 959 Ubiquitination was enhanced for T172A and reduced for T172E. MG132 was 960 961 pretreated to maintain the same protein level. The rescue of CDK4 WT, T172A and T172E was approximately at the same level with the endogenous CDK4. (B) 962 Immunoblotting assay showing MG132 treatment stabilizes CDK4 T172A in CDK4 963 KO-and-rescued HEK293T cells. CDK4 T172E was insensitive to MG132 treatment. 964 (C) The CHX-blocking assay showing CDK4 T172E had high protein stability 965 whereas T172A had low protein stability in CDK4 KO-and-rescued DLD-1 cells. (D) 966 The CHX-blocking assay showing CDK4 T172E remained stable when CDKL3 was 967 ablated. And the stability T172A was unaffected by CDKL3 presence. (E) 968 969 Immunoblotting assay showing CDK4 T172A failed to promote high level of Rb phosphorylation after serum starvation and release in CDK4 KO-and-rescued DLD-1 970 cells. (F) Statistical analysis of the flow cytometry results showing CDK4 T172A failed 971 972 to promote G1 phase progression. Error bar means \pm SD, n=3, by one-way ANOVA. 973 (G, H) The CHX-blocking assay showing the stabilization of CDK4 by CDKL3 was 974 dependent on cyclin A2 (G) instead of cyclin D (H). (I, J) Quantitative analyses of the tumor volume (I) and weight (J) of tumors formed by the subcutaneously transplanted 975 DLD-1 cells. (I): Error bars mean ± SD, n=10, two-way ANOVA. (J): Error bar means 976 \pm SD, n=10, by one-way ANOVA. (K) Representative IHC staining and hematoxylin-977 eosin staining images of subcutaneously transplanted DLD-1 cells. ns, not significant; 978 *, p<0.05; **, p<0.01; ***, p<0.001; ****, p<0.0001. All CHX-blocking assays were 979 980 analyzed by one-way ANOVA.

Figure 6



Figure 6. Trim28 ubiguitinates CDK4 for protein degradation in the absence of 982 T172 phosphorylation. (A) Ubiquitination assay showing Trim28, UBE3A and Stub1 983 ubiquitinated CDK4 WT and T172A instead of T172E. MG132 was pretreated to 984 maintain the same protein level. (B) Co-IP assay of CDK4 and CDK4 mutants with 985 986 UBE3A, Stub1 and Trim28 showing the stronger binding of T172A and weaker 987 binding of T172E with the E3 ligases. MG132 was pretreated to maintain the same protein level. (C) Ubiquitination assay of endogenous CDK4 under Trim28 988 knockdown and overexpression conditions. Trim28 was positively correlated with the 989 ubiquitination of CDK4. MG132 was added to maintain the same protein level. (D) 990 Ubiquitination assay showing the ubiquitination of CDK4 (WT and mutants) 991 diminished under Trim28 knockdown condition. MG132 was pretreated to maintain 992 the same protein level. (E) Ubiguitination assay showing the ubiguitination of CDK4 993 994 by Trim28 required the enzymatic activity of Trim28. C65A/C68A: Trim28 enzymatic dead mutant. MG132 was pretreated to maintain the same protein level. (F) In vitro 995 ubiquitination assay showing Trim28 required ligase activity to ubiquitinate CDK4. (G) 996 Statistic analysis of CHX-blocking assay showing endogenous CDK4 stability was 997 998 negatively correlated with Trim28. By one-way ANOVA. **, p<0.01; ****, p<0.0001.



