

## DNA methyltransferase inhibition overcomes diphthamide pathway deficiencies underlying CD123-targeted treatment resistance

Katsuhiro Togami, ... , Cory M. Johannessen, Andrew A. Lane

*J Clin Invest.* 2019. <https://doi.org/10.1172/JCI128571>.

Research In-Press Preview Hematology Oncology

The interleukin-3 receptor alpha subunit, CD123, is expressed on many hematologic malignancies including acute myeloid leukemia (AML) and blastic plasmacytoid dendritic cell neoplasm (BPDCN). Tagraxofusp (SL-401) is a CD123-targeted therapy consisting of interleukin-3 fused to a truncated diphtheria toxin payload. Factors influencing response to tagraxofusp other than CD123 expression are largely unknown. We interrogated tagraxofusp resistance in patients and experimental models and found that it was not associated with CD123 loss. Rather, resistant AML and BPDCN cells frequently acquired deficiencies in the diphthamide synthesis pathway, impairing tagraxofusp's ability to ADP-ribosylate cellular targets. Expression of *DPH1*, encoding a diphthamide pathway enzyme, was reduced by DNA CpG methylation in resistant cells. Treatment with the DNA methyltransferase inhibitor azacitidine restored *DPH1* expression and tagraxofusp sensitivity. We also developed a drug-dependent ADP-ribosylation assay in primary cells that correlated with tagraxofusp activity and may represent an additional novel biomarker. As predicted by these results and our observation that resistance also increased mitochondrial apoptotic priming, we found that the combination of tagraxofusp and azacitidine was effective in patient-derived xenografts treated in vivo. These data have important implications for clinical use of tagraxofusp and led to a phase 1 study combining tagraxofusp and azacitidine in myeloid malignancies.

Find the latest version:

<https://jci.me/128571/pdf>



# **DNA methyltransferase inhibition overcomes diphthamide pathway deficiencies underlying CD123-targeted treatment resistance**

Katsuhiro Togami<sup>1#</sup>, Timothy Pastika<sup>1#</sup>, Jason Stephansky<sup>1#</sup>, Mahmoud Ghandi<sup>2</sup>, Amanda L. Christie<sup>1</sup>, Kristen L. Jones<sup>1</sup>, Carl A. Johnson<sup>3</sup>, Ross W. Lindsay<sup>4</sup>, Christopher L. Brooks<sup>4</sup>, Anthony Letai<sup>1</sup>, Jeffrey W. Craig<sup>3,5</sup>, Olga Pozdnyakova<sup>3</sup>, David M. Weinstock<sup>1</sup>, Joan Montero<sup>1,6</sup>, Jon C. Aster<sup>3</sup>, Cory M. Johannessen<sup>2</sup>, Andrew A. Lane<sup>1,2</sup>

<sup>1</sup>Department of Medical Oncology, Dana-Farber Cancer Institute, Harvard Medical School, Boston, MA

<sup>2</sup>Broad Institute of Harvard and MIT, Cambridge, MA

<sup>3</sup>Department of Pathology, Brigham and Women's Hospital, Harvard Medical School, Boston, MA

<sup>4</sup>Stemline Therapeutics, New York, NY

<sup>5</sup>Current address: Department of Pathology and Centre for Lymphoid Cancer, BC Cancer, Vancouver, Canada

<sup>6</sup>Current address: Institute for Bioengineering of Catalonia, Barcelona, Spain

#Co-first authors

Address correspondence to:

Andrew A. Lane, MD, PhD  
Dana-Farber Cancer Institute  
450 Brookline Ave, Mayer 413  
Boston, MA 02215  
617-632-4589  
[andrew\\_lane@dfci.harvard.edu](mailto:andrew_lane@dfci.harvard.edu)

Figures: 6

Supplemental Figures: 3

Supplemental Table: 1

Running title: Mechanisms and targeting of tagraxofusp resistance

Conflict of interest statement: R.W.L. and C.L.B. are full-time paid employees of Stemline Therapeutics. T.P. is currently a full-time paid employee of AbbVie but was not during the time this work was done. C.M.J. is currently a full-time paid employee of Novartis but was not during the time this work was done. A.L. receives research support from AbbVie, AstraZeneca, and Novartis; and is a cofounder or advisory board member of Zeno Pharmaceuticals, Vivid Bioscience, Flash Therapeutics, and Dialectic Therapeutics. J.M. is a consultant for Oncoheroes Biosciences and Vivid Biosciences. A.A.L. receives research support from AbbVie and Stemline Therapeutics and is a consultant for N-of-One. J.C.A. and A.A.L. have applied for a patent regarding biomarkers and methods to overcome resistance to bacterial toxin-based therapeutics (PCT/US2018/058222).

## Abstract

The interleukin-3 receptor alpha subunit, CD123, is expressed on many hematologic malignancies including acute myeloid leukemia (AML) and blastic plasmacytoid dendritic cell neoplasm (BPDCN). Tagraxofusp (SL-401) is a CD123-targeted therapy consisting of interleukin-3 fused to a truncated diphtheria toxin payload. Factors influencing response to tagraxofusp other than CD123 expression are largely unknown. We interrogated tagraxofusp resistance in patients and experimental models and found that it was not associated with CD123 loss. Rather, resistant AML and BPDCN cells frequently acquired deficiencies in the diphthamide synthesis pathway, impairing tagraxofusp's ability to ADP-ribosylate cellular targets. Expression of *DPH1*, encoding a diphthamide pathway enzyme, was reduced by DNA CpG methylation in resistant cells. Treatment with the DNA methyltransferase inhibitor azacitidine restored *DPH1* expression and tagraxofusp sensitivity. We also developed a drug-dependent ADP-ribosylation assay in primary cells that correlated with tagraxofusp activity and may represent an additional novel biomarker. As predicted by these results and our observation that resistance also increased mitochondrial apoptotic priming, we found that the combination of tagraxofusp and azacitidine was effective in patient-derived xenografts treated in vivo. These data have important implications for clinical use of tagraxofusp and led to a phase 1 study combining tagraxofusp and azacitidine in myeloid malignancies.

## Introduction

CD123, or the interleukin-3 (IL3) receptor alpha chain, is a cell surface marker associated with a variety of hematologic malignancies and is often enriched on tumor compared to normal stem/progenitor populations (1). CD123 expression is particularly prominent in myeloid lineage neoplasms, including acute myeloid leukemia (AML), myelodysplastic syndrome (MDS), chronic myelomonocytic leukemia (CMML), and myeloproliferative neoplasms (MPNs) (2, 3). Blastic plasmacytoid dendritic cell neoplasm (BPDCN) is an aggressive hematologic cancer with skin, lymph node, blood, and bone marrow involvement, thought to result from malignant transformation of plasmacytoid dendritic cells or their precursors (4). BPDCN may be pathologically related to myeloid neoplasms/AML and is defined by particularly high levels of CD123 cell-surface expression (5, 6). Finally, the so-called AML “leukemia stem cell” (LSC) or “leukemia initiating cell” (LIC) subpopulation, proposed to represent the self-renewing subset of cells that can regenerate the entire malignant hierarchy, is enriched for CD123 expression (7). For these reasons, therapeutic targeting of cells that express CD123 is an attractive strategy in blood cancers.

Tagraxofusp (SL-401, DT<sub>388</sub>IL3) is a novel targeted therapy consisting of recombinant IL3 fused to a truncated diphtheria toxin (DT) payload (8). Tagraxofusp delivers the cytotoxic activity of DT to cells that express CD123. After internalization, the catalytic domain of DT escapes endosomes and catalyzes ADP ribosylation of eukaryotic elongation factor 2 (eEF2), blocking protein synthesis and killing the target cell (9). In 2018, tagraxofusp was approved by the US Food and Drug Administration (FDA) for treatment of patients with BPDCN, the first approval of a drug specifically for this disease, based on the results of a phase 1-2 trial (10). Tagraxofusp is currently being evaluated in clinical trials in several additional CD123-positive hematologic malignancies,

including AML, MDS, CMML, and MPNs including myelofibrosis (MF). However, the determinants of initial response and mechanisms of resistance to tagraxofusp are largely unknown.

Tagraxofusp as a single agent is effective at inducing remission, particularly in patients with BPDCN (10, 11). However, some leukemias are initially refractory to treatment and others relapse after achieving a clinical response. Therefore, the goal of this study was to characterize and target the mechanisms of resistance to tagraxofusp. We also wanted to define biomarkers of response and resistance and use our findings to develop rational combinations to enhance the efficacy of tagraxofusp-containing regimens in BPDCN and AML.

## **Results**

### ***CD123 is not lost after exposure to tagraxofusp***

Resistance to cell surface-targeted therapies in some hematologic malignancies is associated with loss of expression or downregulation of the target, such as CD19 after chimeric antigen receptor T cells or blinatumomab, or CD22 after inotuzumab ozogamicin treatment in B cell acute lymphoblastic leukemia (12, 13). Therefore, we measured the level of CD123 on the surface of AML and BPDCN cells in the bone marrow of patients before, during, and upon progression of disease during tagraxofusp treatment. We did not observe any decrease in CD123 associated with therapy, in the malignant cell population or on the surface of presumed “non-blast,” normal CD123-positive cells (Figure 1A-B). In an illustrative patient with BPDCN, representative of all cases of BPDCN and AML analyzed, the CD123 level on the blast population was maintained even in the setting of significant changes in the relative blast frequency during initial response, ongoing treatment, and at the time of disease progression in the bone marrow (Figure 1C).

One possible explanation for this phenomenon is that CD123 (*IL3RA*) expression may offer a selective advantage to AML and/or BPDCN cells. We analyzed data from genome-wide RNAi screening in 501 cell lines representing diverse cancer types from the Cancer Cell Line Encyclopedia (CCLE) and Project Achilles (14) for evidence of relative growth disadvantage upon knock-down. AML was enriched compared to cell lines derived from all other disease types for relative dependency on CD123 ( $P < 0.0005$ , Figure 1D). No BPDCN-derived cells were analyzed in the CCLE, but a prior RNA interference screen in a BPDCN cell line noted depletion of several shRNAs targeting *IL3RA*, consistent with dependency (15). These data suggest that myeloid lineage cancers such as AML and BPDCN may be unlikely to undergo selection for CD123-negative subclones, possibly even during therapy with a CD123-targeted agent, because loss is associated with a growth disadvantage.

***Tagraxofusp-resistant cells selected in vitro maintain CD123 expression but are resistant to diphtheria toxin***

Given our findings in treated patients, we wanted to determine alternative mechanisms of resistance to tagraxofusp. We treated BPDCN (CAL1) and AML (SHI1, NOMO1, THP1) cell lines with each lines' ~95% lethal dose of tagraxofusp and retreated repeatedly upon recovery. Within several treatment cycles, we generated biologically-independent subcultures of each line that were at least 100-fold less sensitive to tagraxofusp. Some resistant cells were completely insensitive to tagraxofusp at concentrations up to 1  $\mu\text{g/ml}$ , a dose that was higher than the 95% lethal dose in all parental lines tested (Figure 2A). We measured receptor subunit expression on the surface of the resistant cells by flow cytometry and found that none had a significantly altered level of CD123 or CD131, the IL3R common  $\beta$  subunit, compared to their corresponding parental lines (Figure 2B-C). Thus, although the parental lines span a 10-fold or greater range of CD123 expression and baseline tagraxofusp  $\text{GI}_{50}$  values (concentration that reduces growth by 50%), maintenance of CD123 expression level is a consistent feature of tagraxofusp-resistant cells.

The cytotoxic activity of full-length DT requires cell entry, escape from endosomes into the cytoplasm, and toxin-mediated ADP ribosylation of eEF2 (16). We used confocal microscopy with fluorescently-tagged tagraxofusp (tagraxofusp-APC) to test if there was a difference in drug internalization into resistant cells. At early timepoints (30-60 minutes) after exposure to tagraxofusp-APC, we saw no difference in the pattern of intracellular APC signal in parental or resistant cells (Figure 2D). Tagraxofusp-APC killed parental cell lines with equal efficiency as the untagged drug but had no activity against resistant subclones. Like many hematopoietic cells (17), CAL1 and SH11 cells express the DT ligand-binding domain cell-surface receptor, proheparin-binding EGF-like growth factor (HBEGF). This allowed us to test the cytotoxic activity of full-length DT in parental and tagraxofusp-resistant cells. Resistant sublines were similarly resistant to full-length DT as they were to tagraxofusp (Figure 2E). Together, these data suggest that tagraxofusp resistance in AML and BPDCN cells is not due to loss of the cell surface target or defective internalization after ligand binding.

