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CRISPR-mediated detection of *Pneumocystis* transcripts in bronchoalveolar, oropharyngeal, and serum specimens for *Pneumocystis* pneumonia diagnosis

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BACKGROUND.*Pneumocystis jirovecii* pneumonia (PCP) is a leading cause of fungal pneumonia, but its diagnosis primarily relies on invasive bronchoalveolar lavage (BAL) specimens that are difficult to obtain. Oropharyngeal swabs and serum could improve the PCP diagnostic workflow, and we hypothesized that CRISPR could enhance assay sensitivity to allow *robust P. jirovecii* diagnosis using swabs and serum. Herein we describe the development of an ultrasensitive RT-PCR-coupled CRISPR assay with high active-infection specificity in infant swabs and adult BAL and serum.

METHODS. Mouse analyses employed an RT-PCR CRISPR assay to analyze *P. murina* transcripts in wild-type and *Rag2^{-/-}* mouse lung RNA, BAL, and serum at 2-, 4-, and 6-weeks post-infection. Human studies used an optimized RT-PCR CRISPR assay to detect *P. jirovecii* transcripts in infant oropharyngeal swab samples, adult serum, and adult BAL specimens from *P. jirovecii*-infected and *P. jirovecii*-non-infected patients.

RESULTS. The *P. murina* assays sensitively detected *Pneumocystis* RNA in the serum of infected mice throughout infection. Oropharyngeal swab CRISPR assay results identified infants infected with *P. jirovecii* with greater sensitivity (96.3% vs. 66.7%) and specificity (100% vs. 90.6%) than RT-qPCR compared to *mtLSU* standard marker, and CRISPR results achieved higher sensitivity than RT-qPCR results (93.3% vs. 26.7%) in adult serum specimens.

CONCLUSION. Since swabs are routinely collected in pediatric pneumonia patients and serum is easier to obtain than BAL, this [...]



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1 CRISPR-mediated detection of *Pneumocystis* transcripts in bronchoalveolar, oropharyngeal, and serum

2 specimens for *Pneumocystis* pneumonia diagnosis

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26 Conflict of interest statement: The authors have declared that no conflict of interest exists.

27 Abstract

Background. *Pneumocystis jirovecii* pneumonia (PCP) is a leading cause of fungal pneumonia, but its diagnosis primarily relies on invasive bronchoalveolar lavage (BAL) specimens that are difficult to obtain. Oropharyngeal swabs and serum could improve the PCP diagnostic workflow, and we hypothesized that CRISPR could enhance assay sensitivity to allow *robust P. jirovecii* diagnosis using swabs and serum. Herein we describe the development of an ultrasensitive RT-PCR-coupled CRISPR assay with high active-infection specificity in infant swabs and adult BAL and serum.

Methods. Mouse analyses employed an RT-PCR CRISPR assay to analyze *P. murina* transcripts in wild-type and *Rag2^{-/-}* mouse lung RNA, BAL, and serum at 2-, 4-, and 6-weeks post-infection. Human studies used an optimized RT-PCR CRISPR assay to detect *P. jirovecii* transcripts in infant oropharyngeal swab samples, adult serum, and adult BAL specimens from *P. jirovecii*-infected and *P. jirovecii*-non-infected patients.

Results. The *P. murina* assays sensitively detected *Pneumocystis* RNA in the serum of infected mice throughout infection. Oropharyngeal swab CRISPR assay results identified infants infected with *P. jirovecii* with greater sensitivity (96.3% vs. 66.7%) and specificity (100% vs. 90.6%) than RT-qPCR compared to *mtLSU* standard marker, and CRISPR results achieved higher sensitivity than RT-qPCR results (93.3% vs. 26.7%) in adult serum specimens.

Conclusion. Since swabs are routinely collected in pediatric pneumonia patients and serum is easier to obtain
 than BAL, this assay approach could improve the accuracy and timing of pediatric and adult *Pneumocystis* diagnosis by achieving specificity for active infection and potentially avoiding the requirement for BAL specimens.

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- 49

50 Main Text

51 INTRODUCTION

52 Molecular epidemiology evidence indicates that *Pneumocystis jirovecii* pneumonia (PCP) is the leading cause of fungal pneumonia in HIV-negative infants under 2 years old (1, 2), but PCP is also clinically relevant in adults 53 and children with immunodeficiencies or who are receiving immunosuppressive regimens (2). P. jirovecii 54 infections that cause severe disease and require mechanical ventilation can have mortality rates of 20-25% (3). 55 56 Rapid PCP diagnosis is required for effective therapeutic intervention, but current diagnostic tests require an invasive bronchoalveolar lavage (BAL) procedure to obtain diagnostic specimens, which can delay diagnosis (4, 57 5), and use Grocott methenamine silver (GMS) or immunofluorescent staining methods or PCR of P. iirovecii-58 specific genomic DNA to detect *P. jirovecii* infection (6). However, there is evidence that an organism-specific 59 60 diagnostic that uses minimally- or noninvasive-samples is needed to improve diagnosis (7-9). Direct fluorescent antibody staining of induced and expectorated sputum has variable sensitivity for *P. jirovecii* and is primarily 61 62 useful in HIV-positive patients, who have higher P. *jirovecji* burdens than other PCP patients (10), while a bloodbased 1.3 beta-D-glucan test used to diagnose PCP lacks specificity for P. jirovecii (11, 12). PCR-based assays 63 64 for PCP can be more rapid, sensitive, and specific than staining procedures, but also primarily rely on BAL specimens and can detect P. jirovecii colonization events (P. jirovecii detected without pneumonia or with 65 pneumonia caused by another pathogen). This can reduce their diagnostic value (13) since PCR values can 66 67 vary widely in infected individuals, preventing the use of a universal threshold for PCP diagnosis (1, 14, 15). PCR 68 tests have also been used to detect *P. jirovecii* DNA in oral wash, induced sputum, and serum specimens, but these tests have variable sensitivity and may also detect colonization events (7, 16-19). There is therefore still 69 an urgent need for PCP diagnostics that use less invasive specimen types to provide rapid and accurate results 70 that can guide treatment decisions. 71

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Current PCR tests that target *P. jirovecii* genomic DNA can also detect *P. jirovecii* colonization events, however, there is no accepted threshold to distinguish colonization from active infection (1, 14, 20). We hypothesized that assays that detect and quantify mRNA transcripts that distinguish the troph and ascus life stages of P. jirovecii, rather than overall pathogen abundance, could improve specific detection of active infection, since each stage exhibits distinct metabolic activity and behavior during colonization and active infection (21, 22). More sensitive assays may be required to detect such transcripts, however, particularly in less invasive samples where *Pneumocystis* mRNA may be less abundant or rapidly degraded by environmental hydrolases.