USO2 CDKL3 OE

U2OS CDKL3 OE

+ cyclin A2 OE

Figure 7. CDK inhibitors do not affect CDKL3 kinase activity. (A) Schematic 1000 drawing of the cell cycle inhibition by CDK4/6 inhibitor and CDK1/2 inhibitor. CDK1/2 1001 inhibitor III: Cdk1/2 inhibitor; Palbociclib: CDK4/6 inhibitor. (B) In vitro kinase assay 1002 showing CDK2 inhibitor had no effect on the kinase activity of CDKL3 when 1003 1004 phosphorylating Rb. (C) Immunoblotting of multiple cell cycle related proteins with 1005 double thymidine blocking and release and CDK1/2 inhibitor III treatment (1.2µM) under parental, CDKL3 knockout or overexpression conditions in U2OS cells. CDKL3 1006 1007 cannot affect G1-to-S transition. (D) In vitro kinase assay showing CDK4/6 inhibitor had no effect on the kinase activity of CDKL3 when phosphorylating Rb. (E) 1008 Immunoblotting of multiple cell cycle related proteins after serum starvation and 1009 release and Palbociclib treatment (0.8µM) under parental, CDKL3 knockout or 1010 overexpression conditions in U2OS cells. CDKL3 cannot compensate the inhibition of 1011 1012 CDK4/6. (F) Immunoblotting assay showing CDKL3 can partially maintain Rb phosphorylation and G1 progression upon overexpression of cyclin A2, but cannot 1013 fully compensate the function of CDK4/6 after serum starvation and release in U2OS 1014 1015 cells. Red asterisks represent the overexpressed Myc-tagged cyclin A2.



Figure 8. Design and characterization of CDKL3 inhibitor. (A) Structure of the 1017 kinase domain of CDKL3 with ASC67. Yellow dashes represent the potential 1018 hydrogen bonds between CDKL3 and ASC67. PDBID: 3ZDU. (B) In vitro kinase 1019 assay showing ASC67 specifically inhibited the kinase activity of CDKL3 to 1020 1021 phosphorylate Rb dose-dependently. (C-F) Tumor-suppressing effects of Palbociclib 1022 and ASC67 treatments under different conditions. MCF-7 is an estrogen-responsive 1023 breast cancer cell line which is used as a direct comparison. (G) The synthesis route and chemical structures of ASC67, C3I-22, C3I-42, and C3I-50. The ASC67 and 1024 derivatives were synthesized via two steps. The compounds 1 and 2 reacted at the 1025 presence of DIPEA to obtain the intermediate 3. Then, the intermediate 3 can be 1026 1027 substituted by different aniline derivatives 4 to afford ASC67 and its derivatives. (H-J) Tumor-suppressing effects of C3I-22 in different cancer cells at 24h (H) and 72h (I) 1028 1029 and other derivatives at 72h (J). (K) In vitro kinase assay showing C3I-22 has stronger CDKL3-inhibitory function than ASC67. (L, M) Dissociation constants of 1030 CDKL3/ASC67 (L) and CDKL3/C3I-22 (M) binding acquired from Surface Plasmon 1031 1032 Resonance (SPR). (N) Cellular pull-down assay showing C3I inhibitor has great 1033 selectivity in cells. All IC₅₀ analysis was triplicated.

1035 Table 1. List of IC₅₀ values of C3I-22 in different genetically-modified U2OS and

DLD-1 cells.

Cell lines	IC₅₀ (µM), 24h	IC₅₀ (µM), 72h
U2OS		
Parental	1.317	0.415
CDKL3 KO	Insensitive	Insensitive
CDKL3 OE	6.93	7.826
CDK4 KO	0.484	0.23
CDK4 OE	6.855	3.735
Rb KO	Insensitive	Insensitive
Rb OE	0.678	0.165
DLD-1		
Parental	0.6	0.147
CDKL3 KO	Insensitive	Insensitive
CDKL3 OE	11.151	9.586
CDK4 KO	0.303	0.079
CDK4 OE	7.315	4.931
Rb KO	Insensitive	Insensitive
Rb OE	0.108	0.051



