

## **v-rasH induces non-small cell phenotype, with associated growth factors and receptors, in a small cell lung cancer cell line.**

J P Falco, ... , N E Davidson, M Mabry

*J Clin Invest.* 1990;**85**(6):1740-1745. <https://doi.org/10.1172/JCI114630>.

### Research Article

Small cell lung cancer (SCLC) tumor progression can involve partial or complete conversion to a more treatment-resistant non-small cell (NSCLC) phenotype. In a cell culture model of this phenomenon, we have previously demonstrated that insertion of the viral Harvey ras gene (v-Ha-ras) into SCLC cell lines with amplification and overexpression of the c-myc gene induced many NSCLC phenotypic features. We now report that the v-Ha-ras gene can also induce morphologic, biochemical, and growth characteristics consistent with the NSCLC phenotype in an N-myc amplified SCLC cell line, NCI-H249. We show that v-Ha-ras has novel effects on these cells, abrogating an SCLC-specific growth requirement for gastrin-releasing peptide, and inducing mRNA expression of three NSCLC-associated growth factors and receptors, platelet-derived growth factor B chain, transforming growth factor-alpha (TGF-alpha), and epidermal growth factor receptor (EGF-R). TGF-alpha secretion and EGF-R also appear, consistent with the induction of an autocrine loop previously shown to be growth stimulatory for NSCLC in culture. These data suggest that N-myc and v-Ha-ras represent functional classes of genes that may complement each other in bringing about the phenotypic alterations seen during SCLC tumor progression, and suggest that such alterations might include the appearance of growth factors and receptors of potential importance for the growth of the tumor and its surrounding stroma.

**Find the latest version:**

<https://jci.me/114630/pdf>



# v-ras<sup>H</sup> Induces Non-Small Cell Phenotype, with Associated Growth Factors and Receptors, in a Small Cell Lung Cancer Cell Line

Joseph P. Falco,\* Stephen B. Baylin,\* Ruth Lupu,† Michael Borges,\* Barry D. Nelkin,\* Rajani K. Jasti,\* Nancy E. Davidson,\* and Mack Mabry\*

\*The Oncology Center, The Johns Hopkins Medical Institutions, Baltimore, Maryland 21231; and †The Vincent T. Lombardi Cancer Research Center, Georgetown University Medical Center, Washington, DC 20007

## Abstract

Small cell lung cancer (SCLC) tumor progression can involve partial or complete conversion to a more treatment-resistant non-small cell (NSCLC) phenotype. In a cell culture model of this phenomenon, we have previously demonstrated that insertion of the viral Harvey *ras* gene (v-Ha-*ras*) into SCLC cell lines with amplification and overexpression of the *c-myc* gene induced many NSCLC phenotypic features. We now report that the v-Ha-*ras* gene can also induce morphologic, biochemical, and growth characteristics consistent with the NSCLC phenotype in an N-*myc* amplified SCLC cell line, NCI-H249. We show that v-Ha-*ras* has novel effects on these cells, abrogating an SCLC-specific growth requirement for gastrin-releasing peptide, and inducing mRNA expression of three NSCLC-associated growth factors and receptors, platelet-derived growth factor B chain, transforming growth factor- $\alpha$  (TGF- $\alpha$ ), and epidermal growth factor receptor (EGF-R). TGF- $\alpha$  secretion and EGF-R also appear, consistent with the induction of an autocrine loop previously shown to be growth stimulatory for NSCLC in culture. These data suggest that N-*myc* and v-Ha-*ras* represent functional classes of genes that may complement each other in bringing about the phenotypic alterations seen during SCLC tumor progression, and suggest that such alterations might include the appearance of growth factors and receptors of potential importance for the growth of the tumor and its surrounding stroma. (*J. Clin. Invest.* 1990. 85:1740-1745.) oncogenes • bombesin • progression • *myc* • epidermal

## Introduction

Small cell lung cancers (SCLC)<sup>1</sup> are phenotypically distinct from all other forms of lung cancer, collectively known as

Address correspondence to Dr. Joseph P. Falco, The Oncology Center, The Johns Hopkins Medical Institutions, 424 North Bond St., Baltimore, MD 21231.

Received for publication 28 September 1989 and in revised form 22 January 1990.

1. *Abbreviations used in this paper:* DDC, L-dopa decarboxylase; DFMO, 2-difluoromethylornithine; EGF, epidermal growth factor; EGF-R, epidermal growth factor receptor; GRP, gastrin-releasing peptide; NSCLC, non-small cell lung carcinomas; PDGF, platelet-derived growth factor; 249-*ras*, 249 infected with Harvey murine sarcoma virus; RRA, radioreceptor assay; SCLC, small cell lung carcinoma; TGF- $\alpha$ , transforming growth factor- $\alpha$ ; v-Ha-*ras*, viral Harvey *ras* gene; 249, SCLC cell line NCI-H249.

*J. Clin. Invest.*

© The American Society for Clinical Investigation, Inc.

0021-9738/90/06/1740/06 \$2.00

Volume 85, June 1990, 1740-1745

non-small cell lung cancer (NSCLC) (1). Features that distinguish SCLC include the expression of a variety of neuroendocrine markers (2, 3), a characteristic histology (1), and a distinctive clinical behavior, including an initially high sensitivity to radiation and chemotherapy (4).

Unfortunately, chemoresistant tumors often recur. In autopsy series, approximately one-third of these recurrences exhibit an apparent transition towards non-small cell histology, with cells resembling NSCLC partially or completely replacing SCLC cells (5, 6). An analogous in vitro phenomenon has been observed, involving either the accrual of large cell undifferentiated NSCLC features when SCLC cell lines are maintained for extended periods of time in continuous culture (2), or the occasional manifestation of such features when SCLC is first cultured (7).