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CRISPR (clustered regularly interspaced short palindromic repeats) reactions employed to enhance the 81 sensitivity and specificity of nucleic acid amplification assays (23-25) have been applied to diagnose viral. 82 83 bacterial, and fungal infections in minimally invasive sample types including blood, saliva, nasal swabs, and urine (25). Such approaches can substantially improve both assay specificity and specificity, since target amplification 84 and detection relies on specific binding of a reverse transcription (RT) primer (for an RNA target), PCR 85 86 amplification primers, and a guide RNA sequence that mediates the binding and trans-cleavage activity of a 87 target-specific CRISPR Cas complex that can be employed to cleave a guenched reporter nucleotide and amplify the assay readout signal (23, 26). We therefore developed RT-PCR CRISPR Cas12a assays to sensitively and 88 89 specifically detect *Pneumocystis* mRNAs that differentially overexpressed in the troph and ascus stages of *P*. *jirovecii* and *P. murina*, a closely related species that causes fungal pneumonia in mice (21). 90

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92 Here, we describe the development and characterization of these assays and their performance to detect these stage-selective mRNA targets in serum and BAL samples of *P. murina*-infected mice and oropharyngeal swab 93 in P. jirovecii-infected infants, and serum and BAL specimens of adult PCP patient cohorts. Our results detected 94 differential increased expression of the troph vs. ascus marker in immunocompromised Rag2^{-/-} mice at increased 95 96 risk for active infection vs. wild-type mice. Similarly, we observed that the P. jirovecii troph marker exhibited 97 greater specificity for adults and infants diagnosed with PCP, although both markers were overexpressed in these cases, and that there was clear signal separation in individuals diagnosed with and without PCP. These 98 results suggest that similar assays could be employed with oropharyngeal swabs and serum to improve the 99 100 diagnosis and monitoring of PCP cases required to improve patient outcomes.

101 RESULTS

102 Study Design for RT-PCR CRISPR clinical validation

103 RT-PCR CRISPR assays were developed and used to blindly analyze 107 retrospectively collected oropharyngeal swab samples obtained from the PERCH cohort (1), an international case-control study designed 104 to analyze the incidence of pathogens that cause pneumonia in infants (Supplemental Table 1). This study 105 examined samples collected from children aged 1-59 months who were admitted to the hospital with severe 106 pneumonia and age-matched healthy controls from the same general communities, and used guantitative PCR 107 to detect P. jirovecii gene mtLSU in extracted nucleic acid samples and a threshold of >10⁴ copies/mL as a 108 classifier for active disease. CRISPR and RT-qPCR assay sensitivity and specificity results were calculated 109 against the corresponding PERCH study mtLSU gPCR swab results. RT-PCR CRISPR assays were also 110 employed to blindly evaluate 32 BAL samples from 12 PCP and 20 P. jirovecii non-infected patients, using 111 residual BAL specimens from PCP-positive pneumonia patients (gPCR-positive for P. iirovecii mtLSU DNA) or 112 from PCP-negative patients undergoing clinical surveillance after lung transplant or for other conditions 113 (Supplemental Table 2). 114

To assess the potential for blood-based PCP diagnosis, CRISPR and RT-qPCR assays were used to blindly analyze matched BAL and serum samples from a prospective cohort of 27 adult HIV-positive patients with suspected PCP who were enrolled in an observational cohort study at Khayelitsha District Hospital in Cape Town, South Africa (Figure 1). Study participants, who had dyspnea and hypoxemia ($sO_2 \le 94\%$ or $PaO_2 \le$ 10kPa) with an abnormal chest X-ray, were provided with PCP treatment and underwent BAL collection to confirm PCP using a *P. jirovecii* immunofluorescence assay (IFA) and had serum collected at the same time.

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122 Development and optimization of CRISPR-enhanced RT-PCR assays for two P. murina mRNA targets

Pneumocystis-derived biomarkers that distinguish replicating troph and non-replicating ascus spores could permit development of assays that distinguish *Pneumocystis* infection from colonization to guide treatment decisions (Figure 2). Since we previously reported *P. murina* serine protease (*Sp*) and 1,3-beta glucan synthase subunit (*Gsc1*) mRNA transcripts are differentially upregulated in its troph and ascus stages, we hypothesized that RT-PCR CRISPR-Cas12a assays might have the sensitivity necessary to detect them in serum to permit minimally invasive infection diagnosis, and used an in silico approach to identify primer pairs and gRNAs to amplify and detect target sequences within these mRNAs (Supplemental Table 3).