***Acquired tagraxofusp resistance is associated with loss of diphthamide synthesis pathway activity***

To elucidate mechanisms of tagraxofusp resistance, we performed whole exome sequencing (WES) and whole transcriptome RNA-sequencing (RNA-seq) on parental and resistant BPDCN and AML cell lines. There were no acquired recurrently mutated genes in the exomes of three independent resistant CAL1 cultures by WES compared with parental cells. However, in combined RNA-seq analysis of CAL1 (BPDCN) and SH11 (AML) tagraxofusp-resistant compared to parental cells (n=6 independent resistant subcultures, n=6 parental), the most downregulated gene in resistant cells was *DPH1* (7.53-fold decrease,  $P_{\text{adj}}=3.79\text{e-}19$ ; Figure 3A, Supplemental Table 1). *DPH1* encodes the first protein in an enzymatic cascade containing at least 7 members known as the diphthamide synthesis pathway or diphthamide modification pathway, which is

responsible for catalyzing the conversion of histidine 715 on eEF2 to the variant amino acid diphthamide (18). Diphthamide-715 on eEF2 is the site of ADP ribosylation by diphtheria toxin as well as other ADP-ribosylating bacterial toxins such as *Pseudomonas* exotoxin A.

Diphthamide synthesis pathway proteins are known to be required for cytotoxicity of full-length DT, but their role in tagraxofusp sensitivity and resistance has not been studied. Given that expression of several other genes was also affected in the setting of tagraxofusp resistance, we integrated our RNA-seq data with existing data from an experiment that measured the influence of gene expression on sensitivity to a cholera toxin-diphtheria toxin hybrid protein (CTx-DTA) (19). In that experiment, a genome-wide CRISPR sgRNA library was introduced into human cells co-expressing a catalytically-inactive Cas9 nuclease fused to either a transcriptional activator or repressor (so-called “CRISPRa” or “CRISPRi”) and relative sgRNA abundance was compared before and after exposure to CTx-DTA. When we plotted tagraxofusp resistance-associated gene expression versus the CRISPRi score on a per-gene basis, only one gene, *DPH1*, was a “hit” in both assays – i.e., significantly lower expression in tagraxofusp-resistant cells and knock-down was advantageous in the setting of CTx-DTA exposure (Figure 3B). We confirmed loss of DPH1 protein in CAL1 tagraxofusp-resistant BPDCN cells by Western blotting (Figure 3C) and confirmed the same phenotype in an independent AML cell line, THP1 (Figure 3D). Thus, in 3 of 3 cell lines tested, tagraxofusp resistance was associated with decreased DPH1 expression.

We next performed a biochemical assay for the ability of tagraxofusp to catalyze ADP-ribosylation in cell lysates in the presence of exogenous biotin-tagged nicotine adenine dinucleotide (NAD<sup>+</sup>) as a source of ADP-ribose. In parental THP1 cells, tagraxofusp caused ADP-ribosylation of a single protein band of the expected molecular weight of eEF2 (~100 kDa), which was detectable by Western blotting with streptavidin-HRP. In contrast, in resistant cells with markedly reduced DPH1, tagraxofusp failed to catalyze detectable ADP-ribosylation of any protein in the lysate

(Figure 3D). Together, these data pointed to loss of DPH1 as the source of decreased tagraxofusp-induced ADP-ribosylation activity and tagraxofusp resistance in leukemia cell lines.

To confirm that loss of DPH1 was both necessary and sufficient to create tagraxofusp resistance, we performed a series of knock-out and add-back experiments. First, we generated cell lines stably expressing the Cas9 nuclease and transduced them with lentiviruses expressing one of four independent sgRNAs targeting the *DPH1* locus or non-targeting controls, each linked to GFP. At high multiplicity of infection (MOI) resulting in >80% GFP-positivity, we confirmed decreased expression of DPH1 protein in several independent *DPH1* sgRNA-transduced cultures (Figure 3E). In cultures infected at lower MOI (resulting in ~20% GFP-positivity), we observed a growth advantage after treatment with tagraxofusp only in the *DPH1* sgRNA-transduced cells but not in the control-transduced cells, particularly in those harboring sgRNAs associated with the greatest loss of DPH1 protein (Figure 3F). Therefore, we concluded that loss of *DPH1* is sufficient to confer relative tagraxofusp resistance in AML cells.

Next, we cloned a full-length *DPH1* cDNA or an N-terminal truncated cDNA, deleting a domain known to be required for DPH1 catalytic activity (20), into a doxycycline-inducible lentiviral expression vector. We transduced full-length or truncated dox-on DPH1, or empty vector-containing viruses into parental or tagraxofusp-resistant CAL1 BPDCN cells and selected with puromycin. We then performed Western blotting and the in vitro ADP-ribosylation biochemical assay in cell lysates after doxycycline-induction. We found that expression of the full-length DPH1, but not the enzymatic activity-deficient DPH1 or empty vector, restored the ADP-ribosylation activity of tagraxofusp in resistant cells (Figure 3G). Re-expression of full-length DPH1 also restored the cytotoxic activity of tagraxofusp in resistant cells to GI<sub>50</sub> values comparable to those of parental cells (Figure 3H). Of interest, overexpression of DPH1 in parental cells was also associated with modestly increased sensitivity to tagraxofusp, suggesting that DPH1 level and/or

diphthamide synthesis pathway activity is rate-limiting for the pathway and could serve as a biomarker of sensitivity to tagraxofusp.

***DPH1 locus DNA methylation correlates with tagraxofusp resistance and both are reversible by azacitidine***

*DPH1* expression is known to be regulated by DNA methylation, and sensitivity to a CD22-*Pseudomonas* exotoxin A fusion in a B-ALL cell line was previously shown to be modulated by CpG methylation at the *DPH1* locus (21). Therefore, we quantified CpG methylation in parental and tagraxofusp-resistant THP1 cells using bisulfite DNA sequencing. We found that tagraxofusp-resistant cells had acquired hypermethylation of CpG motifs in the promoter region and first exon of *DPH1* (Figure 4A). CpGs further upstream, between -300 and -80 bases from the transcription start site, showed no significant change in methylation, suggesting that increased *DPH1* promoter methylation associated with tagraxofusp resistance may confer a specific advantage. Given this finding, we hypothesized that 5-azacitidine, a DNA methyltransferase inhibitor or “DNA hypomethylating agent” (HMA) might reverse resistance-associated *DPH1* hypermethylation and restore *DPH1* expression. We treated tagraxofusp-resistant or parental THP1 cells with a non-cytotoxic dosing regimen of azacitidine (300 nM 2 days on/2 days off, for 2 weeks; Supplemental Figure 1A). Azacitidine treatment reduced *DPH1* CpG DNA methylation and partially restored mRNA expression as measured by quantitative RT-PCR (Figure 4A-B). We observed similar findings in tagraxofusp-resistant CAL1 BPDCN cells (Supplemental Figure 1A-C).

Next, we tested if azacitidine restored sensitivity to tagraxofusp in AML and BPDCN cells. First, we asked if the partial restoration of *DPH1* mRNA we observed after long-term non-cytotoxic exposure to azacitidine (Figure 4B) was sufficient to reverse the functional defect in ADP-ribosylation activity by tagraxofusp. Using the in vitro biochemical activity assay described above, we found that 2-week azacitidine-treated THP1 cells showed complete restoration of tagraxofusp-

mediated ADP-ribosylation activity (Figure 4C). In agreement with those results, azacitidine treatment also resensitized resistant AML and BPDCN cells to the cytotoxic activity of tagraxofusp (Figure 4D). Controls, including resistant cells cultured for 2 weeks with repeated dosing of tagraxofusp or maintained in vehicle alone, showed no change in tagraxofusp sensitivity. Given that azacitidine likely affects expression of many genes, not only *DPH1*, we also tested whether *DPH1* CRISPR-targeted cells (Figure 3E) were resistant to the sensitizing effect of azacitidine. *DPH1* knockdown cells did not have increased tagraxofusp sensitivity after 2-week azacitidine treatment, whereas cells resistant to tagraxofusp and harboring a control non-targeting sgRNA were relatively re-sensitized (Supplemental Figure 2D). These data support the conclusion that *DPH1* is at least among the dominant targets of azacitidine's ability to restore tagraxofusp sensitivity in cells with acquired resistance.

***Increased apoptotic priming in tagraxofusp-resistant cells associated with increased sensitivity to and synergy with chemotherapy***

To address the possibility that resistant cells have lost the ability to undergo apoptosis, which is important in considering how to sequence or combine therapies with tagraxofusp, we performed BH3 profiling. This assay quantifies the “apoptotic priming,” or propensity to undergo cell death via mitochondrial apoptosis, after stimulation of permeabilized cells with BH3 domain-containing peptides derived from pro-apoptotic BCL-2 family proteins (22). We have used BH3 profiling to predict BCL-2 family dependence and therapeutic sensitivity in several types of cancer (23, 24).

We performed BH3 profiling on parental cells and those with acquired resistance to tagraxofusp. The most striking finding was that across multiple resistant subclones of both AML and BPDCN cells, tagraxofusp resistance was associated with an increase in overall apoptotic priming (Supplemental Figure 2A). This finding was unexpected, as, in most cases, resistance to one cancer therapy is associated with decreased overall apoptotic priming and decreased sensitivity

to subsequent alternative therapies (25, 26). In addition, selective peptide treatments showed that resistant SH11 cells were more dependent upon BCL-2 and/or BCL-XL (based on increased sensitivity to BAD and HRK peptides), which suggests there could be specific changes in BCL-2 family dependencies in the setting of resistance.

Consistent with the prediction that tagraxofusp-resistant cells would be sensitized to treatments that act via mitochondrial apoptosis, we found that tagraxofusp-resistant cells were more sensitive than parental cells to treatment with several chemotherapeutic agents (Supplemental Figure 2B). These results led us to ask if there was also up-front synergy between tagraxofusp and conventional chemotherapy in parental cells. Using isobologram analysis and the method of Chou-Talalay, we determined that tagraxofusp and chemotherapy were synergistic (combination index (CI) < 1) across diverse cytotoxic compounds and cell lines (Supplemental Figure 2C). Together, these data suggest that the combination of tagraxofusp and chemotherapy, particularly azacitidine due to its additional ability to reverse tagraxofusp resistance, might be effective in AML, BPDCN, and possibly other CD123-expressing malignancies.

### ***Tagraxofusp and azacitidine are effective in combination in vivo***

To test these predictions in primary human leukemia cells in vivo, we established tagraxofusp therapeutic models using BPDCN patient-derived xenografts (PDXs) (27). First, we tested the activity of single-agent tagraxofusp in three independent BPDCN PDXs. Each PDX was injected into a cohort of recipients as a secondary transplant of cryopreserved splenocytes from the initial PDX generation. When the average peripheral blood disease burden reached 0.2%, we randomized animals to receive vehicle or tagraxofusp, given daily for 5 days to mimic the dosing schedule used in patients. In a subset, we gave one additional cycle of therapy at the time of overt disease progression. We followed the peripheral blood leukemia burden by weekly flow cytometry and observed a significant pharmacodynamic effect of tagraxofusp (Figure 5A). To confirm

disease response in tissue, we sacrificed a subset of vehicle or tagraxofusp-treated animals after 7 days and observed reduction in splenomegaly, associated with decreased splenic infiltration of human BPDCN cells (human CD45, CD123, BCL-2 positive) and increases in normal hematopoietic elements (Figure 5B-C). Tagraxofusp treatment resulted in prolonged median overall survival compared to vehicle in each PDX individually and in combined analysis (71 vs 35 days,  $P=0.0003$ ; Figure 5D).

Next, we asked if the adaptations we observed after tagraxofusp exposure in cell lines were observed in PDXs and primary samples. While the second cycle of PDX treatment was effective (Supplemental Figure 3A), the time to progression was shorter suggesting acquisition of relative resistance in vivo (Figure 5A). We noted that, as in patients treated with tagraxofusp and in resistant cell lines, CD123 expression on the surface of PDX blasts was not decreased at the time of progression after tagraxofusp (Supplemental Figure 3B). Therefore, we performed RNA-sequencing on CD45+CD123+ sorted cells from four relapsed PDXs and from four patients (3 AML and one BPDCN) before and after treatment with tagraxofusp. We observed downregulation of *DPH1* (2-fold or more) in 2 of 4 PDXs and in 3 of 3 evaluable patients (Figure 6A). One patient sample, AML3, had baseline *DPH1* expression below the level of detection, which is of unclear significance. In addition, we saw decreased expression of several other diphthamide synthesis pathway genes in some samples after tagraxofusp exposure, and there were also a few instances of increased expression (Figure 6A). While we were encouraged that changes in *DPH1* expression were largely consistent with our cell line data, we reasoned that a functional assay might more clearly define the overall contribution of the entire diphthamide pathway to tagraxofusp resistance in primary cells.