We sought to determine what characteristics predispose some SCLC tumors to undergo this apparent switch to the NSCLC phenotype during tumor progression, and what genetic events drive this transition. In a first study, we investigated the possible involvement of the *myc* and *ras* genes which have been reported to be activated in some lung tumors (8-10). We found that SCLC cell lines amplified for the *c-myc* gene undergo a transition towards the NSCLC phenotype after the insertion of an oncogenically activated *ras* gene, v-Ha-*ras* (11). We now demonstrate that v-Ha-*ras* has similar activity when inserted into an N-*myc* amplified SCLC cell line. In addition, we show that this transition toward the NSCLC phenotype includes a fundamental switch in the expression of growth factors and receptors to those characteristic of NSCLC.

## Methods

*Cell culture and virus infection.* The SCLC cell lines NCI-H249 and NCI-H69, and the squamous-type NSCLC cell line U1752 have been described previously (12, 13), including their culture conditions. Infection with the 1504A amphotropic helper virus and with the 1504A pseudotype of Harvey murine sarcoma virus (14) was performed as described previously (15).

*Growth studies.* Cells were plated at 10<sup>6</sup> cells/replicate T-75 flask (Bellco Glass, Inc., Vineland, NJ) and cell counts performed every 2 d in an electronic cell counter (Coulter Electronics Inc., Hialeah, FL).

Cloning efficiency was determined as described previously (11). For studies in defined medium, the previously described Hites medium was used (16). Gastrin-releasing peptide (GRP) came from Peninsula Laboratories, Inc., Belmont, CA.

*Electron microscopy.* Transmission electron microscopy was performed as described previously (11, 15). Staining was with uranyl acetate/lead citrate.

*Measurement of cellular GRP levels and dopa decarboxylase (DDC) activity.* GRP levels in cell lysates were measured by RIA using a rabbit polyclonal antibody recognizing the NH<sub>2</sub>-terminal 15 amino acids of GRP, as described previously (17).

DDC activity was determined as described previously (18). 1 U of

enzymatic activity is defined as 1 nm of  $^{14}\text{CO}_2$  released from decarboxylated [ $^{14}\text{C}$ ]L-dopa per hour of incubation.

**RNA extractions and Northern analysis.** RNA was extracted and poly A<sup>+</sup> selected as described elsewhere (19), electrophoresed on 1.2 or 1.5% agarose-formaldehyde gels which were alkali treated, and transferred to nylon membranes (Gene Screen; New England Nuclear, Boston, MA). Hybridizations were performed at 42°C for 20 h in buffers containing 50% formamide, 5× standard saline citrate (SSC), 2× Denhardt's solution, 150 μg/ml salmon sperm DNA, and ~2.5 × 10<sup>6</sup> cpm/ml labeled probe. Most stringent washes were in 0.1× SSC/1% SDS at 55°C except for the *v-sis* hybridization, which was washed at 42°C.

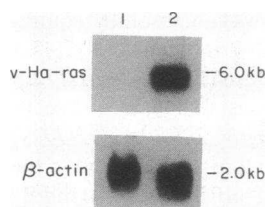
DNA probes were labeled to ~10<sup>9</sup> cpm/μg (20) and included coding regions of the following genes: *v-Ha-ras*, 730-bp Sst I-Pst I fragment (Oncor, Gaithersburg, MD); human β-actin, recombinant plasmids containing human β actin sequences (provided by Dr. Don Cleveland, Johns Hopkins University, Baltimore, MD); human EGF receptor, pE7, 2.4-kb Cla I fragment derived from A431 cells (21); transforming growth factor-α (TGF-α), 1.3-kb Eco RI fragment (22); and *v-sis*, 1.2-kb Pst I fragment (23) used at reduced stringency to probe for human platelet-derived growth factor B (PDGF-B) chain gene (24).

**EGF receptor studies.** For detection of specific cell surface EGF receptors, binding studies with  $^{125}\text{I}$ -epidermal growth factor (EGF) were performed as described (25), with the following modifications: for cells in suspension, bound counts were separated from unbound counts by centrifuging the cells through an oil cushion, as described previously (26). All binding studies were performed in triplicate at 37°C for 1 h in binding buffer containing 0.5 nM  $^{125}\text{I}$ -EGF (110 μCi/μg; Amersham Corp., Arlington Heights, IL). For assessment of nonspecific binding, replicate binding reactions were performed in binding buffer containing a 500-fold molar excess of unlabeled EGF (Collaborative Research Inc., Lexington, MA). Specific bound counts were calculated as total counts minus nonspecific counts.

**Metabolic labeling of cells and immunoprecipitation.** Cells were labeled for 4 h with [ $^{35}\text{S}$ ]cysteine by previously described methods (27), then washed with PBS, harvested by scraping (adherent cells), and lysed in 1 ml of RIPA buffer (300 mM NaCl, 100 mM Tris-HCl, 2% Triton X-100, 2% Na-deoxycholate, 0.2% SDS, 0.4% BSA, and 2 mM PMSF). After a 30-min incubation on ice, the lysate was clarified by centrifugation (30 min at 2,100 g).  $^{35}\text{S}$ -Labeled proteins were immunoprecipitated with 10 μg of partially purified rabbit preimmune serum or rabbit antiserum that had been raised against recombinant human TGF-α (a gift of Dr. Rik Derynck, Genentech, Inc., South San Francisco, CA).<sup>2</sup> After solubilization, the immunoprecipitates were analyzed by 15% SDS-PAGE gel (28) and subsequently fluorographed and autoradiographed at -70°C for 48 h.

