## Mitochondrial transplantation in humans: "magical" cure or cause for concern?

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Mitochondrial transplantation is a therapeutic approach developed by McCully and colleagues that entails the injection of healthy mitochondria harvested from unaffected tissue into an ischemic organ of the same subject (1). It has recently been applied to human pediatric patients with myocardial ischemia (2), receiving widespread media attention accompanied by sensationalistic claims on its mechanism of action, e.g.: (a) after injection into the heart, "mitochondria moved like magnets to the proper places in the cells and began supplying energy;" and (b) after infusion into the coronary arteries, "somehow the organelles will gravitate almost magically to the injured cells that need them and take up residence" (3).

According to the purported mechanism of action, the mitochondria must, seemingly, perform three "magic tricks." First, the mitochondria must survive transfer from an intracellular environment to an extracellular one with high Ca<sup>2+</sup> concentrations. Second, if they survive, mitochondria must produce ATP that is able to enter cardiac myocytes to support contraction. Third, enough mitochondria must pass through the cell membrane to contribute to ATP production by the host cell. A corollary to trick number three is a variation in which the mitochondria are injected into the bloodstream and somehow pass through the endothelial vascular permeability barrier, migrate into the interstitium, and are incorporated into the dysfunctional target tissue. Given the rapid translation of this method to the clinic, it behooves us to determine whether these extraordinary claims are convincingly supported.

### Preclinical evidence of protection from myocardial ischemia-reperfusion

McCully et al. first validated mitochondrial transplantation in animal models of ischemia-reperfusion (4-6). Isolated mitochondria from autologous skeletal muscle or human cardiac fibroblasts were injected epicardially into the ischemic heart one minute before reperfusion (4, 5) or delivered by vascular perfusion through the coronary arteries immediately after reperfusion (6). The authors noted decreased ROS formation, necrotic and apoptotic cell death, and smaller infarcts in hearts with injection of mitochondria (4-6). Within 10 minutes, they noted improvements in regional hypokinesis, left ventricular hemodynamics, and, within hours, higher ATP content with benefits maintained up to 21 to 28 days after reperfusion (4-6). Injection of nonviable mitochondria, mitochondrial components, or administration of exogenous ATP or ADP in the ischemic area had no effect (4). They attributed the benefits to an increase in ATP production from the transplanted mitochondria and, within a longer time frame, to the upregulation of enzymes involved in mitochondrial energy metabolism (5). In a study in pigs, a similar protocol reduced infarct size from 13% to 5% of the area at risk (7), but, interestingly, the protection was not dependent on the dose - 200,000 mitochondria per gram of tissue were as effective as 20 million (7).

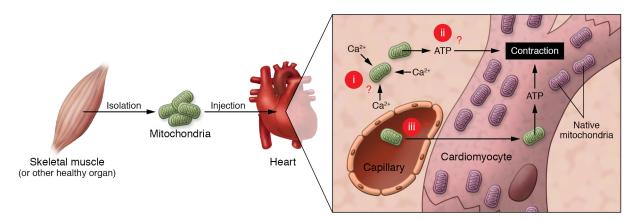
In our view, many important questions have not been adequately addressed in the rush to rapidly translate this procedure to clinical application (Figure 1).

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### How do mitochondria survive high extracellular Ca<sup>2+</sup> concentrations?

Mitochondria are highly permeable to Ca2+ (8), and when placed in micromolar concentrations of Ca2+, mitochondrial Ca2+ overload induces permeability transition pore formation in the inner membrane. Pore opening allows large molecules to leak out of the matrix, causing massive osmotic mitochondrial swelling, rupture of the outer membrane, and release of proapoptotic factors into the cytosol. In the above-mentioned studies, mitochondria were isolated and tested for function in a standard Ca2+free respiration buffer (9). Subsequently, the mitochondrial suspension was injected directly into the myocardium. Prelabeled mitochondria were found embedded along the needle track of the injection, in close proximity to cardiac myocytes, but not inside them (4). More recently, mitochondria were resuspended in physiological buffer and then delivered via the coronary arteries during reperfusion (6). In these scenarios, mitochondria are exposed to high Ca2+ concentrations (~1.8 mM) in the blood or the extracellular fluid in the interstitium. It is extremely unlikely that the mitochondria could withstand these conditions without undergoing a permeability transition. Indeed, there is no direct evidence that the injected mitochondria remain viable after injection. Likewise, mitochondria prelabeled with an iron compound and injected into porcine hearts (7) were detected by MRI up to four weeks after injection; however, this method does not confirm the viability of the mitochondria. For example, phagocytosis of cellular debris by macrophages results in sustained iron signals for weeks after cardiac injection of labeled stem cells, even though the stem cells are no longer alive (10). A simple test to rule out the possibility that mitochondria are destroyed under the conditions of injection would be to measure mitochondrial respiration of the donor