Given that loss of any diphthamide pathway member can inhibit diphthamide generation and diphtheria toxin cytotoxicity (19, 20, 28), we designed a series of biomarker assays to measure

the ability of tagraxofusp to ADP-ribosylate substrates in permeabilized cells, independent of toxin internalization (see Methods for details). We reasoned that this type of assay would be agnostic to specific upstream alteration(s) in the diphthamide pathway and represent an integrated functional readout of tagraxofusp ADP-ribosylation activity in relevant cells. Specifically, we developed tests to measure biotinylated-NAD incorporation either by microscopy in cells fixed to glass slides or by flow cytometry of permeabilized cells in solution. Using these assays, we found impairment in drug-dependent biotin labeling in tagraxofusp-resistant cell lines (Figure 6B, Supplemental Figure 3C).

The flow cytometry-based ADP-ribosylation assay is particularly attractive because it allows co-staining with cell surface markers, which facilitates measurement of tagraxofusp activity in defined populations of single cells isolated from blood or more complex tissues such as spleen or bone marrow (Supplemental Figure 3D). Thus, we applied this assay to human leukemias before and after exposure to tagraxofusp treatment in vivo. Among primary BPDCN PDXs harvested from animals with progressive disease after treatment with tagraxofusp, we observed decreased tagraxofusp-dependent ADP-ribosylation activity in CD45+CD123+ cells compared to leukemias progressing after treatment with vehicle alone (Figure 6C). Similarly, in five patients (two AML and three BPDCN) that had persistent or recurrent bone marrow blasts after treatment with 1-2 cycles of tagraxofusp, we observed decreased tagraxofusp-dependent ADP-ribosylation activity in CD45+CD123+ cells (Figure 6D). These data suggest that one mechanism of resistance in primary leukemias treated in vivo is associated with decreased ADP-ribosylation of cellular substrates by tagraxofusp, which may be caused by downregulation of diphthamide pathway members or other upstream alterations. The ADP-ribosylation biochemical assays described here are therefore potential biomarker tests for tagraxofusp activity that could be explored prospectively and in larger patient cohorts.

Finally, we tested if tagraxofusp and azacitidine were an effective combination in primary human leukemias in vivo. We injected recipient mice with BPDCN PDX cells and when the average peripheral blood leukemia burden was  $>0.2\%$ , we randomized animals to one of four groups for treatment with two cycles of vehicle, azacitidine alone, tagraxofusp alone, or azacitidine/tagraxofusp in combination. Both azacitidine and tagraxofusp alone prolonged the time to leukemia progression, but the combination of azacitidine and tagraxofusp was more effective than either single agent (Figure 6E). This correlated with a prolongation of overall survival after treatment with tagraxofusp plus azacitidine that was greater than either agent alone (Figure 6F). At 200 days, we sacrificed the remaining one tagraxofusp-treated mouse and seven tagraxofusp plus azacitidine-treated mice and measured CD45+CD123+ human leukemia cell burden in peripheral blood, spleen, and bone marrow. Whereas the one remaining tagraxofusp-treated animal had detectable leukemia cells in spleen and bone marrow, only one of seven combination-treated mice had measurable residual disease above a detection threshold of  $0.1\%$  in the bone marrow (Supplemental Figure 3E).

## Discussion

Understanding the mechanisms of response and resistance to tagraxofusp are important in optimizing its use in BPDCN, AML, and other malignancies that express CD123. A deeper understanding of the factors associated with tagraxofusp sensitivity might lead to new biomarkers of disease- or patient-specific drug activity. For example, drug-induced ADP-ribosylation activity in tumor cell lysates or permeabilized tumor cells, as we described here, is a potential predictive biomarker that merits further evaluation in prospective studies.

We observed no evidence of CD123 loss as a mechanism of resistance in cell lines, patient-derived xenografts, or in leukemias from patients treated with tagraxofusp. Rather, resistance was

associated with loss of diphthamide synthesis pathway activity and insensitivity to DT, and at least in some cells this was via DNA methylation-mediated downregulation of *DPH1*. Tagraxofusp-resistant cells also had increased apoptotic priming and were hypersensitive to cytotoxic agents, which provides one strategy for targeting resistance by combination with chemotherapy. We also found that azacitidine restored *DPH1* expression and reversed tagraxofusp resistance, and that tagraxofusp and azacitidine prolonged survival of in vivo leukemia models compared to either agent alone. Based on these data, we have initiated a multicenter phase 1 trial of the combination of tagraxofusp and azacitidine in patients with AML or high-risk MDS (clinicaltrials.gov: NCT03113643). Our data also suggest that tagraxofusp with azacitidine, or with conventional chemotherapy, could be synergistic in other CD123-positive diseases and support development of additional clinical studies to evaluate combination treatment.

Other bacterial toxin conjugates are in various stages of development for cancer therapy, including moxetumomab pasudotox, an anti-CD22 monoclonal antibody linked to pseudomonas exotoxin (PT) that has been tested in several hematologic malignancies and was recently approved for treatment of relapsed/refractory hairy cell leukemia (29). Both DT and PT catalyze ADP-ribosylation of eEF2 to inhibit protein synthesis, and deletion or loss of diphthamide synthesis genes promotes resistance to both toxins. Therefore, it is possible that resistance mechanisms may be shared across this class of toxin immunoconjugates. However, while in vitro resistance to moxetumomab pasudotox in cell lines was associated with downregulation of diphthamide synthesis pathway genes (21), this did not seem to be the dominant mechanism of resistance in B-cell acute lymphoblastic leukemia treated in vivo (30). Rather, moxetumomab resistance was associated with a developmental state change, karyotypic abnormalities, and loss of surface CD22 expression. Yet, despite this difference, azacitidine and moxetumomab were also an effective combination in B-ALL cell lines treated in vivo (30). Thus, in vivo resistance mechanisms to diphthamide-targeting toxins may be disease or cell-type dependent. Given that

CD123 loss was specifically associated with myeloid lineage growth disadvantage in genetic screens, it is possible that malignancies such as AML, MDS, and BPDCN are forced to exploit alternative tagraxofusp-resistance mechanisms other than loss of the target.

Acquisition of non-CD123-associated mechanisms of resistance is particularly important given the current clinical development of various distinct agents targeting CD123. In addition to tagraxofusp, there are anti-CD123 antibody-drug conjugates, CD123-CD3 bispecific antibodies, and CD123-targeted chimeric antigen receptor T (CAR-T) cells in development for treatment of AML, MDS, BPDCN, and other hematologic malignancies. If resistance to CD123-targeted agents in myeloid cells is more likely to be related to the payload rather than loss of the target, then there might not be cross-resistance to different drug classes. This should be considered when designing entry criteria for clinical trials, specifically that prior therapy with another CD123-targeted agent should not necessarily exclude eligibility.

In this study, tagraxofusp resistance was associated with increased apoptotic priming and sensitivity to conventional chemotherapy, an observation consistent with data that engineered single gene knockouts of DPH1-4 result in hypersensitivity to cell death induced by tumor necrosis factor alpha (31). Diphthamide-eEF2 is thought to regulate protein translation by preventing “ribosomal slippage” and -1 frameshifting (32). This is in line with studies showing that loss of DPH3 results in decreased level of the anti-apoptotic protein XIAP via impairment of translation fidelity (33) and leads to enhanced sensitivity to cytotoxic agents (34). Regardless of the upstream cause of the increased priming we observe in BPDCN and AML cells, these data suggest that combinations of tagraxofusp with agents targeting BCL-2 or BCL-2 family members may be particularly active. Given that we previously found BPDCN was markedly dependent on BCL-2 and sensitive to venetoclax (24), understanding potential synergy between tagraxofusp and BCL-2 inhibition is of significant interest for future studies.

Relatively little is understood about the diphthamide pathway in normal biology, outside of its role in toxin-mediated cytotoxicity. For example, *DPH1* is frequently co-deleted with *TP53* on chromosome 17 in several human cancers (35), and haploinsufficiency of *Dph1* promoted spontaneous tumor development in mice, alone or in concert with *Tp53* deletion (36). However, the mechanism of *DPH1*'s tumor suppressor activity and whether it involves regulation of translation is largely unknown. Cells resistant to tagraxofusp might provide another model to understand the normal function of diphthamide-eEF2. It is intriguing to speculate that alterations in gene expression, protein abundance, or translational fidelity associated with loss of diphthamide pathway activity may create additional novel targets for therapy. Given that these changes could be lineage- and/or patient-specific, the consequences of tagraxofusp resistance and diphthamide synthesis alterations likely need to be assessed in each unique situation.

## **Methods**

### Cell lines

Cell lines were from ATCC or DSMZ, except CAL1 cells were provided by T. Maeda (37). Cell line identity was verified by short tandem repeat (STR) profiling in the DFCI Molecular Diagnostics Core and cells were verified to be mycoplasma-free by regular testing at least every 6 months. Tagraxofusp-resistant cultures were generated by first determining the lethal dose for ~95% (LD<sub>95</sub>) of parental cells using an MTT assay as outlined below. Cultures were treated with the LD<sub>95</sub> and allowed to recover to confluence. The LD<sub>95</sub> treatment was repeated up to three times. All cell lines developed resistance within 2-4 treatments. Resistant cultures were re-treated with tagraxofusp at 1 µg/mL every ~2 weeks to maintain the resistant state.

### Flow cytometry

Cells were washed with phosphate buffered saline (PBS) with 2% fetal bovine serum (FBS) and then stained with 1:100 dilutions of either CD123-PE (BD Pharmingen #555644), CD131-PE (eBioscience #12-1319-41), CD4-APC (BD Pharmingen #561840), or PE-mouse IgG kappa isotype (BD Pharmingen #550617), for 20 minutes at 4°C. Samples were then washed twice with PBS + 2% FBS and analyzed. Flow cytometry on patient samples was performed using a BD FACSCanto II system and analyzed using BD FACSDIVA software (BD Biosciences). Cell lines flow was performed using a Cytoflex flow cytometer (Beckman Coulter #B53012) and analyzed using FlowJo software, version 10. Antigen levels were normalized by subtracting the mean fluorescence intensity (MFI) of the isotype control. MFI accuracy and reproducibility were verified using CD-Chex Plus reagents, positive controls manufactured from normal human peripheral blood for flow cytometry immunophenotyping (Streck Labs).

### Cancer Dependency Map analysis

Data for *IL3RA* (CD123) RNAi from the Cancer Dependency Map (14) of Project Achilles and the Cancer Cell Line Encyclopedia (CCLE) were downloaded from the publicly-available DepMap data portal (<https://depmap.org/portal/>) on 9/6/2018.

### Confocal microscopy

Tagraxofusp was conjugated to allophycocyanin (APC) by OneWorld Biotech (Warminster, PA). Briefly, tagraxofusp was dialyzed against PBS containing 4 mM EDTA and then treated with 2-iminothiolane to introduce a terminal sulfhydryl group on primary amines, APC was added for the conjugation reaction, and then unreacted sulfhydryl groups were blocked by N-ethylmaleimide. Cells were treated with 1 µg/mL of tagraxofusp-APC for the indicated times and washed twice with PBS. Cells were stained in PBS with a mixture of 5 µg/mL Hoechst 33342 (Life Technologies #H1399) and 5 µM CFSE (ThermoFisher #C34554) for 20 minutes at room temperature in the dark, and then washed three times in PBS. Cells were adhered to glass slides using a Cytospin centrifuge (300 x g for 3 minutes) and imaged using a Yokogawa Spinning Disk Confocal Microscope at 100x magnification.

### Drug toxicity and apoptosis assays

Cell lines were cultured in RPMI-1640 with 10% FBS (except SHI1 was in IMDM + 20% FBS) and were plated in a 96-well plate at a concentration of 5,000 cells per 120 µL media per well. Compounds were added to the cells in serial 5-fold dilutions. After a 72-hour incubation at 37°C, viability was determined by a 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay. In brief, 20 µL of 5 mg/mL MTT (EMD Millipore #475989) in PBS was added to each well and incubated for 2 hours 37°C, and then 100 µL MTT lysis buffer (100 g SDS dissolved in 50% DMF/water solution containing 12.5 mL glacial acetic acid and 2.56 mL 1N HCl) was added to each well followed by additional 4-hour incubation 37°C. Absorbance values were measured using a SpectraMax M3 plate reader (Molecular Devices) at 570 and 630 nm. Viability curve

values were generated using the nonlinear regression (curve fit) function in GraphPad Prism (GraphPad Software). For drug synergy experiments, tagraxofusp was diluted serially five-fold from 1 µg/mL to 64 pg/mL in rows of a 96-well plate. Drug #2 was added and diluted serially five-fold in columns. The setup yielded 96 data points (1 control (no drug), 7 doses of tagraxofusp only, 11 doses of drug #2 only, and 77 different drug concentration combinations). Each plate was prepared in triplicate and after 72 hours, an MTT assay was performed as above. The data were analyzed for synergy using the Compusyn software (<http://www.combosyn.com/index.html>). BH3 profiling was performed as previously described (22).

