

The AML1-ETO fusion gene and the FLT3 length mutation collaborate in inducing acute leukemia in mice

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The molecular characterization of leukemia has demonstrated that genetic alterations in the leukemic clone frequently fall into 2 classes, those affecting transcription factors (e.g., *AML1-ETO*) and mutations affecting genes involved in signal transduction (e.g., activating mutations of *FLT3* and *KIT*). This finding has favored a model of leukemogenesis in which the collaboration of these 2 classes of genetic alterations is necessary for the malignant transformation of hematopoietic progenitor cells. The model is supported by experimental data indicating that *AML1-ETO* and *FLT3* length mutation (*FLT3-LM*), 2 of the most frequent genetic alterations in AML, are both insufficient on their own to cause leukemia in animal models. Here we report that *AML1-ETO* collaborates with *FLT3-LM* in inducing acute leukemia in a murine BM transplantation model. Moreover, in a series of 135 patients with AML1-ETO–positive AML, the most frequently identified class of additional mutations affected genes involved in signal transduction pathways including *FLT3-LM* or mutations of *KIT* and *NRAS*. These data support the concept of oncogenic cooperation between *AML1-ETO* and a class of activating mutations, recurrently found in patients with t(8;21), and provide a rationale for therapies targeting signal transduction pathways in AML1-ETO–positive leukemias.

Introduction

The cloning of recurring chromosomal translocations and, increasingly, the molecular characterization of point mutations in patients with acute leukemia have substantially contributed to the understanding of the pathogenesis of this disease. In acute myeloid leukemia (AML), chromosomal translocations most frequently target transcription factors involved in the regulation of normal hematopoietic differentiation, whereas point mutations often affect genes involved in signal transduction pathways associated with cell proliferation (1–3). The systematic analyses of genetic alterations in patients with AML have demonstrated that genetic lesions of more than 1 transcriptional regulator, such as AML1-ETO (RUNX1-MTG8), HOX fusion genes, or PML-RARA, rarely occur in the leukemic clone. Similarly, patients with concurrent mutations of *FLT3*, KIT, or NRAS are rare. However, there are numerous examples in which fusion genes are identified together with activating mutations of receptor tyrosine kinases, exemplified by PML-RARA and the FLT3 length mutation (FLT3-LM), which occur together in up to 35% of all patients with t(15;17)-positive AML (4).

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These observations have favored a model of pathogenesis of acute leukemia in which the 2 groups of genetic alterations, 1 affecting transcriptional regulation and hematopoietic differentiation, the other altering signal transduction cascades associated with cell proliferation, collaborate in inducing acute leukemia (5). This concept is supported by experimental data demonstrating that AML1-ETO, one of the most frequent fusion genes in AML, is not able, on its own, to induce leukemia in experimental in vivo models but requires additional mutations in yet unknown genes for induction of hematological disease. In a conditional AML1-ETO murine model, for example, only mice treated additionally with N-ethylnitrosourea (ENU) developed AML as well as T cell lymphoblastic lymphoma, whereas untreated AML1-ETO mice showed only minimal hematopoietic abnormalities (6). Similar observations were reported from an hMRP8-AML1-ETO transgenic mouse model, which developed AML as well as T–acute lymphoblastic leukemia/lymphoma (T-ALL/ lymphoma) only after ENU mutagenesis (7), and from a murine BM transplantation model inducing constitutive expression of AML1-ETO in hematopoietic progenitor cells by retroviral gene transfer (8). In a recent report, mice targeted to express AML1-ETO in the HSC compartment developed a nonlethal long-latency myeloproliferative syndrome but failed to develop acute leukemia (9).

To test the hypothesis of oncogenic cooperation between different classes of mutations, we analyzed a series of 135 patients with AML1-ETO-positive AML for the occurrence of activating mutations involving signal transduction pathways (*FLT3-LM*, *FLT3D835*, *KITD816*, *NRAS* codon 12/13/61). Because almost one-third of all AML1-ETO-positive patients had such activating mutations, we asked whether AML1-ETO would be able to collaborate with 1 of

Nonstandard abbreviations used: ALL, acute lymphoblastic leukemia; AML, acute myeloid leukemia; B6C3, C57BL/6J × C3H/HeJ (mice); CBF, core-binding factor; CFU-S, colony-forming spleen unit(s); cy, cytoplasmic; ENU, *N*-ethylnitrosourea; FLT3-LM, FLT3 length mutation; GSF, National Research Center for Environment and Health; IRES, internal ribosomal entry site; KD, kinase dead; LTR, long-terminal repeat; MSCV, murine stem cell virus; PepC3, C57BL/6Ly-PepB> x C3H/HeJ (mice); VCM, virus-containing medium; YFP, yellow fluorescent protein.