**Collection, preparation, size fractionation, radioreceptor assay (RRA), and RIA of conditioned media.** Conditioned media were collected, concentrated, and dialyzed against acetic acid as described previously (29), clarified by centrifugation at 2,100 g for 30 min at 4°C, lyophilized, and dissolved in 1 M acetic acid to 25 mg total proteins/ml. Insoluble material was removed by centrifugation at 10,000 g for 15 min. The sample was chromatographed in 1 M acetic acid on a 2.6 × 90-cm Sephadex G-100 column (Pharmacia Fine Chemicals, Piscataway, NJ) with an upward flow of 30 ml/h to process 100 ng of protein from 4 ml of 100-fold concentrated medium. Fractions containing 3 ml of eluate were lyophilized and resuspended in 300 μl PBS. RRA of these fractions for assay of EGF-competing activity was performed on A431 membranes by published methods (27). For detection of TGF-α, samples were reduced with 40 mM dithiothreitol, denatured by immersion for 1 min in a boiling water bath, and assayed with a kit (Biotope, Inc., Seattle, WA) according to the manufacturer's protocol.

2. Lupu, R., A. Wellstein, J. Sheridan, B. W. Ennis, G. Zugmaier, D. Katz, R. B. Dickson, and M. E. Lippman, manuscript submitted for publication.



**Figure 1.** Northern blot of 13 μg of poly A<sup>+</sup> RNA from NCI-H249 cells (lane 1) and NCI-H249 cells infected with *v-Ha-ras* (lane 2) hybridized to  $^{32}\text{P}$ -oligolabeled probes from the coding regions of the *v-Ha-ras* and human β-actin genes.

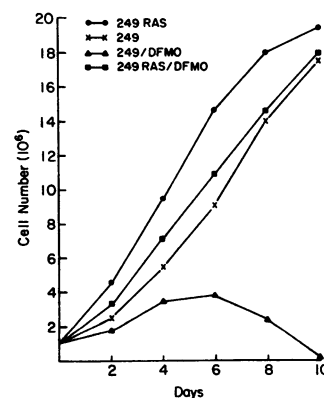
## Results

**Morphologic features of *v-Ha-ras*-infected cells.** Retroviral insertion of *v-Ha-ras* into the *N-myc* amplified SCLC cell line NCI-H249 (30) resulted in high levels of *v-Ha-ras* expression, as confirmed by Northern blot analysis (Fig. 1). 2–4 wk after infection, NSCLC features appeared (31), including prominent nucleoli, a reduced nuclear/cytoplasmic ratio, well-developed spot desmosomes, and, importantly, the appearance of a surface adherent growth pattern. This mass culture of *v-Ha-ras*-infected 249 cells was subsequently passaged as a surface-adherent culture, referred to hereafter as 249-*ras*.

**Increased growth rate, cloning efficiency, and tolerance to 2-difluoromethylornithine (DFMO).** Relative to SCLC, NSCLC in culture exhibits a shorter population doubling time and a higher soft agar cloning efficiency (2). After *ras* insertion, 249 exhibited a reduction in its population-doubling time from 3.3 to 2.4 d, during log phase growth (Fig. 2, 249 vs. 249-*RAS*), and an increase in soft agar cloning efficiency from 3.2 to 5.3%.

A cytotoxic response to the drug DFMO, an inhibitor of polyamine biosynthesis, has been consistently observed in SCLC but not NSCLC (32, 33). In a previous study, *v-Ha-ras* insertion converted *c-myc* amplified SCLC cells to a DFMO response pattern typical of NSCLC cells (11). We now report similar observations in an *N-myc* amplified SCLC cell line. Before *v-Ha-ras* insertion, 249 cells displayed a typical cytotoxic response to DFMO (Fig. 2, 249/DFMO), with death of all cells occurring within 10 d. By contrast, the 249-*ras* cell line resembled NSCLC cell lines in demonstrating no evidence of cytotoxicity and only a mild growth suppression in the presence of DFMO (Fig. 2, 249 *RAS*/DFMO).

**Loss of GRP growth requirements, and reduced neuroendocrine markers in *ras*-infected 249 cells.** GRP has been reported to be growth stimulatory for many SCLC cell lines in defined (HITES) medium (34), including line 249. By contrast, bombesin/GRP has not been reported to be an essential growth factor for the propagation of NSCLC cells in defined medium (35). We therefore looked for *ras*-induced alterations in the



**Figure 2.** Growth study of 249 vs. 249-*ras* (249 *RAS*) cells in the presence or absence of the drug DFMO. Cells were plated at day 0 at 1 × 10<sup>6</sup> cells/T-75 flask. All data points represent averages of quintuplicate cell counts. Standard errors for all data points are ≤ 15%.

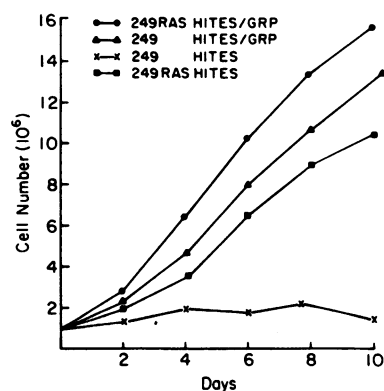
GRP requirements of 249 cells. GRP was an absolute requirement for both the clonal growth of 249 in semisolid HITES medium and for growth in liquid culture (Fig. 3, 249 HITES vs. 249 HITES/GRP). In marked contrast to 249, 249-ras cells demonstrated significant growth (Fig. 3, 249 RAS HITES) and soft agar colony formation (3.8% cloning efficiency) in the absence of GRP. In HITES, 50 mM GRP was only mildly growth stimulatory for 249-ras, increasing soft agar cloning efficiency to 4.6%, and increasing cell counts by 50% at the end of a 10-d growth study (Fig. 3, 249 RAS HITES vs. 249 RAS HITES/GRP). By RIA (17) GRP was detectable in lysates of 249 cells, but was undetectable in 249-ras cell lysate. Thus, v-Ha-ras insertion was associated with the abrogation of an absolute growth requirement for exogenous GRP by a mechanism other than autocrine stimulation by enhanced endogenous GRP production.

The decline in GRP production in 249-ras relative to 249 was accompanied by a decrease in DDC activity, the key decarboxylating enzyme of amine precursors in neuroendocrine cells, and a marker of the SCLC phenotype (3). Activities of this enzyme were 2,100 and 1,200 U/mg protein (18) for 249 and 249-ras, respectively.