#### Whole exome sequencing

Exome sequencing was performed using the Agilent SureSelect All Exon V2 probe-based capture using an in-solution hybrid selection method to generate Illumina exome sequencing libraries (38). Pooled libraries were normalized to 2 nM and denatured using 0.2 N NaOH prior to sequencing. Flowcell cluster amplification and sequencing were performed according to the manufacturer's protocols using the HiSeq 2500. Each run was a 76 bp paired-end with a dual eight-base index barcode read. Data were analyzed using the Broad Picard Pipeline which includes de-multiplexing and data aggregation. Sequencing data were aligned and processed including mutation calling as previously described (39).

#### RNA sequencing and analysis

Total RNA was quantified using the Quant-iT RiboGreen RNA Assay Kit and normalized to 5 ng/µl. 200 ng of each sample was transferred into library preparation which was an automated variant of the Illumina TruSeq Stranded mRNA Sample Preparation Kit. This method preserves strand orientation of the RNA transcript. It uses oligo dT beads to select mRNA from the total RNA sample. It is followed by heat fragmentation and cDNA synthesis from the RNA template. The resultant cDNA then goes through library preparation (end repair, base 'A' addition, adapter

ligation, and enrichment) using indexed adapters for multiplexing. After enrichment the libraries were quantified with qPCR using the KAPA Library Quantification Kit for Illumina Sequencing Platforms and then pooled equimolarly. The entire process was in 96-well format and all pipetting was done by either Agilent Bravo or Hamilton Starlet. Pooled libraries were normalized to 2 nM and denatured using 0.1 N NaOH prior to sequencing. Flowcell cluster amplification and sequencing were performed according to the manufacturer's protocols using the HiSeq 2500. Each run was a 101 bp paired-end with an eight-base index barcode read. Data were analyzed using the Broad Picard Pipeline which includes de-multiplexing and data aggregation. RNA-seq reads were aligned to the B37 version of human genome using TopHat version 1.4. Gene level read count values and FPKM were determined using the RNA-SeQC pipeline (40). Differential gene expression analysis was performed using DESeq2 (41) with parameter `minReplicatesForReplace=Inf` to keep outlier genes. For unbiased genome-wide differential expression analysis, we excluded genes with low statistical significance (adjusted P-value>0.1), effect size (absolute mean log2 fold-change <1), or expression level (maximum log2 RPKM<1) across experimental conditions. Data are deposited in GEO, accession number GSE131147.

#### Western blotting

Lysates were prepared from cell lines in RIPA buffer (Boston Bioproducts #BP-115) with protease inhibitor cocktail (Thermo Scientific #1862209) and sonicated before quantification by BCA assay (Thermo Scientific #23225). Samples were prepared with 15 µg of total protein and SDS-Sample Buffer (Boston-Bioproducts #BP-110R), boiled for 5 minutes at 98°C, and recovered by spinning at 12000 x g for 5 minutes at 4°C before loading onto the gel. The gel was run for 80 minutes at 120V in SDS-Running Buffer (Boston Bioproducts BP#177) before being transferred to PVDF (BioRad #1620177) for 80 minutes at 100V. Blots were blocked in 5% dried milk (AppliChem #A0830) in TBS with 0.1% Tween for 1 hour before being incubated overnight in antibodies recognizing DPH1 1:1000 (Abcam #ab185960), eEF2 1:1000 (Abcam #40812), or beta-Actin

1:10,000 (Sigma Aldrich #A5441). The blots were washed 3 times in TBS with 0.1% Tween before being incubated with either rabbit (Santa Cruz #SC-2004) or mouse (Santa Cruz #SC-2005) secondary HRP-conjugated antibodies before being imaged using ECL substrate (BioRad #170-5061) on an ImageQuant LAS-4000 (GE #28-9607-59AB).

#### In vitro ADP-ribosylation assay for detection on protein blot

Lysates were prepared from cell lines in RIPA buffer (Boston Bioproducts #BP-115) with protease inhibitor (Thermo Scientific #1862209) and sonicated before quantification with BCA assay (Thermo Scientific #23225). Samples were prepared using 50 µg total protein, 100 ng tagraxofusp, and 5 µM biotinylated-NAD<sup>+</sup> (Trevigen #460-500-01) in ADP-Ribosylation Buffer (final concentrations: 20mM Tris-HCl, pH 7.4; 1 mM EDTA; 50 mM DTT), to 30 µL total volume and incubated at room temperature for 30 minutes. SDS-Sample Buffer (Boston-Bioproducts #BP-110R) was then added to each sample and then boiled at 98<sup>o</sup> C for 5 minutes, recovered by spinning at 12000 x g for 5 minutes at 4<sup>o</sup>C, and the entire sample was loaded onto an SDS-PAGE gel. Gels were run, transferred, and blocked as per above in the Western blot methods. For biotinylated ADP detection, blots were incubated with streptavidin-HRP (Abcam #ab7403) at 1:1000 dilution, with chemiluminescence detection by ECL as above.

#### CRISPR-Cas9 gene targeting and treatment with tagraxofusp

Cell lines stably expressing the Cas9 nuclease were generated by infection with the empty lentiCRISPRv2 lentivirus (not containing an sgRNA guide) using standard methods (<https://www.addgene.org/viral-vectors/lentivirus/lenti-guide/>). Cells were selected in puromycin and FLAG-Cas9 expression was confirmed by western blot. Next, we cloned sgRNAs (see target sequences below) into a lentiviral expression vector that co-expresses GFP (pLKO5.sgRNA.EFS.GFP, Addgene) via the BsmBI restriction site per standard methods as above. Cas9-expressing cell lines were infected at a cell density of 250,000 cells in 1.5 mL media

in the presence of 4 µg/mL polybrene (Santa Cruz #SC-134220). 48 hours after infection, cell lines were analyzed via a CytoFlex flow cytometer (Beckman Coulter #B53012) for infection efficiency. CRISPR-Cas9 cell lines were then analyzed by western blotting or treated with tagraxofusp at 1 µg/mL and analyzed by flow cytometry every 24 hours for 6 days.

#### *sgRNA sequences:*

hDPH1_sgRNA_2_For	CACCGTTCACGGAGGCCGAAGTGA
hDPH1_sgRNA_2_Rev	AAACTCACTTCGGCCTCCGTGAAC
hDPH1_sgRNA_3_For	CACCGTGATGGGTGACGTGACCTAC
hDPH1_sgRNA_3_Rev	AAACGTAGGTCACGTCACCCATCAC
hDPH1_sgRNA_5_For	CACCGCCTCTTTGCCTGTACCATTG
hDPH1_sgRNA_5_Rev	AAACCAATGGTACAGGCAAAGAGGC
hDPH1_sgRNA_6_For	CACCGCCTTTCCAAGATATCCACAA
hDPH1_sgRNA_6_Rev	AAACTTGTGGATATCTTGGAAGGC
Control guides:	
NTG1_For	CACCGACGGAGGCTAAGCGTCGCAA
NTG1_Rev	AAACTTGCGACGCTTAGCCTCCGTC
NTG2_For	CACCGCGCTTCCGCGGCCCGTTCAA
NTG2_Rev	AAACTTGAACGGGCCGCGGAAGCGC

#### DPH1 re-expression experiments

A codon-optimized HA-tagged human DPH1 DNA fragment was synthesized by Twist Bioscience (San Francisco, CA) designed using their Codon Optimization for Synthesis software. The codon-optimized sequence was:

```

ATG TAC CCG TAC GAT GTG CCG GAC TAC GCA GCC GCT GCA GGA GGG CGC AGA CAG GTC ATG
GCG GCG CTT GTA GTC TCT GGA GCG GCC GAA CAG GGC GGG CGC GAT GGC CCG GGT AGG GGG
CGA GCA CCA AGG GGG AGA GTG GCT AAC CAG ATC CCC CCA GAA ATA CTC AAG AAT CCA CAG
CTT CAG GCC GCT ATT CGA GTT CTT CCT TCT AAT TAC AAT TTT GAA ATT CCC AAA ACA ATT
TGG AGA ATT CAG CAA GCC CAA GCC AAA AAA GTG GCC CTG CAG ATG CCG GAG GGC CTT TTG
CTC TTC GCG TGT ACA ATT GTG GAT ATT TTG GAG CGG TTT ACA GAA GCG GAG GTG ATG GTG
ATG GGG GAC GTA ACA TAT GGC GCG TGT TGC GTA GAC GAT TTT ACG GCC AGA GCG TTG GGC
GCG GAT TTC CTG GTC CAT TAT GGT CAC AGC TGC CTG ATT CCA ATG GAT ACG AGT GCT CAA
GAC TTC CGA GTC TTG TAC GTG TTT GTC GAT ATT CGG ATT GAT ACA ACA CAC CTG CTC GAT
AGC CTC CGC CTT ACG TTT CCA CCG GCC ACC GCC CTG GCT TTG GTT TCT ACC ATA CAA TTC
GTT TCA ACT CTC CAG GCG GCA GCG CAG GAA CTT AAA GCA GAA TAT AGA GTA AGT GTT CCG
CAG TGC AAA CCC CTT TCT CCC GGT GAA ATA CTC GGC TGT ACG TCC CCT CGA CTC TCT AAA
GAG GTT GAG GCT GTC GTC TAT CTT GGT GAT GGG AGA TTC CAT CTG GAG AGT GTC ATG ATA
GCA AAT CCC AAT GTT CCA GCT TAT AGG TAC GAC CCA TAC TCA AAA GTT CTT TCC CGA GAG
CAT TAC GAC CAC CAG AGG ATG CAA GCG GCG CGG CAG GAA GCA ATT GCT ACT GCA CGC TCA

```

GCC AAA TCT TGG GGG CTG ATA TTG GGT ACA TTG GGG CGG CAG GGT TCC CCA AAA ATT CTC  
GAA CAC CTT GAG AGT CGC CTT CGC GCT CTC GGC CTG TCA TTC GTC AGA CTT TTG TTG AGT  
GAG ATT TTT CCA TCC AAA CTT TCA TTG CTG CCG GAA GTG GAC GTA TGG GTA CAG GTT GCG  
TGC CCC AGA CTC TCC ATT GAT TGG GGG ACG GCT TTC CCT AAA CCG CTC CTC ACA CCC TAT  
GAA GCC GCG GTC GCA CTC CGA GAC ATC TCA TGG CAA CAA CCA TAC CCC ATG GAT TTC TAT  
GCC GGG AGT AGT TTG GGT CCC TGG ACT GTC AAC CAT GGT CAA GAT AGG AGG CCG CAT GCG  
CCT GGT AGA CCC GCA CGG GGC AAA GTC CAA GAG GGT AGT GCC CGA CCT CCC AGT GCA GTA  
GCC TGT GAA GAT TGC TCT TGT AGG GAT GAG AAA GTC GCA CCT CTC GCC CCT TGA

Full-length and an N-terminal truncated isoform missing the first 75 amino acids of DPH1 (amplified via PCR from the above sequence to insert a start site followed by the underlined CCG codon through the stop codon) were cloned into a pDONR221 vector (Thermo Fisher). N-terminal truncation of DPH1 is known to abolish its activity and cannot rescue diphtheria toxin sensitivity in DPH1 knockout yeast cells (20). cDNAs were then transferred to a lentiviral doxycycline-inducible expression vector (pCW57.1, Addgene) by gateway cloning. Lentivirus was produced in 293T cells using standard procedures and parental or tagraxofusp-resistant cells were spinfected in the presence of polybrene. After 48 hours, puromycin was added to the cultures at a final concentration of 1 µg/ml. After 5 days, puromycin was removed and doxycycline was added to the cultures at 200 ng/mL to induce expression of the transduced cDNAs. After 24 hours, lysates were harvested for western blotting and in vitro ADP-ribosylation activity assay, and for tagraxofusp cytotoxicity assays.

#### DNA methylation analysis

DNA was prepared from each cell line using an All Prep DNA/RNA Mini kit (Qiagen #80204). Bisulfite modification, PCR amplification, and pyrosequencing to quantitate CpG methylation fraction was performed by EpigenDx (Hopkinton, MA) using the following assays: AD2737-FS, spanning -265 to -191 from the transcriptional start site (TSS), ADS2738-FS2 (-78 to +26 from the TSS), and ADS2738-FS3 (+49 to +108 from the TSS). Data are expressed as percentage of methylated cytosine per total cytosine at each CpG locus.

#### Quantitative RT-PCR

RNA was extracted from cell lines using Trizol (Life Technologies #15596018) and quantified via NanoDrop. The High Capacity cDNA Reverse Transcriptase Kit (Thermo Fisher #4368814) was used to generate cDNA from each cell line. qRT-PCR was then performed for human *DPH1*, using *GAPDH* as the internal control, using the following primers, and SYBR Green PCR master mix per the manufacturer's instructions (ThermoFisher #4367659). Relative quantitation was calculated using the  $\Delta\Delta C_t$  method.