Table 1

Genetic alterations in patients with AML1-ETO rearrangement

	No. of patients analyzed	No. of patients with mutation detected
FLT3-LM	135	11 (8.1%)
FLT3D835	135	3 (2.2%)
KITD816	135	11 (8.1%)
NRAS codon 12/13/61	135	13 (9.6%)
FLT3, KIT, or NRAS mutation	135	38 (28.1%)
MLL-PTD	87	0

these alterations to induce leukemia. Here we demonstrated that retrovirally engineered coexpression of *AML1-ETO* and *FLT3-LM* potently synergizes to trigger the development of aggressive leukemia in a murine transplantation model.

This model will allow valuable insights into the pathogenesis of core-binding factor (CBF) leukemias and demonstrates, for the first time to our knowledge, the functional collaboration of AML1-ETO with a class of activating mutations frequently found in patients with t(8;21)-positive leukemia.

Results

AML1-ETO occurs frequently together with activating mutations involving signal transduction pathways in patients with AML. In order to characterize genetic alterations that occur together with the AML1-ETO fusion gene in AML, 135 patients with AML1-ETO (93 male, 42 female; median age 50.9, range 15.8–89.1) were screened for activating mutations in the receptor tyrosine kinases *FLT3* and *KIT* as well as in NRAS (*KITD816*, NRAS codon 12/13/61). Patients included 118 with newly diagnosed AML, 4 in first relapse, and 13 classified as having therapy-related AML. Activating mutations were detected in 38 patients (28.1%) and included mutations in the receptor tyrosine kinase *FLT3* or *KIT* (25 patients in total) or in NRAS (13 patients). In contrast, no MLL-PTD (partial tandem duplication) mutations were detected in 87 samples subjected to this analysis (Table 1). These data demonstrate that genetic alterations occurring with the AML1-ETO fusion gene frequently affect signal transduction pathways.

AML1-ETO cooperates with FLT3-LM in inducing acute leukemia in trans*planted mice*. To test the functional significance of the association of AML1-ETO with mutations involving critical signal transduction cascades, we used the murine BM transplantation model. Murine stem cell virus-based (MSCV-based) retroviral constructs carrying the AML1-ETO cDNA upstream of an internal ribosomal entry sitegreen fluorescent protein (IRES-GFP) cassette or the FLT3-LM cDNA upstream of an IRES-yellow fluorescent protein (IRES-YFP) cassette were generated to transduce and track hematopoietic cells expressing AML1-ETO (GFP⁺), FLT3-LM (YFP⁺), or both AML1-ETO and FLT3-LM (GFP⁺/YFP⁺) in vitro and in vivo (Figure 1). In order to investigate the impact of expression of AML1-ETO or FLT3-LM individually on primary primitive hematopoietic progenitor cells, we performed the colony-forming spleen assay (CFU-S). BM cells transduced with the AML1-ETO/GFP or FLT3-LM/YFP vector or both vectors were highly purified 96 hours after the start of infection by FACS. Their ability to form spleen colonies (day 0 equivalent) was measured by transplantation of transduced cells after purification into lethally irradiated recipient mice and quantification of spleen colony formation 12 days after injection. Constitutive expression of FLT3-LM did not



of incubation with the inhibitor. The compound induced a 62% reduction of the day 0 equivalent of the CFU-S frequency (42 versus 16 per 1×10^5 initiating BM cells) of cells cotransfected with *FLT3-LM* and *AML1-ETO* compared with the untreated control, whereas the CFU-S frequency of cells infected with the GFP control vector was unchanged by the inhibitor (Figure 2B).

To further assess the potential collaboration of AML1-ETO with FLT3-LM, we carried out long-term BM transplantation studies using BM transduced with AML1-ETO or FLT3-LM alone or with both together. Over an observation period extending to 20.6 months, no disease developed in recipients of BM singly transduced with *AML1-ETO* (3×10^5 to 4×10^5 highly purified GFP⁺ cells; *n* = 9) or *FLT3-LM* (7 × 10⁴ to 2 × 10⁵ highly purified YFP⁺ cells together with 3×10^5 to 1×10^6 nontransduced helper cells; n = 9). To obtain mice engrafted with AML1-ETO/FLT3-LM-coexpressing BM cells, mice were injected with a mixture of GFP+/YFP+ cells (range 1 × 103 to 5.5×10^4 cells) and nontransduced normal BM cells (range 2.3×10^5 to 1.9×10^6). All recipients of doubly transduced BM (*n* = 11) from 5 independent experiments) succumbed to an aggressive acute leukemia after a median latency time of 233 days post-transplantation (Figure 3). These mice were engrafted with GFP/YFP-coexpressing cells that were positive for AML1-ETO and FLT3-LM transcripts in the RT-PCR analysis (Figure 1C). At diagnosis the mice were moribund, cachectic, and short of breath and suffered from splenomegaly (median spleen weight 441 mg) (Table 2). Peripheral blood and BM contained a high proportion of blasts, and peripheral blood wbc counts were highly elevated in 5 of 11 animals (range 2×10^6 to 430×10^6 cells/ml) compared with the GFP control (range 3.5×10^6 to 9×10^6) (Table 2), consistent with a diagnosis of acute leukemia. Additionally, mice were anemic, with a 45% reduction in erythrocyte counts compared with the mean count in the control.