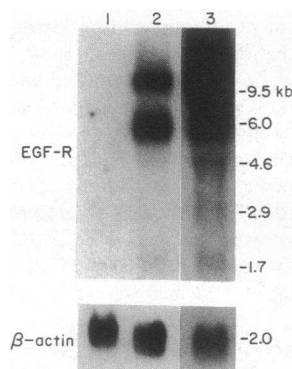
**Induction of EGF receptor (EGF-R) gene expression and specific EGF cell surface binding.** Because 249-ras had acquired a number of NSCLC features and had escaped from an SCLC-specific growth factor requirement, we next tested 249-ras for the expression of growth factors and receptors characteristic of NSCLC but not SCLC (25, 36-38), including EGF-R, TGF- $\alpha$ , and PDGF.

In Northern blot studies, no EGF-R transcripts were detected when 13  $\mu$ g of poly A<sup>+</sup> 249 RNA was probed with a human probe spanning the transmembrane domain of the EGF-R gene (21) (Fig. 4, lane 1). By contrast, an equal amount of 249-ras RNA exhibited intense bands for EGF-R of ~9.5 and 6 kb and a minor species of 1.7 kb (lane 2), corresponding exactly in size to bands seen in poly A<sup>+</sup> RNA from U1752 (lane 3), an epidermoid-type NSCLC cell line known to express large numbers of EGF receptors (37). Additional minor species of 4.6 and 2.9 kb were also seen in U1752.

In binding studies performed with 0.5 nM <sup>125</sup>I-EGF, specific cell surface binding of EGF was not observed in the parent 249 cells, 249 cells infected with helper virus, or NCI-H69, a cell line previously shown to lack EGF receptors (37). By contrast, 249-ras was observed to bind 33 fmol of <sup>125</sup>I-EGF/10<sup>6</sup> cells, nearly half of the binding observed in positive control U1752, which bound 70 fmol/10<sup>6</sup> cells. The specific binding of <sup>125</sup>I-EGF by 249-ras provides evidence for the appearance of significant numbers of specific cell-surface EGF receptors.



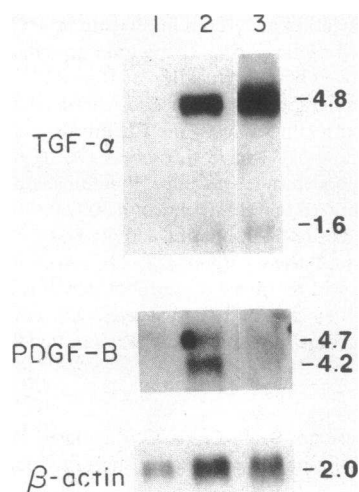
**Figure 3.** Growth of 249 and 249-ras (249 RAS) cells in defined (HITES) medium  $\pm$  50 mM GRP. Seeding density was 10<sup>6</sup> cells/T-75 flask. All data points represent averages of quintuplicate cell counts. Standard errors for all data points are  $\leq$  15%.



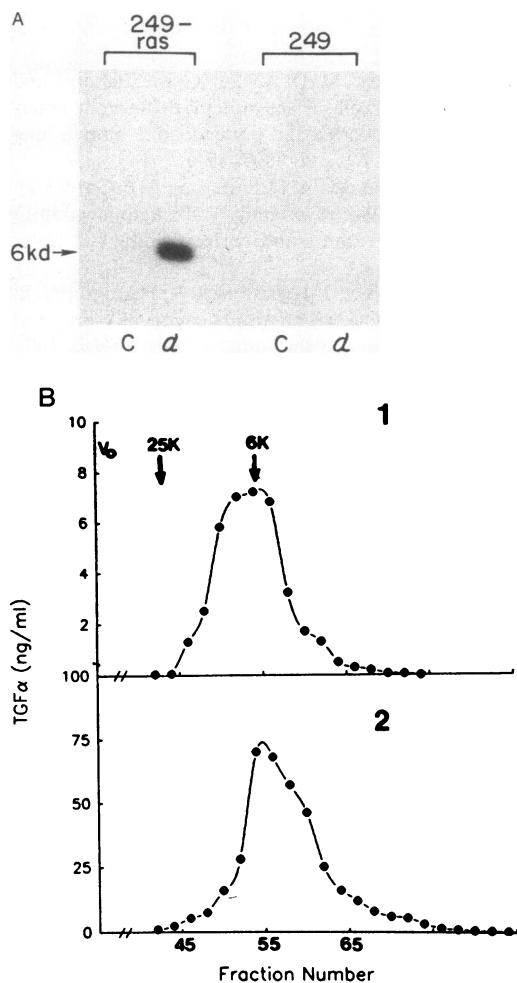
**Figure 4.** Northern blot of 13  $\mu$ g poly A<sup>+</sup> RNA/lane from the following cell lines probed with the coding region of the human EGF receptor and  $\beta$ -actin genes: lane 1, 249; lane 2, 249-ras; lane 3, U1752 squamous lung carcinoma cell line.

**249-ras expresses TGF- $\alpha$  mRNAs and secretes TGF- $\alpha$ .** Expression of the gene for a key ligand of EGF-R, TGF- $\alpha$ , has been found in most NSCLC cell lines but not in any SCLC cell lines tested to date (38). Therefore, 249 and 249-ras were tested for expression of this gene and its protein product. 10  $\mu$ g of 249-ras and U1752 poly A<sup>+</sup> RNA probed with a 1.3-kb Eco RI fragment from the TGF- $\alpha$  coding region (22) detected a major 4.8-kb and a minor 1.6-kb species (Fig. 5, lanes 2 and 3), corresponding in size to transcripts previously observed in NSCLC cell lines (38). TGF- $\alpha$  expression was not detected in 249 (Fig. 5, lane 1).