DPH1:	For:	CTGGTCGTATCCGGGGC
	Rev:	CAGCTGAGGGTTCTTCAGGA
GAPDH:	For:	CCTGCACCACCAACTGCTT
	Rev:	CCATCACGCCACAGTTTCC

#### Long-term azacitidine treatment

Parental and tagraxofusp-resistant cells were set up in triplicate for three cohorts of treatment: no treatment, tagraxofusp (resistant clones only) and azacitidine (all clones). The cells were treated for two days with either 1  $\mu$ g/mL tagraxofusp or 300 nM azacitidine (Selleckchem #320-67-2), respectively. After two days, the media was removed and replaced with fresh media for two days. This cycle was repeated four times (2d on/2d off, total of 16 days), for a total of 4 treatments. After the final drug treatments, fresh media was added, and cells were allowed to recover for one week before performing assays.

#### Patient-derived xenograft experiments

BPDCN patient-derived xenografts were generated as previously described (27). For PDX experiments in this study, 8-week-old female NSG (NOD.Cg-*Prkdc*<sup>scid</sup> *Il2rg*<sup>tm1Wjl</sup>/SzJ; Jackson #005557) mice were injected intravenously with  $10^6$  leukemic splenocytes in "passage 1" (i.e., PDXs that had already grown in a first round of NSG animals). 3-5 recipients of each PDX were sampled every 1-2 weeks via tail vein peripheral blood flow cytometry to quantitate human CD45+CD123+ cells. When the average peripheral blood disease burden was >0.2%, all animals

were randomly assigned to vehicle or treatment arms. In the single-agent experiments, tagraxofusp was diluted in PBS to 10 µg/mL and was administered intraperitoneally (IP) at 0.1 mg/kg/d x 5 days. A subset of animals was sacrificed 7 days after treatment for pharmacodynamic studies and pathological analysis. Remaining mice were monitored every 1-2 weeks by peripheral blood sampling. In a subset of animals in the tagraxofusp treatment group, when average disease burden exceeded 5%, they were randomly assigned to re-treatment with vehicle or tagraxofusp as above. In the combination experiment, animals were transplanted with PDX cells and monitored for disease as above. When average peripheral blood disease burden was >0.2%, all animals were randomly assigned to vehicle or treatment arms. Here, tagraxofusp was given IP at 0.1 mg/kg/d x 5 days starting on day 1 and azacitidine was given IP at 2.5 mg/kg/d x 7 days starting on day 1. On day 30, all mice received a second cycle of treatment at a reduced dose by treatment arm: tagraxofusp at 0.05 mg/kg/d x 4 days and azacitidine at 1.25 mg/kg/d x 4 days.

#### Histochemical ADP-ribosylation assay on slides

Cells were adhered to glass slides using a Cytospin centrifuge (300 x g for 3 minutes), fixed in 3% paraformaldehyde in PBS for 15 minutes at room temperature, and then rinsed 5 times in PBS. Next, slides were incubated in cold methanol at -20°C for 1 minute and then rinsed 5 times in PBS. Next, slides were incubated in 3% hydrogen peroxide in water for 10 minutes at room temperature, washed 4 times for 5 minutes in PBS with 0.1% Tween-20 (PBS-T), incubated with protein block (Leica #RE7102) for 30 minutes at room temperature, and then washed 4 times for 5 minutes in PBS-T. For enzymatic labeling, cells were incubated in a buffer containing 20 mM Tris-Cl pH 7.4, 50 mM DTT, 50 µM biotinylated NAD<sup>+</sup> (Trevigen #4670), with or without 20 ng/µL tagraxofusp at 37°C for 1 hour in a humid box. After incubation, slides were washed 4 times for 5 minutes in PBS-T, and then incubated with streptavidin-poly HRP (ThermoFisher #21140) in PBS containing 1% BSA for 30 minutes at room temperature in a humid box. Slides were washed 4 times for 5 minutes in PBS-T and treated with DAB chromogen (Vector Labs #SK-4105) diluted

in DAB diluent per the manufacturer's instructions. Cells were washed 4 times for 5 minutes in PBS-T, counterstained with hematoxylin (Leica #RE7107) for 3 minutes, washed in water once and then dehydrated (washed in tap water x3, 100% EtOH x4, xylene x4) and air-dried for at least 15 min. Slides were mounted in Cytoseal mounting media (Thermo #8312-4) under a glass coverslip and visualized.

#### Flow cytometry-based single-cell ADP-ribosylation assay

Cells were washed with PBS and stained with Zombie NIR fixable viability dye (Biolegend #423105) diluted 1:250 in PBS for 15 minutes at room temperature. Cells were washed in FACS buffer (PBS with 2% FBS) for 5 minutes, followed by staining with fluorescent antibodies, anti-human CD45-FITC (Biolegend #368508) and anti-human CD123-APC (BD Biosciences #658172), diluted 1:100 in FACS buffer for 30 minutes at 4°C, followed by washing in FACS buffer for 5 minutes. Cells were fixed in 3% paraformaldehyde diluted in PBS for 20 minutes at room temperature, followed by washing with PBS for 5 minutes. Next, cells were permeabilized with 1x perm/wash buffer containing saponin (ThermoFisher #BDB554723) per the manufacturer's instructions for 20 minutes at 4°C, washed in perm/wash buffer, blocked with streptavidin block from the endogenous biotin-blocking kit (ThermoFisher #E21390) diluted 1:1 in perm/wash buffer for 20 minutes at room temperature, washed once in perm/wash, and then blocked with biotin block (ThermoFisher #E21390) diluted 1:1 in perm/wash buffer for 20 minutes at room temperature. Cells were washed with perm/wash and then incubated with protein block (Leica #RE7102) for 30 minutes at room temperature diluted 1:1 in perm/wash buffer. For the enzymatic reaction, cells were incubated in the tagraxofusp reaction cocktail (0-12 ng/μL tagraxofusp, as indicated; 1 μM biotinylated NAD<sup>+</sup> (Trevigen #4670); 50 mM DTT; in perm/wash buffer containing 20 mM Tris-HCl, pH 7.4, and 1 mM EDTA) for 30 minutes at room temperature. Cells were washed twice with perm/wash buffer, incubated with streptavidin-BV510 diluted 1:100 in perm/wash buffer

for 30 minutes at 4°C, washed in FACS buffer once, and then detected on a Cytoflex flow cytometer (Beckman Coulter, #B53012).

### Statistics

Statistical analyses were performed using Prism software (GraphPad) or DEseq2 for RNA-sequencing, as indicated. Data are plotted as mean  $\pm$  SD, except as where indicated in legends. Box and whisker plots show a horizontal bar at the median, a box at the 25-75<sup>th</sup> percentile bounds, and whiskers at the 5-95% percentiles. Statistical significance calculations on pairwise comparisons were performed using two-tailed t tests. When more than one sample was compared to the same control, Dunnett's test was used to correct for multiple comparisons. Survival analyses were performed on curves generated using the Kaplan-Meier method and groups were compared using the log-rank test. A P value less than 0.05 was considered significant.

### Study approval

All experiments were approved by the Dana-Farber Institutional Animal Care and Use Committee (IACUC). All human studies were approved by the appropriate Institutional Review Board (IRB) and written informed consent was obtained from all participants prior to inclusion in the study.

## **Author Contributions**

K.T., T.P., J.S., A.L.C., K.L.J., C.A.J., J.M., J.C.A., C.M.J., and A.A.L. designed and conducted experiments; K.T., T.P., J.S., M.G., A.L., J.W.C., O.P., D.M.W., J.M., C.M.J., and A.A.L. analyzed data; R.W.L. and C.L.B. provided reagents; K.T., T.P., D.M.W., J.M., J.C.A., C.M.J., and A.A.L. wrote the manuscript. For co-first authorship order assignment, K.T. performed and also led T.P. and J.S. in methods optimization and execution of the majority of experiments.

## **Acknowledgements**

This work was supported by Ludwig Cancer Research (T.P., J.S., A.L., J.C.A., and A.A.L.), National Cancer Institute grant CA066996 (A.L.), Stand Up to Cancer and The V Foundation (TVF) SU2C-TVF Convergence Scholar Awards grant D2015-037 and Ramon y Cajal Programme, Ministerio de Economia y Competitividad grant RYC-2015-18357 (J.M.), the Gerstner Family Foundation (C.M.J.), Stemline Therapeutics (A.A.L.), National Cancer Institute grant CA225191-01 (A.A.L.), and the Doris Duke Charitable Foundation (DDCF) grant 2017065 (A.A.L.). No DDCF funds were used in animal experiments.