DLD-1

Figure 9. C3I-22 (HZ1) antagonizes cancer growth via cell cycle arrest in 1038 multiple models. (A) Immunoblotting assay showing C3I-22 treatment (100nM) 1039 reduced the levels of pRb and CDK4 after serum starvation and release in DLD-1 1040 cells. (B, C) Flow cytometry results of BrdU/PI dual-staining showing C3I-22 1041 1042 treatment (100nM) caused cell cycle arrest in DLD-1 cells. (B), the representative 1043 result. (C), the statistical analysis. Error bar means \pm SD, n=3, by two-tailed Student's t-test. (D, E) Flow cytometry of BrdU/PI dual-staining showing combinatorial 1044 treatment of Palbociclib and C3I-22 effectively caused cell cycle arrest at G0/G1 1045 phase in the resistant MCF7 (**D**) and T47D (**E**) cells. Error bar means \pm SD, n=3, by 1046 one-way ANOVA. (F, G) MTT assay showing C3I-22 treatment abolished the 1047 acquired resistance of Palbociclib in MCF7 (F) and T47D (G) cells. Error bars mean ± 1048 SD, n=3, two-way ANOVA. (H, I) MTT assay showing C3I-22 treatment sensitized 1049 1050 MCF7 (H) and T47D (I) cells to Palbociclib. Error bars mean ± SD, n=3, two-way ANOVA. (J, K) Quantitative analyses of the tumor volume (J) and weight (K) of 1051 subcutaneously transplanted DLD-1 cells under different treatment. Tumor volume: 1052 1053 Error bars mean \pm SD, n=10, two-way ANOVA. Tumor weight: Error bar means \pm SD, 1054 n=10, two-tailed Student's t-test. (L) Representative IHC staining and hematoxylin-1055 eosin staining images of subcutaneously transplanted DLD-1 cells under different treatment. (M, N) Tumor number (M) and volume (N) in the intestines of Apc^{min/+} mice 1056 1057 under different treatments. **M**, error bar means \pm SD, n=5, by one-way ANOVA. **N**, error bar means \pm SD, 5 mice per group, by one-way ANOVA, Apc^{+/+}+PBS, n=3; 1058 Apc^{min/+}+PBS, n=71; Apc^{min/+}+C3I-22, n=10. Palbo: Palbociclib. All images in the 1059 same panel are under the same amplification scales. ns, not significant; *, p<0.05; **, 1060 1061 p<0.01; ***, p<0.001; ****, p<0.0001.

1063 Table 2. List of IC₅₀ values of Palbociclib in parental or resistant hormone-

Cell lines	IC₅₀ (µM), 24h	IC₅₀ (µM), 72h
MCF7		
Parental	2.878	2.57
Resistant	12.44	10.54
Resistant+C3I-22	1.535	1.341
T47D		
Parental	2.147	1.857
Resistant	11.832	12.019
Resistant+C3I-22	1.732	1.038

1064 sensitive breast cancer cell lines (MCF7 and T47D).

1065 C3I-22 was administrated at 100nM.



Figure 10. HZ1 has strong clinical implication in colon cancer treatment. (A) 1067 Multiple transcriptome databases showing CDKL3 had higher expression in colon 1068 cancer than the normal tissues. Error bar means ± SD, by two-tailed Student's t-test 1069 (if 2 sets of data) or one-way ANOVA (if 3 sets of data). (B) Representative IHC 1070 1071 staining images showing the higher CDKL3 protein level in colon cancer tissues. (C) 1072 CDKL3 immunohistochemical score in colon cancerous and normal adjacent tissues. Error bar means ± SD, n=5, two-tailed Student's t-test. (D) Correlation analysis 1073 1074 between CDKL3 expression and poor prognosis in patients with colon cancer, by Kaplan Meyer-plotter. (E, F) Representative images (E) and guantification (F) of 1075 patient-derived colon cancer organoids (PDCCO) after CDKL3 depletion. (G) 1076 Immunoblotting assay showing CDKL3 depletion reduced the levels of pRb and 1077 1078 CDK4 in PDCCO. (H) Representative images of PDCCO under treatments. (I, J) 1079 Quantification of the surviving/dead organoids percentage under Palbociclib (I) and HZ1 (J) treatments in H. (K) Representative immunofluorescence images of PDCCO 1080 under Palbociclib and HZ1 treatment. Ki67: proliferation marker. (L) Representative 1081 1082 images of PDCCO under Palbociclib and HZ1 treatment at different time points and 1083 different dosages. (M) Quantification of the surviving/dead organoids percentage 1084 under treatments at Day 5. (N) Immunoblotting assay showing HZ1 decreased the levels of pRb and CDK4 in patient-derived colon cancer organoids. (O, P) 1085 Quantification of the surviving/dead organoids percentage under CDKL3/CDK4/Rb 1086 overexpression (O) or depletion (P) conditions. Palbo: Palbociclib. All images in the 1087 same panel are under the same amplification scales unless specified. *, p<0.05; ***, 1088 p<0.001; ****, p<0.0001. 1089