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**Figure 1. The proposed mechanism of action of mitochondrial transplantation in myocardial ischemia requires that the mitochondria perform three "magic tricks," which raises several critical questions.** (i) For trick number one, the mitochondria must survive transfer from an intracellular environment to an extracellular one. This raises the question of how mitochondria survive high extracellular Ca<sup>2+</sup> concentrations. (ii) For trick number two, mitochondria must produce ATP to support contraction. We ask: how can extracellular mitochondria produce ATP and how can extracellular ATP support contraction? (iii) For trick number three, a sufficient number of mitochondria must pass through the cell membrane to contribute to ATP production by the host cell. This prompts the questions of whether and how viable mitochondria actually enter myocytes and cross endothelial/vascular barriers, and do enough mitochondria do so to make a difference for ATP production?

mitochondria after resuspending them in high Ca<sup>2+</sup> physiological buffer, which the McCully group has not done.

#### How can extracellular mitochondria supply ATP for myofilament contraction?

Let's consider for a moment that the mitochondria can survive in high Ca2+ conditions, avoid immune cell defenses, cross endothelial barriers, and end up in close proximity to the cardiac myocytes. In the heart, the total ATP pool is turned over in less than one minute, requiring enormous and well-tuned ATP production by thousands of mitochondria within each cardiac myocyte (11). Mitochondrial transplantation at the time of reperfusion of rabbit hearts improved cardiac function within minutes, while integration of mitochondria into cardiomyocytes took hours (5). The authors concluded that the injected mitochondria provided needed ATP to support cardiac contraction during early reperfusion. Notably, before mitochondria produce ATP, glucose and fatty acids must be converted to pyruvate and fatty acyl-coenzyme A (CoA), respectively, in the cytoplasm. It is unclear how, after injection into the extracellular space, mitochondria could convert primary substrates to the common Krebs cycle intermediate in the matrix, acetyl CoA, to generate ATP in the absence of the cytosol or how the myofilaments could access ATP generated outside the cell.

# How do mitochondria enter cardiac myocytes?

McCully and colleagues showed evidence that isolated mitochondria could be taken up by cells in culture over an 8- to 12-hour time period and were not associated with endosomal or autophagic structures (5). The mitochondria also had a functional effect on the cells, as determined by the increased oxygen consumption and ATP measurements (5, 12). In human induced pluripotent stem cell-derived cardiac myocytes and human cardiac fibroblasts coincubated with labeled mitochondria (13), isolated mitochondria survived and were incorporated into cultured cells, escaped lysosomal degradation, and fused with the endogenous mitochondrial network (13). Thus, it could be argued that isolated mitochondria can survive in an extracellular culture medium and be taken up by cells in vitro. This process, called "mitochondrial transformation" was described previously in other laboratories (14), and it typically involves preparing mitochondria in media containing excess Ca2+ buffer and adding an aliquot to the culture dish. The final Ca2+ concentration in the dish is not measured, hence, it is unknown whether the conditions for mitochondrial transformation in cell culture prevent permeability transition pore activation. Internalization of functional mitochondria was demonstrated (15, 16), most convincingly by the restoration of mitochondrial respiration in rho0 cells,

in which the native mitochondria were rendered nonfunctional by depletion of mitochondrial DNA (17). Although these data support the idea that mitochondrial transformation is possible in cultured cells, it is still unproven whether mitochondria remain viable and incorporate into myocytes of the heart under the conditions used by McCully and colleagues.