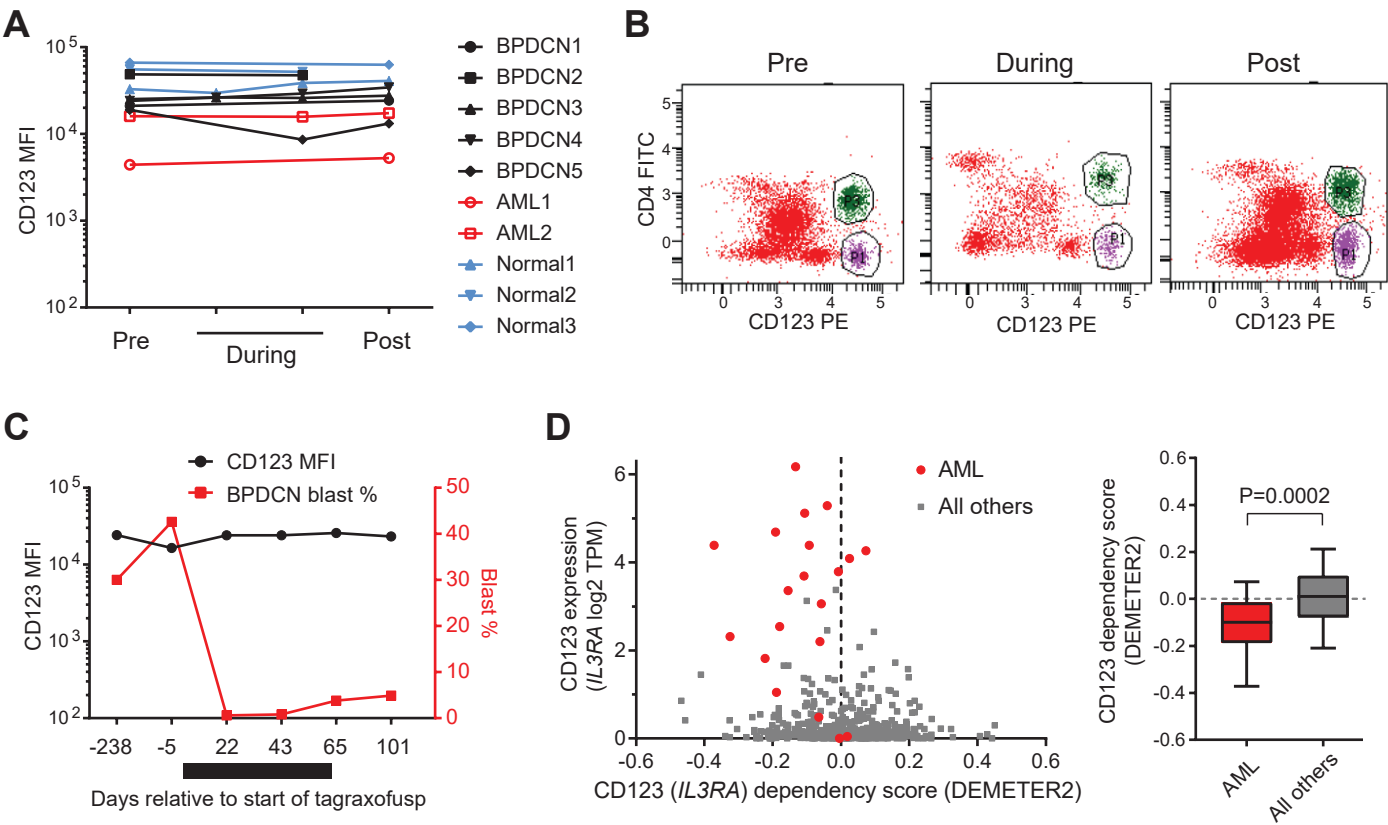
## References

1. Jordan CT. Unique molecular and cellular features of acute myelogenous leukemia stem cells. *Leukemia*. 2002;16(4):559-62.
2. Testa U, Pelosi E, and Frankel A. CD 123 is a membrane biomarker and a therapeutic target in hematologic malignancies. *Biomark Res*. 2014;2(1):4.
3. Ehninger A, Kramer M, Rolig C, Thiede C, Bornhauser M, von Bonin M, et al. Distribution and levels of cell surface expression of CD33 and CD123 in acute myeloid leukemia. *Blood Cancer J*. 2014;4:e218.
4. Khoury JD. Blastic Plasmacytoid Dendritic Cell Neoplasm. *Curr Hematol Malig Rep*. 2018;13(6):477-83.
5. Garnache-Ottou F, Feuillard J, Ferrand C, Biichle S, Trimoreau F, Seilles E, et al. Extended diagnostic criteria for plasmacytoid dendritic cell leukaemia. *Br J Haematol*. 2009;145(5):624-36.
6. Sullivan JM, and Rizzieri DA. Treatment of blastic plasmacytoid dendritic cell neoplasm. *Hematology Am Soc Hematol Educ Program*. 2016;2016(1):16-23.
7. Jordan CT, Upchurch D, Szilvassy SJ, Guzman ML, Howard DS, Pettigrew AL, et al. The interleukin-3 receptor alpha chain is a unique marker for human acute myelogenous leukemia stem cells. *Leukemia*. 2000;14(10):1777-84.
8. Alkharabsheh O, and Frankel AE. Clinical Activity and Tolerability of SL-401 (Tagraxofusp): Recombinant Diphtheria Toxin and Interleukin-3 in Hematologic Malignancies. *Biomedicines*. 2019;7(1).
9. FitzGerald DJ. Targeted diphtheria toxin to treat BPDCN. *Blood*. 2014;124(3):310-2.
10. Pemmaraju N, Lane AA, Sweet KL, Stein AS, Vasu S, Blum W, et al. Tagraxofusp in Blastic Plasmacytoid Dendritic-Cell Neoplasm. *N Engl J Med*. 2019;380(17):1628-37.
11. Frankel AE, Woo JH, Ahn C, Pemmaraju N, Medeiros BC, Carraway HE, et al. Activity of SL-401, a targeted therapy directed to interleukin-3 receptor, in blastic plasmacytoid dendritic cell neoplasm patients. *Blood*. 2014;124(3):385-92.
12. Bhojwani D, Sposto R, Shah NN, Rodriguez V, Yuan C, Stetler-Stevenson M, et al. Inotuzumab ozogamicin in pediatric patients with relapsed/refractory acute lymphoblastic leukemia. *Leukemia*. 2018.
13. Ruella M, and Maus MV. Catch me if you can: Leukemia Escape after CD19-Directed T Cell Immunotherapies. *Comput Struct Biotechnol J*. 2016;14:357-62.
14. Tsherniak A, Vazquez F, Montgomery PG, Weir BA, Kryukov G, Cowley GS, et al. Defining a Cancer Dependency Map. *Cell*. 2017;170(3):564-76 e16.
15. Ceribelli M, Hou ZE, Kelly PN, Huang DW, Wright G, Ganapathi K, et al. A Druggable TCF4- and BRD4-Dependent Transcriptional Network Sustains Malignancy in Blastic Plasmacytoid Dendritic Cell Neoplasm. *Cancer Cell*. 2016;30(5):764-78.
16. Collier RJ. Understanding the mode of action of diphtheria toxin: a perspective on progress during the 20th century. *Toxicon*. 2001;39(11):1793-803.
17. Vinante F, and Rigo A. Heparin-binding epidermal growth factor-like growth factor/diphtheria toxin receptor in normal and neoplastic hematopoiesis. *Toxins (Basel)*. 2013;5(6):1180-201.

18. Su X, Lin Z, and Lin H. The biosynthesis and biological function of diphthamide. *Crit Rev Biochem Mol Biol*. 2013;48(6):515-21.
19. Gilbert LA, Horlbeck MA, Adamson B, Villalta JE, Chen Y, Whitehead EH, et al. Genome-Scale CRISPR-Mediated Control of Gene Repression and Activation. *Cell*. 2014;159(3):647-61.
20. Abdel-Fattah W, Scheidt V, Uthman S, Stark MJ, and Schaffrath R. Insights into diphthamide, key diphtheria toxin effector. *Toxins (Basel)*. 2013;5(5):958-68.
21. Hu X, Wei H, Xiang L, Chertov O, Wayne AS, Bera TK, et al. Methylation of the DPH1 promoter causes immunotoxin resistance in acute lymphoblastic leukemia cell line KOPN-8. *Leuk Res*. 2013;37(11):1551-6.
22. Ryan JA, Brunelle JK, and Letai A. Heightened mitochondrial priming is the basis for apoptotic hypersensitivity of CD4<sup>+</sup> CD8<sup>+</sup> thymocytes. *Proc Natl Acad Sci U S A*. 2010;107(29):12895-900.
23. Montero J, Sarosiek KA, DeAngelo JD, Maertens O, Ryan J, Ercan D, et al. Drug-induced death signaling strategy rapidly predicts cancer response to chemotherapy. *Cell*. 2015;160(5):977-89.
24. Montero J, Stephansky J, Cai T, Griffin GK, Cabal-Hierro L, Togami K, et al. Blastic Plasmacytoid Dendritic Cell Neoplasm Is Dependent on BCL2 and Sensitive to Venetoclax. *Cancer Discov*. 2017;7(2):156-64.
25. Ni Chonghaile T, Sarosiek KA, Vo TT, Ryan JA, Tammareddi A, Moore Vdel G, et al. Pretreatment mitochondrial priming correlates with clinical response to cytotoxic chemotherapy. *Science*. 2011;334(6059):1129-33.
26. Vo TT, Ryan J, Carrasco R, Neuberg D, Rossi DJ, Stone RM, et al. Relative mitochondrial priming of myeloblasts and normal HSCs determines chemotherapeutic success in AML. *Cell*. 2012;151(2):344-55.
27. Townsend EC, Murakami MA, Christodoulou A, Christie AL, Koster J, DeSouza TA, et al. The Public Repository of Xenografts Enables Discovery and Randomized Phase II-like Trials in Mice. *Cancer Cell*. 2016;29(4):574-86.
28. Pasetto M, Antignani A, Ormanoglu P, Buehler E, Guha R, Pastan I, et al. Whole-genome RNAi screen highlights components of the endoplasmic reticulum/Golgi as a source of resistance to immunotoxin-mediated cytotoxicity. *Proc Natl Acad Sci U S A*. 2015;112(10):E1135-42.
29. Kreitman RJ, Dearden C, Zinzani PL, Delgado J, Karlin L, Robak T, et al. Moxetumomab pasudotox in relapsed/refractory hairy cell leukemia. *Leukemia*. 2018;32(8):1768-77.
30. Muller F, Cunningham T, Stookey S, Tai CH, Burkett S, Jailwala P, et al. 5-Azacytidine prevents relapse and produces long-term complete remissions in leukemia xenografts treated with Moxetumomab pasudotox. *Proc Natl Acad Sci U S A*. 2018;115(8):E1867-E75.
31. Stahl S, da Silva Mateus Seidl AR, Ducret A, Kux van Geijtenbeek S, Michel S, Racek T, et al. Loss of diphthamide pre-activates NF-kappaB and death receptor pathways and renders MCF7 cells hypersensitive to tumor necrosis factor. *Proc Natl Acad Sci U S A*. 2015;112(34):10732-7.

32. Liu S, Bachran C, Gupta P, Miller-Randolph S, Wang H, Crown D, et al. Diphthamide modification on eukaryotic elongation factor 2 is needed to assure fidelity of mRNA translation and mouse development. *Proc Natl Acad Sci U S A*. 2012;109(34):13817-22.
33. Arguelles S, Camandola S, Cutler RG, Ayala A, and Mattson MP. Elongation factor 2 diphthamide is critical for translation of two IRES-dependent protein targets, XIAP and FGF2, under oxidative stress conditions. *Free Radic Biol Med*. 2014;67:131-8.
34. Villahermosa D, Knapp K, and Fleck O. A mutated dph3 gene causes sensitivity of *Schizosaccharomyces pombe* cells to cytotoxic agents. *Curr Genet*. 2017;63(6):1081-91.
35. Chen CM, and Behringer RR. OVCA1: tumor suppressor gene. *Curr Opin Genet Dev*. 2005;15(1):49-54.
36. Chen CM, and Behringer RR. Ovca1 regulates cell proliferation, embryonic development, and tumorigenesis. *Genes Dev*. 2004;18(3):320-32.
37. Maeda T, Murata K, Fukushima T, Sugahara K, Tsuruda K, Anami M, et al. A novel plasmacytoid dendritic cell line, CAL-1, established from a patient with blastic natural killer cell lymphoma. *Int J Hematol*. 2005;81(2):148-54.
38. Fisher S, Barry A, Abreu J, Minie B, Nolan J, Delorey TM, et al. A scalable, fully automated process for construction of sequence-ready human exome targeted capture libraries. *Genome Biol*. 2011;12(1):R1.
39. Ng SY, Yoshida N, Christie AL, Ghandi M, Dharia NV, Dempster J, et al. Targetable vulnerabilities in T- and NK-cell lymphomas identified through preclinical models. *Nat Commun*. 2018;9(1):2024.
40. DeLuca DS, Levin JZ, Sivachenko A, Fennell T, Nazaire MD, Williams C, et al. RNA-SeQC: RNA-seq metrics for quality control and process optimization. *Bioinformatics*. 2012;28(11):1530-2.
41. Love MI, Huber W, and Anders S. Moderated estimation of fold change and dispersion for RNA-seq data with DESeq2. *Genome Biol*. 2014;15(12):550.

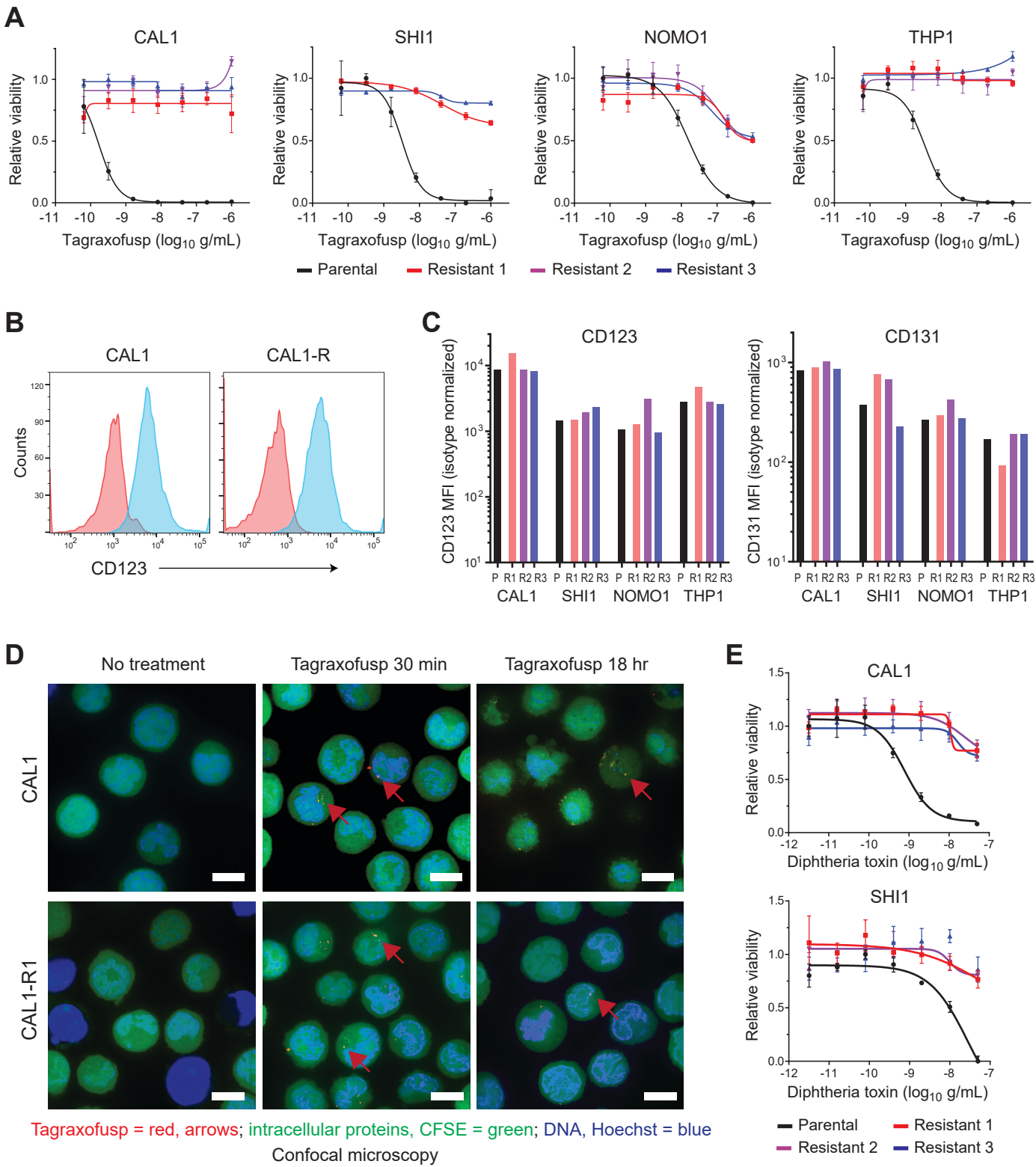
Figure 1



**Figure 1. CD123 expression is maintained during and after tagraxofusp treatment in patients.**

(A) The mean fluorescence intensity (MFI) of CD123 staining as measured by flow cytometry in bone marrow aspirates is plotted before, during, and after tagraxofusp treatment and annotated as malignant blasts of AML or BPDCN, or as putative non-blast CD123-positive cells. (B) An illustrative example bone marrow aspirate flow cytometry from a patient with BPDCN before, during, and after tagraxofusp showing the malignant CD4+CD123+ BPDCN blasts (green) and the CD4-CD123+ non-blast cells (purple). (C) CD123 MFI and relative BPDCN blast percentage in bone marrow are plotted from another illustrative patient before, during (black bar), and after tagraxofusp treatment, showing maintenance of CD123 at a stable level despite significant changes in the relative percentage of blasts. These examples are representative of all cases in Figure 1A, wherein the CD123 level was unchanged regardless of whether there was a significant change in disease burden. (D) CD123 (*IL3RA*) dependency score (x-axis) is plotted against CD123 (*IL3RA*) RNA expression level (TPM, transcripts per million) for cell lines in Project Achilles. AML cell lines are labeled red (n=20) and others are gray (n=481). Negative dependency scores (calculated using DEMETER2) signify that cell line has a relative growth disadvantage when CD123/*IL3RA* is depleted in a genome-wide pooled shRNA assay. P value calculated using two-tailed t test.