Since 249-ras demonstrated TGF- $\alpha$  gene expression, it was next tested for the biosynthesis of TGF- $\alpha$ . Lysates of [<sup>35</sup>S]cysteine-labeled 249-ras cells immunoprecipitated with a rabbit anti-human TGF- $\alpha$  antiserum and electrophoresed on SDS-PAGE gels (28) revealed a single 6-kD species (Fig. 6 A) corresponding exactly in size to a TGF- $\alpha$  species (39) observed in lysates of MCF-7 breast carcinoma cells, known producers of TGF- $\alpha$  (29), immunoprecipitated by the same antiserum under identical conditions.<sup>2</sup> No bands were seen in lysate precipitated with rabbit preimmune serum or in anti-TGF- $\alpha$  immunoprecipitates of 249 cells. Furthermore, EGF RRA and TGF- $\alpha$  RIA (Fig. 6 B) of medium conditioned for 48 h by 249-ras and column-chromatographed detected ~220 ng/liter of a 6-kD species of TGF- $\alpha$ . No TGF- $\alpha$  was detected by identical methods in medium conditioned by control 249 cells. Thus, 249-ras cells not only produced TGF- $\alpha$ , but also processed it to its biologically active form and secreted it into the medium.



**Figure 5.** Northern blot of 10  $\mu$ g poly A<sup>+</sup> RNA, probed with the coding regions of human TGF- $\alpha$  and  $\beta$ -actin genes. PDGF-B chain gene was probed at reduced stringency with a v-sis probe. Lane 1, 249; lane 2, 249-ras; lane 3, U1752 squamous lung carcinoma cell line. Size markers are in kilobases.



**Figure 6.** *A*, SDS/PAGE gel of cells metabolically labeled for 4 h with [<sup>35</sup>S]cysteine, lysed, and immunoprecipitated with either control normal rabbit serum (*C*), or rabbit anti-human TGF- $\alpha$  antiserum ( $\alpha$ ). *B*, Detection of TGF- $\alpha$  in conditioned medium from 249-*ras* cells after size fractionation by Sephadex G-100 column chromatography. *1*, RRA using A431 cell membranes; *2*, RIA (Biotope kit). 25 K and 6K, molecular weights as determined by marker proteins.

**249-*ras* expresses PDGF-B chain mRNAs.** As with TGF- $\alpha$ , expression of the genes for the two PDGF peptides, A and B chain, has been found almost universally in NSCLC cell lines but never in SCLC (38). No PDGF-B chain mRNA transcripts were seen when 10  $\mu$ g of poly A<sup>+</sup> RNA from 249 cells was probed at reduced stringency with a probe from the coding region of *v-sis* (23), with which PDGF-B shares 87% homology (24) (Fig. 5, lane 1). However, the characteristic human PDGF-B mRNA species of  $\sim$  4.2 kb (40) was seen in 249-*ras* poly A<sup>+</sup> RNA (lane 2), as well as a weaker 4.7-kb band, consistent with a previous report of additional PDGF-B chain mRNA species in some NSCLC cell lines (38). Thus, 249-*ras* also expresses the NSCLC-associated PDGF-B chain gene.

## Discussion

Our results provide the most direct evidence to date that cells indistinguishable from NSCLC can rapidly evolve out of apparently homogeneous populations of SCLC cells. The factor inciting this progression, in our model system, involves the imposition of a single genetic event, the insertion of an acti-

vated *ras* gene. Mutational activation of *ras* genes may sometimes contribute to the NSCLC phenotype in vivo, as suggested by the detection of activating mutations of the *Ki-ras* gene in a high percentage of adenocarcinomas of the lung (10), in contrast to the lack of reports of these mutations in SCLC.

Our previous (11) and present findings suggest that oncogenically activated *ras* genes may act in concert with certain amplified or overexpressed *myc* genes in prompting phenotypic conversion of SCLC to NSCLC. Approximately 16% of small cell lung tumors have fourfold or higher amplifications of L-, N-, or *c-myc* (8). Additional studies suggest more subtle increases in copy numbers of *myc* genes in additional SCLC tumors (9). Evidence also suggests a greater preponderance of *myc* amplifications in tumors after combined chemotherapy (8), the period when partial or complete progression toward NSCLC has been observed (5, 6). Previous studies have found associations between particular types of *myc* gene amplifications and phenotypic features of SCLC lines. First, *c-myc* amplification has been associated with the "morphologic variant" SCLC features of increased growth rate, increased soft agar cloning efficiency, and growth in looser aggregates, compared with classic SCLC (41). In studies in our laboratory it was found that a *c-myc*-amplified SCLC cell line, NCI-H82, responded to *v-Ha-ras* insertion with the acquisition of growth characteristics, morphologic features, and a biochemical profile consistent with poorly differentiated NSCLC. By contrast, OH-3, a line not amplified for *c-myc*, appeared unaffected by *v-Ha-ras* insertion and expression (11). A permissive role for overexpression of *c-myc*, but not L-*myc*, in progression of SCLC to NSCLC was suggested by studies with SCLC cell line NCI-H209, which expresses high levels of L-*myc* (42). NCI-H209 was found to respond to *v-Ha-ras* insertion with phenotypic conversion only after its expression of *c-myc* had been augmented by the transfection of an exogenous *c-myc* gene (43) (Mabry, M., M. Borges, J. P. Falco, R. Casero, B. D. Nelkin, R. Jasti, and S. B. Baylin, manuscript submitted for publication). Our present study suggests that N-*myc* amplification/overexpression may similarly permit progression of SCLC to NSCLC in response to the appearance of an activated *ras* gene.