RT-PCR conditions for these mRNA targets were optimized by analyzing the CRISPR signal produced when 130 their amplicons were generated over a range of annealing temperatures with cDNA generated from lung tissue 131 homogenates of P. murina-infected mice, as previously described (21). CRISPR signal-to-noise ratios defined 132 by the signal generated with and without input template (Supplemental Figure 1, A and B) identified optimum 133 annealing temperatures for Sp and Gsc1 amplification (57.5°C and 59.9°C) that were used in all further analyses. 134 Subsequent analyses identified the determined reporter concentration (667 pM) that produced the highest signal-135 to-noise ratio for the least amount of input probe (Supplemental Figure 1, C and D), and the Cas12a/gRNA 136 concentration (67 pM) that vielded optimum signal kinetics for the amount of input Cas12a and gRNA 137 (Supplemental Figure 1, E and F). No substantial signal increases were observed in the absence of input 138 template, consistent with minimal reporter degradation. 139

140 Linearity and limit of detection (LoD) values for these optimized Sp and Gsc1 RT-PCR CRISPR assays were 141 then determined using serial dilutions of synthetic Sp or Gsc1 DNA fragments spiked into healthy serum (10⁶ to 10⁻¹ copies/µL) (Supplemental Figure 2, A and B). These Sp and Gsc1 assays detected positive signals in serum 142 concentration standards spiked with 0.3 and 1 copies/uL, respectively, and had strong linear correlations with 143 the spiked-in target amount (R² values of 0.990 and 0.983) from their LoDs to the highest analyzed target 144 concentration (10⁴ copies/ µL) (Supplemental Figure 2, C and D). Sp and Gsc1 assay signal also demonstrated 145 strong species-specificity since positive signal was not detected when these assays were used to analyze 146 genomic RNA or DNA of an array of common viral and microbial respiratory pathogens, including the related 147 human pathogen P. jirovecii (Supplemental Figure 2, E and F). 148

149 Sp and Gsc1 detection in BAL and serum of P. murina-infected wildtype and Rag2^{-/-} mice

Sp and Gsc1 RT-PCR CRISPR assay were then used to analyze lung tissue, BAL, and serum specimens collected from C57BL6/J wildtype (WT) and immunocompromised (*Rag2*-/-) mice sacrificed two-, four-, and sixweeks after inoculation with *P. murina* (Figure 3A), as this model reflects critical aspects of human disease (27,

153 28). Lung tissue Sp mRNA expression was higher in Rag2-/- versus WT mice, and Gsc1 mRNA expression was

higher in the lungs of WT versus Rag2^{-/-} mice (Figure 3, B and C). Lung tissue Sp and Gsc1 signal did not vary 154 over time in Rag2^{-/-} mice, but both significantly decreased at six weeks post-inoculation in the WT mice, 155 potentially indicating infection clearance. Sp and Gsc1 signal was less reliably detected in the BAL and serum 156 samples of these mice (Figure 3, D-G), particularly the WT mice. Sp and Gsc1 signal was consistently detected 157 in Rag2^{-/-} mouse BAL and serum specimens at four weeks post-inoculation, but signal for both targets was more 158 variable in the matching WT mouse samples, and in samples collected at two weeks post-inoculation in both 159 groups. Sp and Gsc1 signals tended to be greater in Rag2^{-/-} mouse BAL versus serum specimens, and Sp signal 160 tended to be consistently greater than Gsc1 signal throughout infection, consistent with a reduced ability of the 161 Rag2^{-/-} mice to suppress their *P. murina* infections as neither difference was detected in the WT mouse samples. 162 Sp-positive Rag2^{-/-} mouse BAL and serum samples also tended to be Gsc1-positive by week two post-163 inoculation, with double positive results detected in all Rag2^{-/-} mouse BAL and serum samples by week four post-164 inoculation. By contrast, BAL and serum samples of the WT mice tended to be Sp-negative and Gsc1-negative 165 at week two post-inoculation, sporadically positive for both markers at week four post-inoculation, and mostly 166 negative for both markers at week six post-inoculation consistent with greater containment of their P. murina 167 infections. 168

169 Development and optimization of CRISPR-enhanced RT-PCR assays for *P. jirovecii* RNA targets

We next translated this approach to detect troph and ascus targets of *P. jirovecii*, as this human pathogen is 170 closely related to P. murina. However, while a P. jirovecii-specific Gsc1 primer and gRNA set produced strong 171 signal, those generated for the Sp homolog of P, *iirovecii* did not produce detectable signal (data not shown). 172 likely due to low confidence in P. jirovecii Sp sequence data or polymorphisms. We therefore instead identified 173 P. jirovecii RNAs that were differentially expressed and abundant detected in a RNAseg dataset of BAL 174 specimens from two immunocompromised patients diagnosed with P. jirovecii infections (29). Similar to previous 175 work indicating that mitochondrial transcripts are enriched in troph-derived P. murina RNA, P. jirovecii 176 mitochondrial RNAs were the most abundant differentially enriched transcripts detected in these samples (Figure 177 4. A and B). consistent with a previous study indicating that the trophic form of P. jirovecii plays a dominant role 178 in pulmonary infections and that troph-derived *P. murina* RNA is enriched for mitochondrial RNA transcripts (21). 179 NADH-ubiguinone oxidoreductase chain 4 (Nad4) was selected for further analysis since primers to this RNA 180

amplified a region containing a candidate gRNA sequence with a conserved protospacer adjacent motif (PAM) site required for efficient Cas12a target recognition and cleavage activity. These primers and gRNA sequences were designed to avoid known *Nad4* SNPs that might affect their binding and detection and lack substantial homology with corresponding *Nad4* sequences of other *Pneumocystis* species.

CRISPR signal-to-noise ratio analyses determined that annealing temperature (59.9°C) and reporter probe and 185 Cas12a/gRNA complex concentration (67 pM and 67pM) conditions for optimal P. jirovecii Nad4 and Gsc1 RT-186 PCR Cas12a reactions were similar to those identified for the P. murina assays (Supplemental Figure 3). The P. 187 *jirovecii* Nad4 and Gsc1 assays had LoD values (0.1 and 1 copies/uL) (Figure 4, C and D) that closely matched 188 those of the corresponding P. murina assays, while the LoD value of the equivalent Nad4 RT-PCR assay was 189 100× greater than the Nad4 RT-PCR CRISPR assay (10 copies/µL) (Figure 4E). These RT-PCR CRISPR assavs 190 and RT-gPCR revealed strong linear correlations between signal and spiked-in target (R² values of 0.936, 0.927, 191 0.99) from their individual LoDs to the highest analyzed target concentration (10⁴ copies/µL) (Figure 4, F-H). 192 Finally, both RT-PCR CRISPR assays demonstrated strong species-specificity since strong positive signal was 193 194 detected in the P. jirovecii positive control sample, while negative control samples containing corresponding DNA regions from other respiratory pathogens, including P. murina, did not produce signal greater than that detected 195 in the non-template control sample (Figure 4, I and J). 196