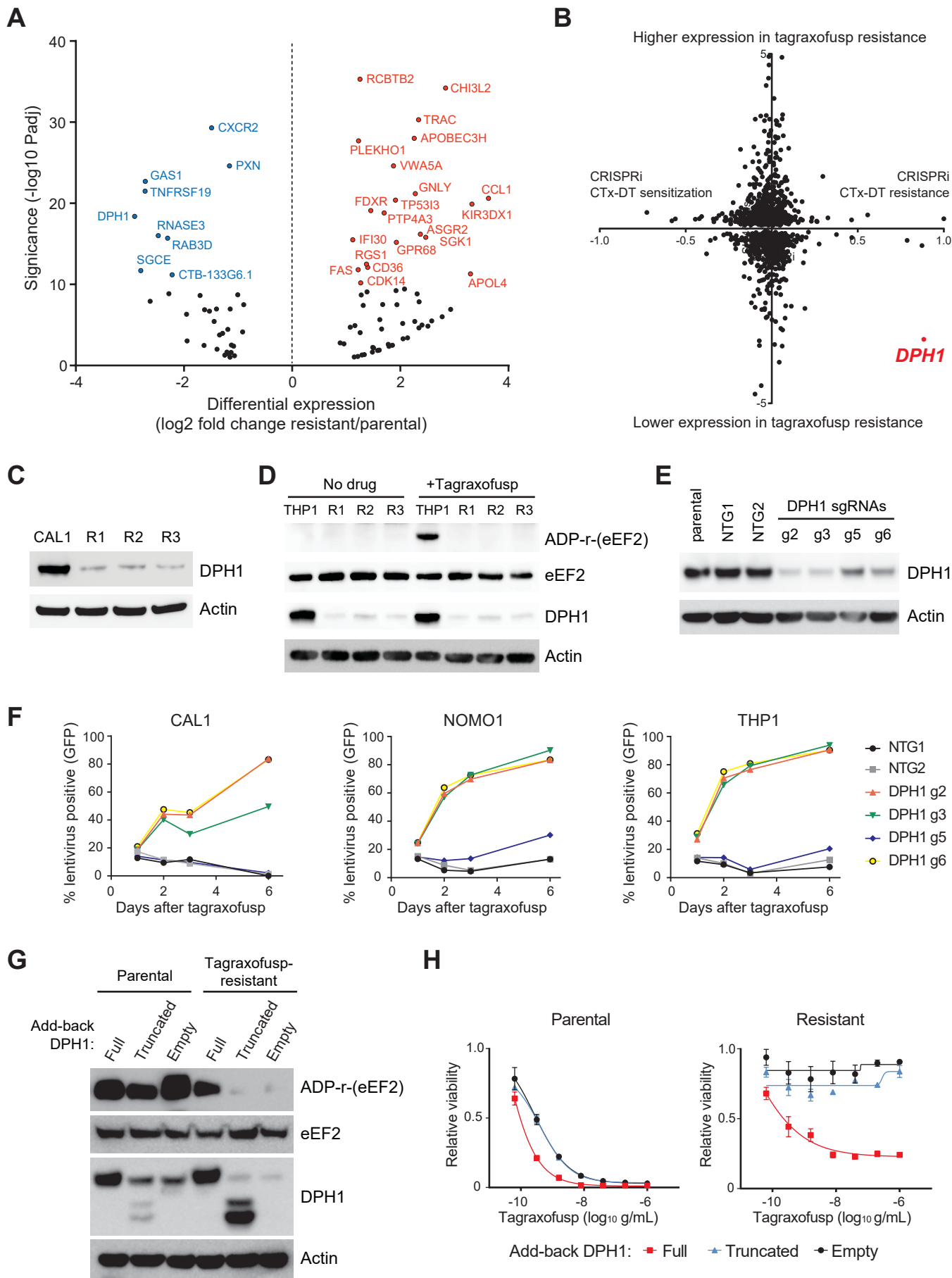
Figure 2



**Figure 2. BPDCN and AML cells resistant to tagraxofusp maintain CD123 expression and internalization of tagraxofusp but are cross-resistant to full-length diphtheria toxin.**

(A) BPDCN (CAL1) and AML (SHI1, NOMO1, THP1) parental (black) and tagraxofusp-resistant (red, blue, purple) cultures were tested for sensitivity to five-fold decreasing concentrations of tagraxofusp in an MTT assay. Each point was assessed in triplicate and plotted relative to cells growing in vehicle alone. (B) CD123 (blue) and isotype control (red) staining as measured by flow cytometry in parental CAL1 cells and in tagraxofusp-resistant CAL1 (CAL1-R) cells is shown. (C) MFI of CD123 and CD131 in the indicated BPDCN and AML parental (P) and tagraxofusp-resistant (R1-R3) cell lines is shown. (D) Confocal microscopy 30 minutes and 18 hours after exposure of parental or tagraxofusp-resistant CAL1 cells to APC-tagged tagraxofusp (red, representative foci highlighted by red arrows), co-stained with CFSE (intracellular proteins, green) and Hoechst 33342 (DNA, blue). Scale bar = 10  $\mu$ m. (E) MTT assays for viability of CAL1 and SHI1 parental and three independent tagraxofusp-resistant subcultures after exposure to full-length diphtheria toxin (DT), plotted as in (A).

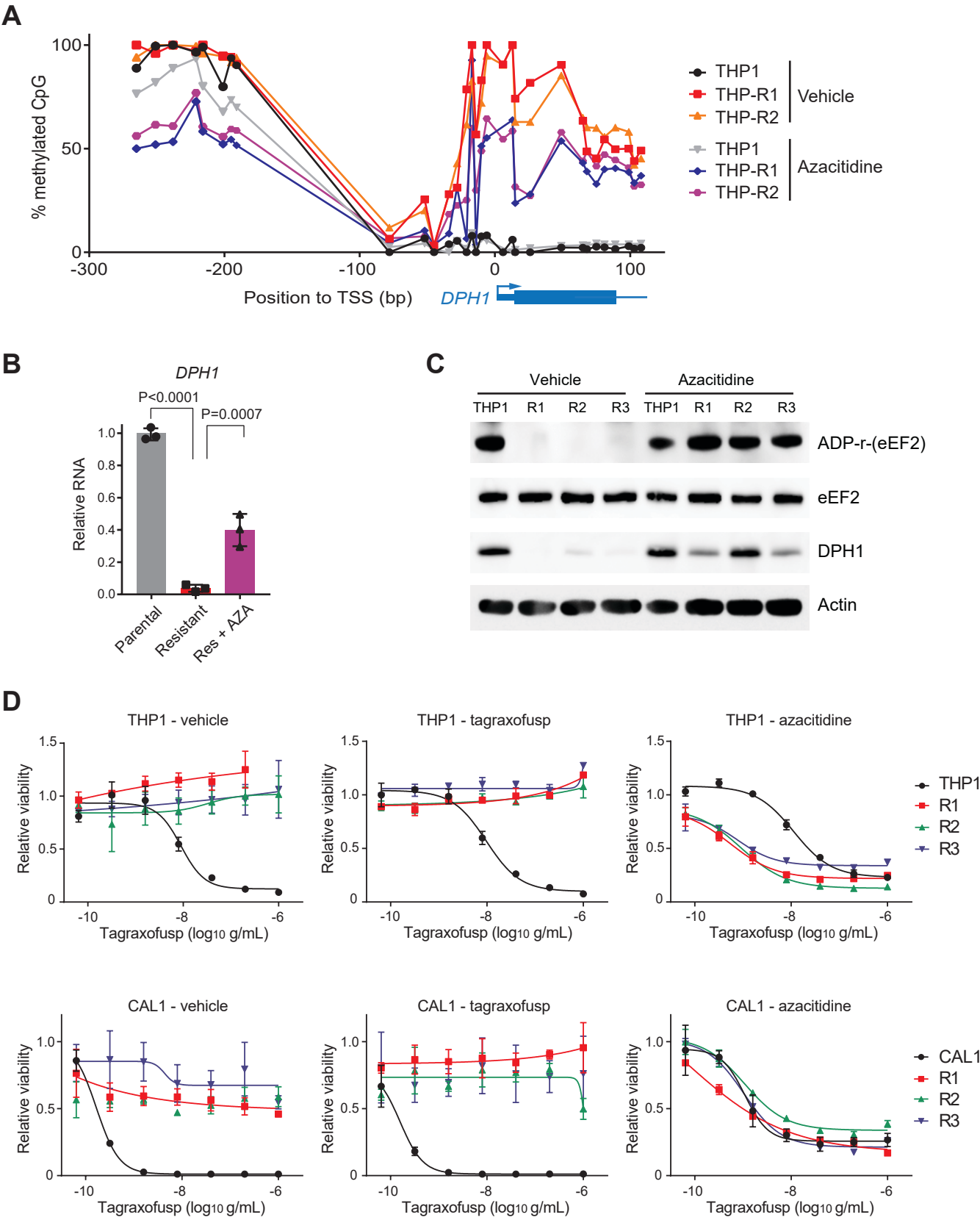
Figure 3



**Figure 3. Tagraxofusp resistance is mediated by loss of the diphthamide synthesis pathway enzyme DPH1.**

(A) Differentially expressed genes between CAL1 (BPDCN) and SH11 (AML) parental cells compared to three independent tagraxofusp-resistant subclones each (n=6 parental and 6 resistant total). Log<sub>2</sub> fold change expression in resistant compared to parental cells plotted (x-axis) vs -log<sub>10</sub> adjusted P-value (y-axis). Genes names in blue (downregulated in resistant cells) or red (upregulated in resistant cells) for genes with -log<sub>10</sub> P<sub>adj</sub>>10. (B) Log<sub>2</sub> fold change in gene expression associated with tagraxofusp resistance (as from panel A), where negative values represent lower expression in resistant cells, plotted gene-by-gene versus a CRISPRi score for CTx-DTA resistance (19), where positive values represent genes that conferred CTX-DTA resistance when their expression was inhibited. *DPH1* is highlighted in red. (C) Western blotting for DPH1 and actin in parental and tagraxofusp-resistant CAL1 cells. (D) In vitro ADP-ribosylation assay with or without tagraxofusp (top row) and Western blotting for eEF2, DPH1, and actin (bottom rows) for parental THP1 and tagraxofusp-resistant (R1-3) subclones. (E) Western blotting for DPH1 and actin in parental THP1 cells, and cells transduced with independent non-targeting (NTG1-2) and DPH1-targeted (g2, g3, g5, g6) sgRNAs. (F) Percent lentivirus-containing (GFP+) cells plotted over time after treatment with tagraxofusp in CAL1, NOMO1, and THP1 cells transduced with the CRISPR sgRNA-containing lentiviruses as in panel E, each co-expressing GFP. (G) In vitro ADP-ribosylation in the presence of tagraxofusp (top row) and Western blotting for eEF2, DPH1, and actin (bottom rows) for parental CAL1 cells and tagraxofusp-resistant cells expressing a doxycycline-inducible full-length DPH1 cDNA, an N-terminal truncated enzymatically-inactive DPH1, or empty vector. (H) Viability after treatment with serial dilutions of tagraxofusp in parental and tagraxofusp-resistant cells expressing doxycycline-inducible DPH1 or variants as in panel G. Triplicate points plotted relative to cells in vehicle alone.