### How do the few mitochondria that enter cardiac myocytes produce sufficient ATP for contraction?

According to the reports, 3%-7% of injected mitochondria are internalized by cardiac myocytes (5, 12, 13). Even if the few mitochondria that colocalized with myocytes were inside them and capable of respiration, it is still hard to understand how this small number would make a difference in ATP production, given that there are several thousand mitochondria per myocyte. Moreover, in the pig study, immunostaining of a representative tissue section showed only three human mitochondria in an entire field of myocytes (7). In perfused rabbit hearts (6), high efficiency for mitochondrial transplantation was also claimed after coronary perfusion with human mitochondria. Despite widespread distribution of mitochondria visualized by PET imaging, histological tissue sections revealed an extremely sparse distribution of human mitochondria, mainly associated with the vasculature and

interstitial spaces near capillaries, with the rare appearance of a mitochondrion close to a cardiac myocyte. It is quantitatively untenable to suggest that so few integrated mitochondria could significantly contribute to whole-heart ATP production.

# Is there evidence of benefit in patients?

The positive results in animals during reperfusion after ischemia led to a pilot study in pediatric patients (2). Cardiac mitochondrial transplantation was performed in five children suffering from myocardial ischemia secondary to cardiac surgery and requiring treatment with extracorporeal membrane oxygenation (ECMO) for more than 24 hours. Mitochondria were isolated from rectus abdominis muscle and injected into the ischemic myocardium. All five patients showed qualitative improvement in left ventricular function within days, without shortterm complications. One patient died, whereas the others experienced a recovery of cardiac function enabling ECMO decannulation a few days after reperfusion (2). Because the study was so small, it is unclear whether the published 20% mortality rate with mitochondrial transplantation is an improvement over the 38%-40% overall mortality rate for pediatric patients on ECMO in large hospitals (18). Additional findings of the group, reported in the media, include three deaths in a total of 11 treated patients (27%) and referred to an unusually high 65% mortality rate in untreated patients for comparison (3). At best, these non-peer-reviewed, anecdotal reports should be viewed with caution.

Importantly, the procedure performed in patients was very different from protocols used in the animal studies. The pediatric patients required ECMO because of ischemic injury that occurred secondary to cardiac surgery. These children received mitochondrial transplantation from two to 15 days after the ischemic injury (2), which is fundamentally different from delivering mitochondria one minute before or after cardiac reperfusion. The purported mechanisms of how mitochondrial transplantation could have improved cardiac function in animal models of ischemia-reperfusion are definitely not applicable to this situation, in which ischemic injury is already complete, and therefore the rationale for

undertaking the study is unclear. Furthermore, this study is affected by several other major design flaws, including (a) the absence of a control group; (b) the subjective quantification of ventricular dysfunction; and (c) the lack of uniform criteria to assess cardiac ischemia (2).

#### Conclusions

Several critical points make us question whether the procedure of mitochondrial transplantation was sufficiently vetted prior to its application in humans. First, it has not been explained how mitochondria could survive the high Ca2+ extracellular milieu and remain viable, and there is no evidence that viability is maintained. Second, in animal studies, only a miniscule number of mitochondria are found to be colocalized with cardiac cells, let alone internalized far too few to impact tissue ATP production. Third, even if the extracellular mitochondria remained viable and were producing ATP, this ATP would not be available to boost cardiac contractile function, which is inconsistent with rapid improvements in the hemodynamic parameters described in the animal studies (4-6).

Therefore, we would like to express our concern regarding the recent application of this technique to pediatric patients and the increasing media coverage of mitochondrial transplantation. The findings should be replicated in blinded studies in animals first, preferably by independent groups. Notably, other "postconditioning" cardioprotective protocols, despite convincing initial preclinical evidence, failed when subjected to rigorous testing following blinded preclinical study designs (19, 20), and, to our knowledge, all have failed in randomized human clinical trials (21, 22). The effects of mitochondrial transplantation on the recovery of cardiac function after controlled ischemia-reperfusion are potentially important, and putative mechanisms should be explored. Nevertheless, the extension of these results to a very different protocol in humans, the qualitative and statistically underpowered reports of benefits, the lack of a dose-dependent effect, and the unanswered questions about underlying mechanisms should be viewed skeptically before the miraculous claims trigger a wave of popup clinics for mitochondrial transplantation.

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