197 P. jirovecii Nad4 and Gsc1 assay performance with patient BAL and oropharyngeal swab specimens

For oropharyngeal swab analysis, tested samples were primarily from infants <12 months of age (2 children were 198 199 >12 months of age). Nad4 and Gsc1 signal thresholds distinguished infants with and without P. jirovecii infections with 96.3% and 72.2% sensitivity and 100% specificity (Figure 5, A and B, Supplementary Figure 4A, and Table 200 1), while the Nad4 RT-gPCR threshold for positive signal had 66.7% diagnostic sensitivity and 90.6% specificity. 201 Similarly, an analysis of adult BAL specimens (12 PCP and 20 non-PCP cases, including one HIV-positive PCP 202 patient), detected PCP cases with 91.7% and 83.3% clinical sensitivity and 100.0% specificity (Figure 5C, 203 Supplementary Figure 4B, and Table 2), while Nad4 RT-qPCR results had 66.7% diagnostic sensitivity and 204 94.7% specificity. CRISPR Nad4 and Gsc1 assay results had better overall classification performance than RT-205 gPCR Nad4 assay results to distinguish cases and controls in infant swab and adult BAL sample cohorts when 206 207 these results were evaluated in receiver operating characteristic curve analyses (Supplementary Figure 5).

208 *P. jirovecii Nad4* and *Gsc1* assay performance with matched patient BAL and serum specimens

209 CRISPR Nad4 signal in BAL specimens from South Africa distinguished PCP-positive and PCP-negative patients with 100% sensitivity and 91.7% specificity, exceeding CRISPR Gsc1 (73.3% sensitivity / 75.0% specificity) and 210 211 RT-gPCR Nad4 (60.0% sensitivity / 83.3% sensitivity) diagnostic performance (Figure 5D, Table 3, Supplementary Figure 6A). CRISPR Nad4 and Gsc1 results for serum identified PCP-positive patients with 212 93.3% and 60.0% sensitivity, respectively, and 91.7% specificity, which also exceeded the performance (26.7% 213 sensitivity / 91.7% specificity) of the matching RT-gPCR Nad4 results (Figure 5E, Table 4, Supplementary 6B). 214 Nad4 levels detected in these samples demonstrated higher mean fluorescent intensity in BAL versus serum 215 specimens (Figure 5, F and G). CRISPR Nad4 assay results from adult BAL and serum samples also had better 216 performance to distinguish adult PCP and non-PCP cases than matching Gsc1 assay results when both were 217 evaluated by receiver operating curve analysis (Supplementary Figure 7). 218

219 DISCUSSION

New PCP diagnostic tests that employ minimally or non-invasive specimens and distinguish infection from 220 colonization are needed to improve PCP diagnosis, since collecting diagnostic BAL specimens can delay 221 diagnosis and rapid and sensitive PCR-based assays for P. jirovecii genomic DNA lack accepted thresholds to 222 distinguish colonization and infection. Herein we describe the development of an ultrasensitive RT-PCR CRISPR 223 assay to detect mRNA targets enriched in the replicating Pneumocystis trophic stage associated with active 224 225 infection and the non-replicating ascus stage, and the performance of these assays to detect Pneumocystis infections in a mouse model of P. murina pneumonia and in adult and infant cohorts of P. iirovecii infection using 226 227 BAL specimens or less invasive samples, including serum and oropharyngeal swabs. CRISPR-mediated signal enhancement was necessary to achieve robust diagnostic sensitivity as it markedly increased the performance 228 of RT-qPCR for Nad4 mRNA when applied to analyze infant oropharyngeal swab (96.3% versus 66.7%), adult 229 230 serum (93.3% vs. 26.7%) samples, adult BAL specimens obtained from North American (91.7% versus 66.7%) 231 and South African patient cohorts (100% vs. 60.0%).

232

We have previously used similar CRISPR-Cas12a assay approaches to diagnose respiratory infections caused 233 by other pathogens, including SARS-CoV-2 and Mycobacterium tuberculosis, using minimally- or non-invasive 234 sample types such as blood and saliva (30-32), while another group has used CRISPR to diagnose PCP (33). 235 This group used a CRISPR Cas13-based assay approach to detect a P. jirovecii mitochondrial large subunit 236 237 ribosomal RNA target in RNA extracts of patient BAL specimens after transcription-mediated amplification. Notably, this approach differs from ours in at least one key aspect since its target was selected for its abundance, 238 repetitive sequence, and frequent citation, not for its ability to distinguish the replicative troph and non-replicative 239 ascus stages of P. jirovecii or colonization from infection. This Cas13 assav vielded higher limit of detection (2 240 versus 0.1 copies/uL) and lower sensitivity estimates (78.9% vs. 91.7% and 100%) with BAL specimens than 241 our Cas12a assay, but achieved similar diagnostic specificity (97.7% vs. 100% and 91.7%). No alternate samples 242 were analyzed in this Cas13-based study, however, preventing further comparisons. 243

Infant swab samples analyzed in this study were obtained from the case-control PERCH study, which used a quantitative multiplex polymerase chain reaction assay to examine causes of severe pneumonia in children aged 1-59 months who were hospitalized with severe pneumonia and age-matched healthy controls from the same general population (1). The PERCH study analyzed oropharyngeal swab and induced sputum specimens from these infants and observed high agreement (94.6%) between results of these specimens (34), supporting the potential utility of swab results for the diagnosis of *P. jirovecii*-infections in this cohort. However, induced sputum results for individual patients were not available for use as the reference standard in our analysis.