Coexpression of AML1-ETO and FLT3-LM causes both acute myeloblastic and lymphoblastic leukemia. In 7 animals the morphology of the blasts was myeloblastic (Figure 4A), whereas 4 animals were characterized by a lymphoblastic cell population (Figure 4, B and C, and Table 2). In 2 of the 7 animals with AML (mice nos. 16 and 24) the blast population was accompanied by a dominant mast cell

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Figure 1

Schematic diagram and analysis of expression of different constructs. (**A**) Retroviral constructs for expression of AML1-ETO and of the AML1-ETO-L148D (31, 47), FLT3-LM, and FLT3-LM-KD mutant proteins. The GFP vector served as a control. AE, AML1-ETO; LTR, long-terminal repeat; RHD, runt homology domain; TAF110, TATA-binding protein–associated factor 110; HHR, hydrophobic heptad repeat; ZNF, zinkfinger; TM, transmembrane; JM, juxtamembrane; PTK, protein tyrosine kinase; KI, kinase insert. (**B**, **C**, and **E**) Western blot analysis of cellular extracts from GP+ E86 and NIH 3T3 cells transfected with the different constructs (the molecular mass is indicated). Kasumi cells served as a positive control. (**D**) α -pTyr plot demonstrating phosphorylation of FLT3-LM and FLT3-WT but not of FLT3-LM-KD. (**F**) FACS analysis of Ba/F3 cells transduced with the FLT3 constructs. (**G**) Growth of IL-3–dependent Ba/F3 cells infected with the different constructs. (**H** and **I**) Flow cytometry and RT-PCR analysis of cells coexpressing FLT3-LM/YFP and AML1-ETO/GFP, isolated from a representative leukemic mouse. FL, FLT3 ligand; PB, peripheral blood.

population with fine metachromatic granulation in the panoptic staining (Figure 4A). The BM and spleen were infiltrated with up to 80% and 80% blasts, respectively, in the mice with AML and up to 85% and 95%, respectively, in the mice suffering from ALL (Table 2 and Figures 4 and 5).

In order to determine more precisely the immunophenotype of the leukemic population, flow cytometric analyses from BM cells were performed. Seven animals suffered from AML with a Gr-1/Mac-1–positive cell population in the transduced compartment, which coexpressed Sca-1 (45.2%, range 19–73%). Of note, in 4 of the 7 animals with AML, coexpression of CD4 was detected in 21%, 27%, 31%, and 32% of BM cells (animals nos. 15, 16, 22, and 23, respectively; Table 2). Three mice suffered from B-lymphoblastic leukemia, with

90.4% of the transduced cells expressing B220 (range 85–97%) and lacking expression of myeloid antigens (Gr-1–positive 1.5%, range 0.4–2.3%; Mac-1–positive 1.7%, range 1.4–1.9%). One animal developed T cell leukemia, with coexpression of CD4 and CD8 (99% CD8⁺, 86% CD4⁺ in the transduced compartment) and expression of Sca-1 in 76% of all cells (Figure 4C). Histological tissue sections and immunohistochemistry were performed in 2 diseased mice with AML, including 1 of the animals with an increase in mast cells in the peripheral blood (mouse no. 16). Both animals showed multipleorgan infiltration into hematopoietic and nonhematopoietic organs with effacement of the normal follicular architecture of the spleen (Figure 5, D and E [right side]) and an infiltration with leukemic blasts in the liver and spleen (Figure 5, A–D and F). Immunohistochemistry



confirmed the diagnosis of AML: blasts were positive for myeloperoxidase but showed differentiation into more mature myeloid cells with positivity for chloracetatesterase (mouse no. 14; Table 2 and Figure 5, B, C, and F). In the second mouse with AML, infiltration of organs with cells expressing mast cell–specific tryptase and CD117 could be confirmed in the primarily and secondarily transplanted mouse, indicating the presence of a malignant infiltrating mast cell population in this animal (mouse no. 16).