In the present study we show that, accompanying the morphologic and growth alterations prompted by *v-Ha-ras* insertion into NCI-H249, there is a fundamental shift in its growth factor/receptor profile. This shift is marked by the loss of an SCLC-associated growth requirement for GRP in defined medium (34) and by the appearance of growth factors and growth factor receptors characteristic of NSCLC. 249-*ras* resembles a number of NSCLC cell lines in expressing both TGF- $\alpha$  and its receptor, EGF-R (44). In two such lines, exogenous TGF- $\alpha$  was found to be growth stimulatory (44) and anti-TGF- $\alpha$  antibodies were found to be growth inhibitory (45). These data imply a role for an autocrine loop involving TGF- $\alpha$  and EGF-R in stimulating the growth of NSCLC.

In vivo evidence that EGF receptor and TGF- $\alpha$  expression may be involved in the pathogenesis of NSCLC includes the finding of increased expression of EGF receptors in pathologic specimens of NSCLC relative to the adjacent normal lung (46). Also, in epidermoid lung carcinoma a correlation has been observed between the amount of EGF receptor expression detected by immunohistochemical stains of tumor specimens and the clinical stage of the tumor (47). Finally, higher levels of TGF- $\alpha$  have been detected in the malignant pleural effu-

sions of NSCLC tumors compared with nonmalignant effusions (48).

Studies have suggested a paracrine role for TGF- $\alpha$  and PDGF, secreted by NSCLC but not by SCLC, in stimulating the proliferation of neighboring fibroblasts and thus inducing the prominent fibrous stromal reaction observed after the inoculation of NSCLC cells but not SCLC cells into nude mice (49). Thus, production of TGF- $\alpha$  and PDGF may have both autocrine and paracrine roles in influencing the behavior of NSCLC tumors and their surrounding stroma. Our data suggest that SCLC that has progressed to NSCLC may be influenced by the same factors.

Our cell culture model of tumor progression suggests the involvement of certain genes and genetic events in the progression of SCLC toward NSCLC. The hypotheses posed by this model can, to some extent, be tested in vivo by tracking tumor progression through the examination of pathologic specimens. It will be of interest to determine by this method whether *c-* or *N-myc* gene amplifications in vivo increase the likelihood of progression of SCLC toward NSCLC and whether tumors that have progressed to NSCLC have acquired new *ras* gene mutations, the expression of NSCLC-associated growth factor and growth factor receptor genes, or the capacity to specifically bind EGF.

Finally, our findings, which support the concept that SCLC can evolve into NSCLC, provide further evidence to suggest that endocrine cells of the lung, which bear features similar to SCLC (2, 3), may be linked to the other major types of bronchial epithelial cells through a common progenitor cell (2, 50, 51).

## Acknowledgments

We thank Dr. Frank Cuttitta for GRP assays, Dr. Rik Derynck for TGF- $\alpha$  probe, Dr. Steve Tronick for *v-sis* probe, Dr. W. Stratford May for helpful advice regarding binding assays, Ms. Jane E. Farrington for electron microscopy, Ms. Kathleen Wieman for preparing figures, and Ms. Tammy Hess and Ms. Sandra Lund for manuscript preparation.

This work was partly supported by National Institutes of Health grants 1-R01-CA48081-02, training grant NRSA1532-CA08207-02 (to Dr. Mabry) and grant 2-P30-CA06973-25 (electron microscopy).

## References

1. Gazdar, A. F. 1984. The pathology of endocrine tumors of the lung: an overview. *In* The Endocrine Lung in Health and Disease. K. L. Becker and A. F. Gazdar, editors. W. B. Saunders Company, Philadelphia. 364-372.
2. Gazdar, A. F., D. N. Carney, J. G. Guccion, and S. B. Baylin. 1981. Small cell carcinoma of the lung: cellular origin and relation to other pulmonary tumors. *In* Small Cell Lung Cancer. F. A. Greco, editor. Grune & Stratton Inc., New York. 145-175.
3. Baylin, S. B., W. R. Weisburger, J. C. Eggleston, G. Mendelsohn, M. A. Beaven, M. D. Abeloff, and D. S. Ettinger. 1978. Variable content of histaminase, L-dopa decarboxylase and calcitonin in small-cell carcinoma of the lung. *N. Engl. J. Med.* 299:105-110.
4. Einhorn, L. H. 1989. Introduction: small cell lung cancer. *In* Treatment and Prevention of Small Cell Lung Cancer and Non-Small Cell Lung Cancer. R. J. Gralla and L. H. Einhorn, editors. Royal Society of Medicine, London and New York. 1-2.
5. Brereton, H. D., M. M. Matthews, J. Costa, C. H. Kent, and R. E. Johnson. 1978. Mixed anaplastic small-cell and squamous-cell carcinoma of the lung. *Ann. Intern. Med.* 88:805-806.
6. Abeloff, M. D., J. C. Eggleston, G. Mendelsohn, D. S. Ettinger, and S. B. Baylin. 1979. Changes in morphologic and biochemical

characteristics of small cell carcinoma of the lung. *Am. J. Med.* 66:757-764.

7. Goodwin, G., J. H. Shaper, M. D. Abeloff, G. Mendelsohn, and S. B. Baylin. 1983. Analysis of cell surface proteins delineates a differentiation pathway linking endocrine and nonendocrine human lung cancers. *Proc. Natl. Acad. Sci. USA.* 80:3807-3811.

8. Johnson, B. E., R. W. Makuch, A. D. Simmons, A. F. Gazdar, D. Burch, and A. W. Cashell. 1988. *myc* family DNA amplification in SCLC lung cancer patients' tumors and corresponding cell lines. *Cancer Res.* 48:5163-5166.

9. Wong, A. J., J. M. Ruppert, J. Eggleston, S. R. Hamilton, S. B. Baylin, and B. Vogelstein. 1986. Gene amplification of *c-myc* and *N-myc* in small cell carcinoma of the lung. *Science (Wash. DC).* 233:461-464.

10. Rodenhuis, S., M. L. van de Weiting, W. J. Moot, S. G. Evers, N. van Zandwijk, and J. L. Bos. 1987. Mutational activation of the *K-ras* oncogene. A possible pathogenetic factor in adenocarcinoma of the lung. *N. Engl. J. Med.* 317:929-935.