252 The PERCH study detected P. jirovecii DNA in oropharyngeal samples collected from cases and controls at 253 similar frequency, likely due to high rates of pulmonary colonization in the healthy control group. Other studies have established thresholds for PCP diagnosis to address this problem, but these values vary among studies 254 and there is no standard threshold to distinguish infection from colonization (14, 15, 35, 36). One study has 255 reported that PCR analysis of a single versus multicopy gene can improve specificity for infection versus 256 colonization events, although this may also reduce assay sensitivity (37). Our results indicate that CRISPR-257 258 mediated Nad4 RNA detection could address this issue since Nad4 signal was not detected above background in specimens of most individuals not diagnosed with PCP, but had high diagnostic sensitivity (91.7%-100%) for 259 P. jirovecii-infected infants and PCP adult cases. We were not able to directly compare the results from BAL and 260 oropharyngeal swabs in this study since both sample types were not available from the infant or adult cohorts. 261

262

263 We detected elevated levels of the troph marker Sp in serum and BAL samples of Rag2^{-/-} vs. wildtype mice inoculated with *P. murina*, consistent with prior reports that *Rag2^{-/-}* mice have higher troph life form burden during 264 active P. murina infection (21). Future mouse model experiments should investigate changes in the relative 265 abundance of P. murina troph and ascus stages during active infection initiation, colonization, and reactivation, 266 267 potentially animal-to-animal versus environmental transmission, and other important questions. For example, specific depletion of asci by treating P. murina-infected mice with echinocandins could validate the 268 troph-specific expression of Sp (and the P. murina Nad4 homolog) (21, 38). Serum could be a less invasive 269 option than BAL for PCP diagnosis, although it is easier to obtain oropharyngeal swabs from infants than serum. 270 271 Other studies have analyzed P. jirovecii cell-free DNA using PCR in human serum samples with variable sensitivity (50-100%) likely due to dilute concentration of cell-free DNA targets, and one of these studies had a substantial drop in specificity when testing serum from healthy blood donors (100%) and HIV-patients (71%) possibly due to colonization detection (9, 16, 39). We observe a slight decrease in sensitivity when testing serum versus BAL (93.3% vs. 100.0%), although specificity did not differ for these sample types (91.7%). Notably, the single false-positive sample detected had positive CRISPR *Nad4* results for their matching BAL and serum specimens. However, additional information was not available to evaluate whether this patient was a missed PCP-positive case, had *P. jirovecii* colonization, or was accurately assessed as a true negative.

Pneumocystis cell-free RNA was less frequently detected in serum versus BAL or lung tissue specimens of the 279 280 P. murina-infected mice, but signal for the troph-enriched Sp target was consistently lower than Gsc1 signal in all specimen types of the WT versus Rag2^{-/-} mice, consistent with reduced ability of the Rag2^{-/-} mice to suppress 281 their *P. murina* infections. *Nad4* was selected as a *P. jirovecii* troph marker since its elevated expression is 282 consistent with increased metabolic activity of replicating P. jirovecii trophs, and it revealed greater diagnostic 283 sensitivity for P. jirovecii infection than the ascus marker Gsc1 when both were analyzed in oropharyngeal swab 284 285 (96.3% versus 72.2%), BAL (91.7% and 100% versus 83.3% and 73.3%), or serum (93.3% versus 60.0%) specimens. However, the diagnostic performance of Gsc1 suggests that sensitive detection of any P. jirovecii 286 RNA target may enable PCP diagnosis given that rapid RNA degradation expected in diagnostic specimens 287 might limit detection of low burden colonization events. 288

289

290 CRISPR Nad4 assay results demonstrated high specificity for P. jirovecii infections in this study, suggesting that studies designed to detect P. jirovecii-specific RNA or DNA targets in minimally or non-invasive diagnostic 291 specimens from large, well-characterized cohorts could be used to evaluate transmission among close contacts, 292 the incidence of PCP and P. iirovecii colonization, and its environmental prevalence (40, 41). Further studies 293 294 could also clarify the clinical impact of P. iirovecii colonization, which has been linked to COPD severity and is frequently detected during autopsy (42, 43), particularly since the incidence of Pneumocystis colonization differs 295 for the general population (~25%), healthcare workers (>50%), and HIV-positive individuals (~69%) (40, 44). 296 Although P. jirovecii is an obligate pathogen and humans are likely the only reservoir as P. jirovecii cannot infect 297 mice, rats, and nonhuman primates (45-48), P. jirovecii DNA has been detected in pond water and air samples, 298

and the prevalence of *P. jirovecii* infection is higher in areas with more green space (49), suggesting *P. jirovecii* may survive briefly in an environmental reservoir.

301

Rapid detection of P. iirovecii-infected infants using oropharyngeal swab specimens may have substantial clinical 302 relevance since P. jirovecii is likely underdiagnosed in the months following birth and has the potential to produce 303 fatal outcomes (50, 51). We propose that a CRISPR-based approach similar to the one described here could be 304 305 used to analyze the impact of P. jirovecii in infants with and without pneumonia, following validation studies, as it should permit accurate high-throughput screening of swab specimens routinely collected from infants (52). 306 CRISPR diagnostics are a relatively new technology, but multiple clinical trials are ongoing to diagnose 307 respiratory infections, including pneumonia, using CRISPR-based approaches (53-55). P. jirovecii point-of-care 308 methods that use PCR-based assays and noninvasive samples are cheaper than tests that employ BAL 309 specimens (56), and assays that use other noninvasive sample types could also improve diagnostic reliability by 310 311 attenuating or eliminating sample-to-sample variation and dilution errors that affect the analysis of BAL specimens (57, 58). Multiple studies have developed tests that use induced sputum, but these specimens are 312 also subject to sample-to-sample variation, must be analyzed for sample quality when analyzing infant 313 specimens, and cannot be feasibly collected from healthy controls for specificity tests (34, 59). New diagnostics 314 could also be adapted to formats and workflows suitable for analysis by inexpensive point-of-care devices in 315 resource-limited settings, as has been done for CRISPR-based assays that detect other respiratory pathogens 316 (30, 32), Loop-mediated isothermal amplification (LAMP)-based assays that use a turbidity readout to detect P. 317 jirovecii 18s rRNA gene have been reported, but these use invasive BAL specimens or highly variable induced 318 sputum samples and target P. jirovecii DNA, which increases likelihood of detecting colonization (60-62). 319