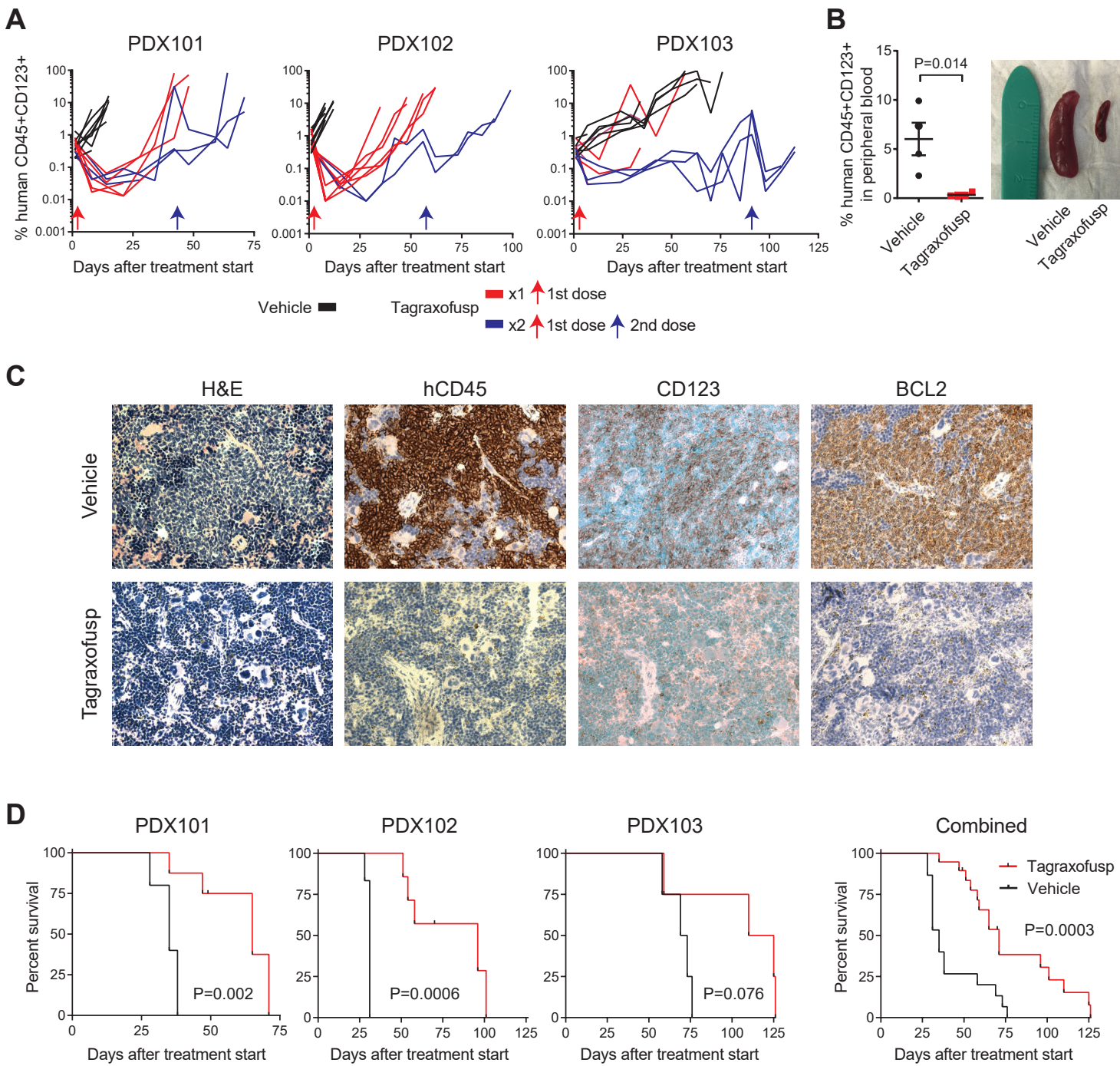
Figure 4



**Figure 4. Tagraxofusp resistance is associated with hypermethylation of *DPH1* locus CpGs, and azacitidine restores diphthamide pathway activity and tagraxofusp sensitivity.**

(A) Percentage of methylated CpGs in the *DPH1* locus are shown for the indicated genomic positions in parental THP1 cells and two independent tagraxofusp-resistant subclones, before and after 2 weeks of pulsatile treatment with non-cytotoxic doses of azacitidine. (B) Quantitative RT-PCR for *DPH1* expression in parental THP1 cells and a tagraxofusp-resistant subclone treated with vehicle or azacitidine (n=3 replicates each). Dots represent relative expression, bars +/-SD. Conditions compared by one-way ANOVA with Dunnett's multiple comparisons correction, adjusted P value shown. Data are representative of two independent resistant subclones with similar results. (C) In vitro ADP-ribosylation assay in the presence of tagraxofusp (top row) and Western blotting for eEF2, DPH1, and actin (bottom rows) are shown for parental THP1 and 3 independent tagraxofusp-resistant subclones (R1-3) after 2 weeks of pulsatile treatment with non-cytotoxic doses of azacitidine or vehicle. (D) Tagraxofusp cytotoxicity assays in parental and tagraxofusp-resistant AML (THP1) and BPDCN (CAL1) cells after 2 weeks of pulsatile treatment with non-cytotoxic doses of azacitidine or vehicle, or with weekly exposure to 1 µg/mL tagraxofusp. Each point was assessed in triplicate and plotted relative to cells growing in vehicle alone.

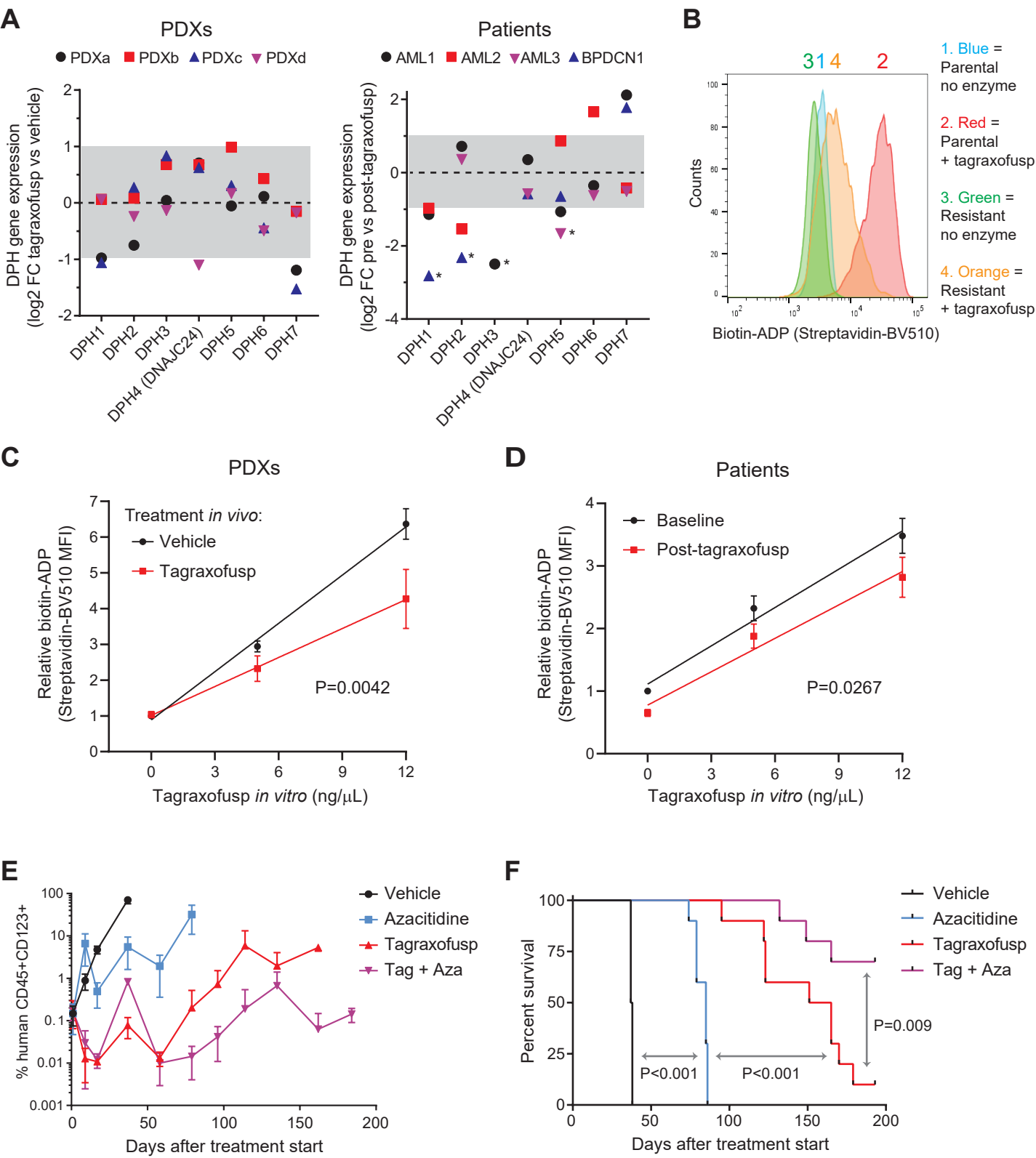
Figure 5



**Figure 5. Tagraxofusp is active in BPDCN patient-derived xenografts (PDXs) in vivo.**

(A) Human CD45+CD123+ cells as a percent of the peripheral blood mononuclear cells in NSG mice engrafted with one of three BPDCN PDXs, treated at day 0 (red arrow) with 5 days of tagraxofusp (red line) or vehicle (black line). A subset of animals in each group was retreated with another cycle at the time when >50% of animals showed progression (>5% in peripheral blood; blue lines represent animals that received two treatments and blue arrows are the time of the second treatment). (B) Peripheral blood disease burden measured by CD45+CD123+ flow cytometry and representative spleen size reduction in animals treated with tagraxofusp as compared to vehicle harvested 7 days after treatment (n=4 each). (C) Sections from mouse spleens harvested on day 7 after treatment with tagraxofusp or vehicle and stained with hematoxylin & eosin (H&E) or the indicated antibodies by immunohistochemistry. Magnification 40x. (D) Kaplan-Meier overall survival curves for recipients of each PDX (left) and for all PDXs combined (right) that received tagraxofusp (red, n=19) or vehicle (black, n=15). Curves compared by log-rank test.

Figure 6



**Figure 6. Impaired ADP-ribosylation activity in resistant cells and effectiveness of tagraxofusp plus azacitidine in vivo.**

(A) Log<sub>2</sub> fold change (FC) RNA expression for diphthamide genes in individual PDXs (left) and patients (right) after exposure to tagraxofusp in vivo compared to vehicle (PDXs) or baseline (patients). Dotted line represents no change (log<sub>2</sub>FC=0) and gray area is <2-fold change in expression (log<sub>2</sub>FC -1 to 1). \*genes below 0.1 fragments per kilobase of transcript per million mapped reads (FPKM) after tagraxofusp, in which case an FPKM of 0.1 was used to calculate fold-change. Genes that had FPKM<0.1 pre-treatment are not shown. (B) Flow cytometry-based in vitro enzymatic labeling assay for tagraxofusp-induced ADP-ribosylation activity in parental and tagraxofusp-resistant CAL1 cells, showing decreased labeling with biotin-NAD<sup>+</sup> in the setting of tagraxofusp-resistance. Staining detected with streptavidin-BV510 fluorescence. (C) Flow cytometry-based enzymatic labeling assay with increasing doses of tagraxofusp measuring ADP-ribosylation activity as in panel (B) for BPDCN PDXs harvested from spleen or bone marrow at the time of disease progression after in vivo treatment with vehicle (n=8) or tagraxofusp (n=10). Curves compared by regression modeling of least squares fit. (D) Flow cytometry-based ADP-ribosylation activity over increasing concentrations of tagraxofusp added in vitro measured in single CD45<sup>+</sup>CD123<sup>+</sup> cells collected from the bone marrow of five patients before and after in vivo treatment with tagraxofusp (curves compared as in panel D). (E) Disease burden measured by peripheral blood human CD45<sup>+</sup>CD123<sup>+</sup> flow cytometry in animals engrafted with BPDCN PDX cells after two cycles of treatment (at days 0 and 30) with vehicle, azacitidine, tagraxofusp, or the combination of tagraxofusp and azacitidine (Tag + Aza). (F) Kaplan-Meier overall survival curves from the time of treatment start for animals after treatments described in panel E (n=10 mice per arm for panels E and F). Curves compared pairwise by log-rank test.