11. Mabry, M., T. Nakagawa, B. D. Nelkin, E. McDowell, M. Gesell, J. C. Eggleston, R. A. Casero, and S. B. Baylin. 1988. *v-Ha-ras* oncogene insertion: a model for tumor progression of human small cell lung cancer. *Proc. Natl. Acad. Sci. USA.* 85:6523-6527.

12. Carney, D. N., A. F. Gazdar, G. Bepler, J. G. Guccion, P. J. Marangos, T. W. Moody, M. H. Zweig, and J. D. Minna. 1985. Establishment and identification of small cell lung cancer cell lines having classic and variant features. *Cancer Res.* 45:2913-2923.

13. Bergh, J., K. Nilsson, L. Zeck, and B. Giovanella. 1981. Establishment and characterization of a continuous lung squamous cell carcinoma cell line (U-1752). *Anticancer Res.* 1:317-322.

14. Rein, A., A. M. Schultz, J. P. Bader, and R. H. Bassin. 1982. Inhibitors of glycosylation reverse retroviral interference. *Virology.* 119:185-192.

15. Nakagawa, T., M. Mabry, A. de Bustros, J. N. Ihle, B. D. Nelkin, and S. B. Baylin. 1987. Introduction of *v-Ha-ras* induces differentiation of cultured human medullary thyroid carcinoma cells. *Proc. Natl. Acad. Sci. USA.* 84:5923-5927.

16. Carney, D. N., P. A. Bunn, A. F. Gazdar, J. A. Pagan, and J. D. Minna. 1981. Selective growth in serum-free hormone-supplemented medium of tumor cells obtained by biopsy from patients with small cell carcinoma of the lung. *Proc. Natl. Acad. Sci. USA.* 78:3185-3189.

17. Cuttitta, F., J. Fedorko, J. Gu, A. M. Lebacqz-Verheyden, R. I. Linnola, and J. F. Battey. 1988. Gastrin releasing peptide gene-associated peptides are expressed in normal human fetal lung and small cell lung cancer: a novel peptide family found in man. *J. Clin. Endocrinol. Metab.* 67:576-583.

18. Berger, C. L., G. Goodwin, G. Mendelsohn, J. C. Eggleston, M. D. Abeloff, S. Aisner, and S. B. Baylin. 1981. Endocrine-related biochemistry in the spectrum of human lung carcinoma. *J. Clin. Endocrinol. Metab.* 53:422-429.

19. Maniatis, T., E. F. Fritsch, and J. Sambrook. 1982. Molecular Cloning: A Laboratory Manual. Cold Spring Harbor Laboratory, Cold Spring Harbor, NY. 545 pp.

20. Feinberg, A., and B. Vogelstein. 1982. A technique for radiolabeling DNA restriction endonuclease fragments to high specific activity. *Anal. Biochem.* 132:6-9.

21. Xu, Y., S. Ishii, A. J. L. Clark, M. Sullivan, R. K. Wilson, D. P. Ma, B. A. Roe, G. T. Merlino, and I. Pastan. 1984. Human epidermal growth factor receptor cDNA is homologous to a variety of RNAs over-produced in A431 carcinoma cells. *Nature (Lond.).* 309:806-810.

22. Rosenthal, A., P. B. Lindquist, T. S. Bringman, D. V. Goeddel, and R. Derynck. 1986. Expression in rat fibroblasts of a human transforming growth factor  $\alpha$  cDNA results in transformation. *Cell.* 46:301-309.

23. Devare, S. G., E. P. Reddy, J. D. Law, K. C. Robbins, and S. A. Aaronson. 1983. Nucleotide sequence of the simian sarcoma virus genome: demonstration that its acquired cellular sequences encode the transforming gene product p28 *sis*. *Proc. Natl. Acad. Sci. USA.* 80:731-735.