320

This study has limitations that may complicate interpretation of its results. For example, *Nad4* assay sensitivity and specificity estimates could be affected by *P. jirovecii* colonization, as the presence or absence of active fungus was not confirmed in all samples. However, it is difficult to account for *P. jirovecii* colonization as there is no gold standard for colonization other than histologic analysis of stained BAL samples, which is not realistic in healthy populations. We also cannot evaluate the diagnostic performance of the *Nad4* assay with adult oropharyngeal swab or infant serum specimens, as our cohort lack these samples. The sensitivity and specificity calculations for the adult cohorts in this study are underpowered and subject to substantial variation in future studies. Nevertheless, we believe that similar, validated CRISPR-based assay approaches could improve *P. jirovecii* diagnosis and could be incorporated into multiplex CRISPR assays to detect an array of fungal, bacterial, and viral pathogens that cause pneumonia from a single swab specimen. 331 METHODS

332 Sex as a biological variable

Patient sexes used in this study are specified in Supplementary Table 1 and 2. Sex was not considered as a
biological variable.

Mice Female C57BL/6J wild-type and Rag2^{-/-}(B6(Cg)-Rag2tm1.1Cgn/J) mice aged 6- to 8-weeks were obtained
 from The Jackson Laboratory (Bar Harbor, ME) and housed in a pathogen-free environment at the Tulane
 University Department of Comparative Medicine.

Mouse *P. murina* infection procedure All mice were infected with *P. murina* by oropharyngeal administration as previously published (28, 29, 63). Mice were lightly anesthetized with 2% isoflurane delivered in a box connected to the delivery machine and then fixed vertically on a surgery board, the tongue was extended with forceps, and a 100 μ L inoculum containing 2x10⁵ *P. murina* cysts was administered to the distal part of the oropharynx using a micropipette while gently closing the nose. At 2-, 4-, and 6-weeks post-inoculation, mice were euthanized by carbon dioxide inhalation to collect BAL, sera, and lung tissue specimens.

RNA isolation Mouse serum cell-free (cf) RNA was extracted using a Quick-cfDNA/cfRNA Serum & Plasma Kit (Zymo Research, R1072). RNA was extracted from all other samples analyzed using a Quick-RNA Fungal/Bacterial Miniprep Kit (Zymo Research, R2014). All RNA isolates were eluted in 50 μL of DNase/RNasefree water and stored at -80 °C until analysis. Positive control and negative control samples were derived from samples from healthy mice or individuals that were then spiked with *P. murina* or *P. jirovecii* RNA or water, respectively.

350 <u>**RT-PCR CRISPR analyses**</u> RT-PCR reactions were generated by adding 5 μL isolated RNA to a mixture 351 containing 10 μL 2x Platinum SuperFi RT-PCR master Mix (Thermo Fisher, 12594025), 0.2 μL SuperScript IV 352 RT Mix (Thermo Fisher, 12594025), 1 μL of 10 μM forward primer, 1 μL of 10 μM reverse primer, and 2.8 μL of 353 nuclease free-water. For RT-PCR-CRISPR experiments, 5 μL isolated *P. murina* or *P. jirovecii* RNA was added 354 as template and water was added for the no template controls. RT-PCR reaction was first incubated at 25 °C for 355 2 minutes and 55 °C for 10 minutes to permit cDNA synthesis, and then denatured at 95°C for 5 minutes, 356 subjected to 38 cycles of PCR amplification [95°C for 10 seconds, 60°C for 10 seconds, and 72°C for 15

seconds], and then incubated at 72°C for 5 minutes to permit complete extension of all amplicons. CRISPR 357 reaction mixtures containing: 25.48 µL nuclease-free water, 0.01 µL 66.7 µM IDT Lb Cas12a (Integrated DNA 358 Technologies, 10007922), 0.01 µL 100 µM gRNA, 1.5 µL of the 10 µM fluorescent reporter, and 3 µL NEBuffer 359 2.1 (New England Biolabs, B7202) were supplemented with a 2 µL aliguot the final RT-PCR reaction sample 360 and incubated at 37 °C for 15 minutes in the dark in a 96 well corning half area opague plate. CRISPR reactions 361 analyzing P. murina and P. jirovecii RT-PCR reaction samples were respectively analyzed using a SpectraMax 362 i3x Multi-Mode Microplate Reader (Molecular Devices) and an Infinite M Plex (Tecan) plate reader, using 485 363 nM excitation and 525 nM emission settings. Thresholds for positive CRISPR signal in spiked samples and 364 clinical samples were defined as the mean plus three times the SD of the signal detected in triplicate no-template 365 366 control samples.

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Standard curve and limit of detection (LoD) analyses Serum samples used to generate the standard curves for the *P. murina* and *P. jirovecii* RT-PCR CRISPR assays were generated by spiking known concentrations of the appropriate *P. murina* or *P. jirovecii* synthetic gBlock target DNA sequence (*Sp* or *Gsc1* and *Nad4* or *Gsc1*) into healthy mouse serum or swab RNA isolation solution, respectively. These concentration standards were then subjected to 10-fold serial dilutions in serum or swab diluent to generate concentration standards that contained from 10⁻¹ to 10⁶ copies/µL of these target sequences. These concentration standards were then processed to isolate DNA that was analyzed in RT-PCR CRISPR assays for the appropriate target sequence.

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376 RT-gPCR RT-PCR reactions were performed with SuperScript IV First-Strand Synthesis System kits and random 377 hexamers (Thermo Scientific) and the resulting cDNA was isolated using AMPure XP Beads (Beckman Coulter. A63880) and 80% ethanol before use in qPCR reactions employing 10 µL SsoAdvanced Universal Probes 378 Supermix (2x) (Bio-Rad, 172-5280), 0.9 µL forward primer (20 µM), 0.9 µL reverse primer (20 µM), 0.45 µL probe 379 (20 µM), 5.75 µL nuclease-free water, and 2 µL cDNA template. Reactions were performed by incubating the 380 reactions at 50°C for 2 minutes and 95°C for 10 minutes, and then using 50 cycles of 95°C for 15 seconds and 381 60 °C for 30 seconds for target amplification. Melt curve were performed from 55 to 95°C with 0.5 °C increments 382 after reaction completion to confirm that the reaction amplified a single product with the expected melting 383

temperature profile. Thresholds for positive RT-qPCR signal in spiked samples were defined as the mean plus three times the SD of the signal detected in triplicate no-template control samples. Thresholds for positive RTqPCR signal in clinical samples were determined by receiver operating characteristic curve analyses.