24. Doolittle, R. F., M. W. Hunkapiller, L. E. Hood, S. G. Devare, K. C. Robbins, S. A. Aaronson, and H. N. Antoniades. 1983. Simian sarcoma virus onc gene, v-sis, is derived from the gene (or genes) encoding a platelet-derived growth factor. *Science (Wash. DC)*. 221:275-276.
25. Haeder, M., M. Rotsch, G. Beppler, C. Hennig, K. Havemann, B. Heimann, and K. Moelling. 1988. Epidermal growth factor receptor expression in human lung cancer cell lines. *Cancer Res.* 48:1132-1136.
26. May, W. S., and J. N. Ihle. 1986. Affinity isolation of the interleukin-3 surface receptor. *Biochem. Biophys. Res. Commun.* 135:870-879.
27. Bates, S. E., N. E. Davidson, E. M. Valverius, C. E. Freter, R. B. Dickson, J. P. Tam, J. E. Kudlow, M. E. Lippman, and D. S. Salomon. 1988. Expression of transforming growth factor  $\alpha$  and its messenger ribonucleic acid in human breast cancer: its regulation by estrogen and its possible functional significance. *Mol. Endocrinol.* 2:543-554.
28. Laemmli, U. K. 1970. Cleavage of structural proteins during the assembly of the head of bacteriophage T4. *Nature (Lond.)*. 227:680-685.
29. Dickson, R. B., S. E. Bates, M. E. McMananay, and M. E. Lippman. 1986. Characterization of estrogen responsive transforming activity in human breast cancer cell lines. *Cancer Res.* 46:1707-1713.
30. Nau, M. M., B. J. Brooks, D. N. Carney, A. F. Gazdar, J. F. Battey, E. A. Sausville, and J. D. Minna. 1986. Human small cell lung cancers show amplification and expression of the N-myc gene. 1986. *Proc. Natl. Acad. Sci. USA*. 83:1092-1096.
31. McDowell, E. M., J. S. McLaughlin, D. K. Merenyi, R. F. Kieffer, C. C. Harris, and B. F. Trump. 1978. The respiratory epithelium. V. Histogenesis of lung carcinomas in the human. *J. Natl. Cancer Inst.* 61:587-606.
32. Luk, G. D., G. Goodwin, A. F. Gazdar, and S. B. Baylin. 1982. Growth inhibitory effects of DL- $\alpha$ -difluoromethylornithine in the spectrum of human lung carcinoma cells in culture. *Cancer Res.* 42:3070-3073.
33. Beppler, G., D. N. Carney, M. M. Nau, A. F. Gazdar, and J. D. Minna. 1986. Additive and differential biological activity of  $\alpha$ -interferon A, difluoromethylornithine, and their combination on established human lung cancer cell lines. *Cancer Res.* 46:3413-3419.
34. Carney, D. N., F. Cuttitta, T. W. Moody, and J. D. Minna. 1987. Selective stimulation of small cell lung cancer clonal growth by bombesin and gastrin releasing peptide. *Cancer Res.* 47:821-825.
35. Oie, H. K., M. Broner, and D. N. Carney. 1984. Growth factor requirements for in vitro growth of endocrine and non-endocrine lung cancers in serum-free defined media. In *The Endocrine Lung in Health and Disease*. K. L. Becker and A. F. Gazdar, editors. W. B. Saunders Company, Philadelphia. 469-474.
36. Cerny, T., D. M. Barnes, P. Haselton, P. V. Barber, K. Healy, W. Gullick, and N. Thatcher. 1986. Expression of epidermal growth factor receptor in human lung tumours. *Br. J. Cancer.* 54:265-269.
37. Sherwin, S. A., J. D. Minna, A. F. Gazdar, and G. Todaro. 1981. Expression of epidermal and nerve growth factor receptors and soft agar growth factor production by human lung cancer cells. *Cancer Res.* 41:3538-3542.
38. Soderdahl, G., C. Betsholtz, A. Johansson, K. Nilsson, and J. Bergh. 1988. Differential expression of platelet-derived growth factor and transforming growth factor genes in small and non-small cell human lung carcinoma lines. *Int. J. Cancer.* 41:636-641.
39. Marquardt, H., M. W. Hunkapiller, L. E. Hood, and G. J. Todaro. 1984. Rat transforming growth factor type I: structure and relation to epidermal growth factor. *Science (Wash. DC)*. 223:1079-1082.
40. Eva, A., K. C. Robbins, P. R. Andersen, A. Srinivasan, S. R. Tronick, E. P. Reddy, N. W. Ellmore, A. T. Galen, J. A. Lautenberger, T. S. Papas, et al. 1982. Cellular genes analogous to retroviral onc genes are transcribed in human tumor cells. *Nature (Lond.)*. 295:116-119.
41. Gazdar, A. F., D. N. Carney, M. M. Nau, and J. D. Minna. 1985. Characterization of variant subclasses of cell lines derived from small cell lung cancer having distinct biochemical, morphological and growth properties. *Cancer Res.* 45:2924-2930.
42. Nau, M. M., B. J. Brooks, J. Battey, E. Sausville, A. F. Gazdar, I. R. Kirsch, O. W. McBride, V. Bertness, G. F. Hollis, and J. D. Minna. 1985. L-myc, a new myc-related gene amplified and expressed in human small cell lung cancer. *Nature (Lond.)*. 318:69-73.
43. Johnson, B. E., J. Battey, I. Linnoila, K. L. Becker, R. W. Makuch, R. H. Snider, D. N. Carney, and J. D. Minna. 1986. Changes in the phenotype of human small cell lung cancer cell lines after transfection and expression of the c-myc proto-oncogene. *J. Clin. Invest.* 78:525-532.
44. Imanishi, K., K. Yamaguchi, S. Honda, and K. Abe. 1988. Transforming growth factor- $\alpha$  as a possible autocrine growth factor for human adenocarcinoma of the lung. *Prog. Endocrinol. Proceedings of the 8th International Congress of Endocrinology, Kyoto*. H. Imura, K. Shizume, and S. Yoshida, editors. Elsevier Science Publishers, Amsterdam. 1363-1368.
45. Imanishi, K., K. Yamaguchi, M. Kuranami, E. Kyo, T. Hozumi, and A. Kaoru. 1989. Inhibition of growth of human lung adenocarcinoma cell lines by anti-transforming growth factor- $\alpha$  monoclonal antibody. *J. Natl. Cancer Inst.* 81:220-223.
46. Huang, D. L., Y. C. Tay, S. S. Lin, and A. Lev-Ran. 1986. Expression of epidermal growth factor receptors in human lung tumors. *Cancer (Phila.)*. 58:2260-2263.
47. Veale, D., T. Ashcroft, C. Marsh, G. J. Gibson, and A. L. Harris. 1987. Epidermal growth factor receptors in non-small cell lung cancer. *Br. J. Cancer.* 55:513-516.
48. Hanauske, A. R., C. L. Arteaga, G. M. Clark, J. Buchok, M. Marshall, P. Hazarika, R. L. Pardue, and D. D. von Hoff. 1988. Determination of transforming growth factor activity in effusions from cancer patients. *Cancer (Phila.)*. 61:1832-1837.
49. Bergh, J. 1988. The expression of platelet-derived and transforming growth factor genes in human non-small cell cancer cell lines is related to tumor stroma formation in nude mice tumors. *Am. J. Pathol.* 133:434-439.
50. McDowell, E. M., L. A. Barrett, F. Glavin, C. C. Harris, and B. F. Trump. 1978. The respiratory epithelium. I. Human bronchus. *J. Natl. Cancer Inst.* 61:539-549.
51. Baylin, S. B., and G. Mendelsohn. 1980. Ectopic (inappropriate) hormone production by tumors: mechanisms involved and the biological and clinical implications. *Endocr. Rev.* 1:45-77.