Clinical sample collection procedures Oropharyngeal swabs analyzed in this study were obtained from 107 387 children between 1-59 months Table S2; 54 P. jirovecii-infected and 53 P. jirovecii-non-infected). P. jirovecii-388 infected cases enrolled in the PERCH cohort study were judged to be infected with P. jirovecii if their analyzed 389 swab samples yielded greater than 10⁴ copies/mL of *mtLSU* DNA when analyzed using a quantitative multiplex 390 polymerase chain reaction assay (FTD Resp-33 kit: Fast-track Diagnostics, Sliema, Malta), P. iirovecii-non-391 392 infected controls were age-matched with cases and selected from communities near the study sites. Children were deemed HIV-positive if HIV virus was detected in their serum samples or if the child was seropositive for 393 HIV at greater than 12 months of age. Swabs were collected in viral transport medium (universal transport 394 medium [UTM], Copan Diagnostics, Bresica, Italy) and processed to extract nucleic using the NucliSENS 395 easyMAG platform (bioMerieux, Marcyl'Etoile, France) (64), Adult BAL samples collected in Toronto and New 396 397 Orleans represented residual clinical pathology laboratory samples and were sampled according to clinical quidelines (65). P. jirovecii-positive and P. jirovecii-negative BAL specimens were obtained from adult patients 398 with pneumonia or who underwent clinical surveillance following lung transplant or in response to other 399 conditions and whose BAL samples respectively tested positive and negative when analyzed by RealStar 400 Pneumocvstis iirovecii PCR kit 1.0 (altona Diagnostics). Adult serum and BAL specimens obtained from South 401 Africa were collected as part of an NIHR funded prospective observational study aimed at describing outcomes 402 and evaluation of non-invasive diagnostic tests for HIV-associated PCP. Consecutive adults with probable 403 (clinical case definition) or definite (immunofluorescent staining on a respiratory sample) HIV-associated PCP 404 were enrolled from a District Hospital in the township of Khayelitsha, Cape Town. Eligible participants underwent 405 bronchoscopy and evaluation for co-infections. Bronchoscopies and BAL sample collections were performed by 406 407 a respiratory physician using a flexible fiber-optic bronchoscope. Procedures were performed through the oral cavity following local anesthesia (lidocaine 2%) and were supported by cardiopulmonary monitoring (continuous 408 409 assessment of pulse rate, blood pressure, and oxygen saturation). All BAL samples are obtained from areas of lung infiltration, and if multiple areas were observed the samples were obtained from the area where the 410 411 infiltration was most severe.

RNA sequencing RNA was extracted with the Trizol method from the BAL cells pellets of two patients with Bare 412 413 Lymphocyte Syndrome who had clinical PCP. Prior to construction of an Illumina total RNA library, DNase treated 414 RNA was quantitated using a Qubit RNA BR assay kit (Thermo Fisher Scientific: Guide MAN0001987 MP10210. Kit #Q10210). Cytoplasmic, mitochondrial, and bacterial rRNA was removed from 2.5 up of each sample as 415 indicated by the Illumina RiboZero RNA Removal Kit Reference Guide [(Document # 15066012 v02, ScriptSeg 416 Complete Gold (Epidemiology) Kit #BEP1206 (now obsolete)]. Illumina-compatible cDNA libraries were 417 generated according to the instructions of the TruSeg Stranded Total RNA Sample Preparation Guide (Illumina 418 419 Document #100000040499v00. Kit #20020596). All libraries were pooled and denatured following the standard normalization method described by the Illumina Denature and Dilute Libraries Guide for the NextSeg System 420 (Illumina Part #15048776), after which denatured libraries were loaded onto an Illumina NextSeg 550. To 421 determine transcript abundance, FASTQ outputs were aligned to the Pneumocystis jirovecii RU7 genome using 422 EdgeR normalization (66). 423

<u>Statistics</u> Statistical analyses were performed using GraphPad Prism 10 software, where p-values of less than
 0.05 were used to determine statistically significant differences between groups when analyzed by parametric
 or non-parametric T-tests according to their data characteristics.

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Study approval Adult BAL samples analyzed in this study were obtained from residual de-identified clinical 428 diagnostic specimens using an institutional review board (IRB)-approved informed consent process at Ochsner 429 430 Medical Center – New Orleans (Pro00015109) and University Health Network Toronto (13-7093). Adult BAL and serum specimens from the South African cohort were collected as part of a prospective observational cohort 431 study performed in compliance with a protocol approved by the University of Cape Town Human Research Ethics 432 Committee (HREC 543/2022). The Tulane University IRB reviewed the analysis protocol for the de-identified 433 PERCH oropharyngeal swab samples (protocol 2021-1332) and determined it to be non-human-subject 434 research. Mouse model studies were performed in compliance with a protocol approved by the Tulane 435 Institutional Animal Care and Use Committee (protocol 1821). All study participants or parents or guardians of 436 437 study participants gave written informed consent.

- **Data availability** No original code was generated for this study and all reported data is available from the lead
- 439 author upon request. Sequencing data for this study is available at GEO accession number GSE289324. Values
- for all data points in the graphs are reported in the Supporting Data Values file.

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461	Author Contributions
462	Designing research studies, BMY, SH, ATM, RPL, SW, BN, JKK, TYH; conducting experiments, BMY, DP, GD,
463	CN, YA; acquiring data, BMY, DP, AS, CFN; analyzing data, BMY, BN, CJL, JKK, TYH; providing reagents, JKK,
464	TYH; writing the manuscript, BMY, CJL, JKK, TYH. BMY was listed first because he developed the CRISPR
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694 Figures



Figure 1 Study Participants from Cape Town, South Africa. RT-PCR CRISPR was evaluated by blind analysis of BAL and serum from a cohort of 27 HIV-positive adults from South Africa with and without PCP confirmed by *Pneumocystis jirovecii* immunofluorescence. Study participants were enrolled with dyspnea and hypoxemia ($sO_2 \le 94\%$ or $PaO_2 \le 10$ kPa) and an abnormal chest X-ray. BAL and serum were obtained from patients at baseline before treatment initiation, and diagnosis was achieved from collected BAL specimens using the *P. jirovecii* immunofluorescence assay.

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Figure 2 Overview of the RT-PCR CRISPR assay workflow for *P. jirovecii* diagnosis. (A) RNA isolates from oropharyngeal swab or serum specimens are subjected to RT-PCR to amplify a target mRNA differentially expressed in the fungal trophic form required for active infection. These amplicons are recognized by a Cas12a/gRNA complex that cleaves and derepresses a quenched fluorescent probe in proportion to amplicon abundance. (B) DNA and mRNA phenotypes expected in children with *P. jirovecii* colonization and infection events and (C) characteristics of conventional qPCR and proposed RT-PCR CRISPR assays for *P. jirovecii* infection.

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Figure 3 *Sp* and *Gsc1* assay performance in serial BAL and serum from *P. murina*-infected mice. (A) Scheme showing mouse infection and sampling time course with analysis of *P. murina* ascus- and trophic-life form transcripts *Sp* and *Gsc1*. *Sp* and *Gsc1* assay signal in mouse (**B** and **C**) lung RNA, (**D** and **E**) BAL and (**F** and **G**) serum at two-, four-, and six-weeks post-inoculation with *P. murina*. Graphs indicate mean \pm SD values of triplicate samples. *p < 0.05, **p < 0.01, ***p < 0.001, by two-sample Welch's t-test corrected for multiple comparisons by the Holm-Sidak method (WT vs. Rag2^{-/-}) or performed without correction (4 vs. 6 weeks postinfection).



Figure 4 Characterization of Nad4 and Gsc1 assay performance in spiked samples. (A) Ranked list of the 720 most abundant and differentially detected P. jirovecii RNAs identified by sequencing of BAL samples of two P. 721 722 jirovecii positive patients after subtractive hybridization to remove host-derived RNA transcripts. (B) Genomic 723 organization of enriched P. jirovecii mitochondrial genes and alignment of the P. jirovecii Nad4 primer and gRNA sequences with corresponding sequence regions of other *Pneumocystis* species (red text denotes sequence 724 725 mismatches). LoD analyses for the (C) Nad4 and (D) Gsc1 CRISPR assays and (E) a matching Nad4 RT-qPCR 726 assay, and the linear detection range data for the (F) Nad4 and (G) Gsc1 CRISPR assays and for RT-qPCR 727 Nad4. Species specificity of the P. jirovecii (I) Nad4 and (J) Gsc1 assays when analyzing samples spiked with corresponding sequences from other respiratory pathogens. NTC = no template control. Graphs indicate mean 728 729 ±SD values of triplicate analyses. Standard curve graphs indicate the linear regression line of the data, its 95% 730 CI, and Pearson coefficient.



731 Figure 5 Characterization of Nad4 assay performance with infant oropharyngeal swab and adult BAL samples. (A) Heatmap of CRISPR and RT-qPCR assay positive (red) and negative (blue) results for Nad4 and 732 Gsc1 in infant oropharyngeal swab and adult BAL samples from P. jirovecii-infected and -non-infected patients. 733 Nad4 levels detected in (B) infant oropharyngeal swab and (C) adult BAL samples from North America, where 734 735 positive signal was defined as signal that exceeded a threshold of the mean plus three times the SD of triplicate 736 NTC samples (vertical dashed lines). (D and E) Heatmap of CRISPR and RT-qPCR assay positive (red) and 737 negative (blue) results for Nad4 and Gsc1 in adult BAL and serum samples from PCP-positive and -negative 738 cases determined by immunofluorescence assay (IFA). Nad4 levels detected in (F) adult BAL and (G) adult 739 serum samples from patients in South Africa, where positive signal was defined as signal that exceeded a 740 threshold of the mean plus three times the SD of triplicate NTC samples (vertical dashed lines).

TABLES

specimen	5.				
Method	Target	Sensitivity	95% CI	Specificity	95% CI
CRISPR	Nad4	96.3%	87.3% to 99.6%	100.0%	93.3% to 100%
CRISPR	Gsc1	72.2%	58.4% to 83.5%	100.0%	93.3% to 100%
RT-qPCR	Nad4	66.7%	52.5% to 78.9%	90.6%	79.3% to 96.9%

America.					
Method	Target	Sensitivity	95% CI	Specificity	95% CI
CRISPR	Nad4	91.7%	64.6% to 99.6%	100%	83.9% to 100
CRISPR	Gsc1	83.3%	55.2% to 97.0%	100%	83.9% to 100
RT-qPCR	Nad4	66.7%	39.1% to 94.7%	94.7%	75.4% to 99.

Table 3. CRISPR and RT-qPCR assay diagnostic performance using adult BAL specimens from

Cape Town, South Africa.

	Method	Target	Sensitivity	95% CI	Specificity	95% CI
	CRISPR	Nad4	100.0%	78.2% to 100.0%	91.7%	61.5% to 99.8%
	CRISPR	Gsc1	73.3%	44.9% to 92.2%	75.0%	42.8% to 94.5%
	RT-qPCR	Nad4	60.0%	32.3% to 83.7%	83.3%	51.6% to 98.0%
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Table 4. CRISPR and RT-qPCR assay diagnostic performance using adult serum specimens from

Cape Town, South Africa.

Method	Target	Sensitivity	95% CI	Specificity	95% CI
CRISPR	Nad4	93.3%	68.1% to 99.8%	91.7%	61.5% to 99.8%
CRISPR	Gsc1	60.0%	32.3% to 83.7%	91.7%	61.5% to 99.8%
RT-qPCR	Nad4	26.7%	7.8% to 55.1%	91.7%	61.5% to 99.8%