The leukemias were readily transplantable and had the same histomorphology within 106 days after transplantation (median survival 68 days, range 57–106 days; n = 5) (Figure 3 and Figure 5, G–O). Southern blot analyses of BM from leukemic mice revealed modest numbers of proviral integrations, consistent with double infection and monoclonal or, at most, oligoclonal disease (Figure 6A). Monoclonal or oligoclonal disease is consistent with the relatively small transplant doses used but could also reflect a possible contribution of retroviral insertional mutagenesis to the transformation process. To further explore this latter possibility, 10 retroviral integration sites were subcloned and sequenced from 4 leukemic mice; all 10 sites were unique, and thus there was no indication of a common integration site associated with the leukemic transformation. Moreover, 5 sites were intergenic or not linked to known genes. The remaining sites were in introns in a 5' to 3' orientation most likely to lead to gene knock down rather than activation (Figure 6B and Table 3).

Since we observed coexpression of CD4 in leukemic cells of the majority of mice who developed AML in our model, we analyzed expression of CD4 and cytoplasmic (cy) CD3 in patients with AML1-ETO-positive AML; 17 of 52 patients analyzed (32.7%) and 39 of 50 patients analyzed (78%) were positive for CD4 or cyCD3,

Figure 2

Analyses of CFU-S frequencies. (**A**) Primary BM cells retrovirally transduced with GFP, AML1-ETO, AML1-ETO-L148D, FLT3-LM, or FLT3-LM-KD vectors or with combinations of the different vectors were isolated by FACS 48 hours after infection and injected into lethally irradiated mice to assess initial (day 0) CFU-S numbers. CFU-S frequency per 1×10^5 initiating BM cells was determined in 3 independent experiments. The number of analyzed mice and the *P* value compared with the GFP control are indicated. (**B**) CFU-S frequency of primary BM cells infected with GFP or with both AML1-ETO and FLT3-LM and treated with the inhibitor PKC412 for 48 hours compared with untreated controls.

respectively. Furthermore, 31 of 52 patients (59.6%) expressed the B cell antigen CD19, 27 of 52 patients cyCD22 (51.9%), and 38 of 39 patients cyCD79a (97.4%) (Figure 7). There was no difference in the extent of coexpression of lymphoid antigens in AML1-ETO–positive AML with additional activating mutations of *FLT3, KIT*, and *NRAS* (n = 14) versus cases without this class of mutations (n = 38) (data not shown). This indicates that coexpression of lymphoid and myeloid antigens in myeloblastic leukemia, which is detected in the murine model, is a common characteristic in patients with AML1-ETO–positive AML.

Discussion

The translocation t(8;21)(q22;q22), which generates the AML1-ETO fusion gene, is one of the most frequent chromosomal translocations, detected in 12% of all AML patients and in up to 40% of FAB-M2 AML patients (10, 11). The translocation targets *AML1 (RUNX1)*, a member of the RUNX family characterized by a DNA-binding *Runt* domain at the amino terminus that is retained in the fusion gene (12). This domain is necessary for DNA binding and heterodimerization of AML1 with CBFB, the non–DNA-binding subunit of the complex. As predicted by the discovery that the *AML1* gene is rearranged in human hematopoietic disease, the AML1/CBFB complex was shown to be a key regulator of definitive hematopoiesis, and loss of either of these genes resulted in embryonic lethality with complete lack of definitive HSCs (13). In addition, it was recently reported that AML1^{+/-} adult mice suffer from a 50% reduction of long-term repopulating stem cells (14). Although it is yet not fully understood how the



Figure 3

Survival of transplanted mice. Survival curve of mice transplanted with BM cells expressing AML1-ETO (n = 9), FLT3-LM (n = 9), or GFP (n = 12), of mice transplanted with marrow cells coexpressing AML1-ETO and FLT3-LM (n = 11), and of secondarily transplanted mice (n = 5).

Table 2					
Hematological	parameters of	analyzed	experim	ental mic	e

Mouse	Retroviral	Day of	rbc/ml	wbc/ml	Spleen size	Spleen weight	BM	Spleen	PB
no.	construct	sacrifice	× 10 ⁹	× 10 ⁶	(mm)	(mg)	% blasts	% blasts	% blasts
1	GFP	ND	4.8	7.6	ND	ND	1	0	0
2	GFP	ND	6.4	8.1	ND	ND	0	0	0
3	GFP	90	7	5	14 × 4	51	2	0	0
4	GFP	ND	5.4	9	ND	ND	0	0	0
5	GFP	689	5.6	4.5	15 × 4	78	0	0	0
6	GFP	721	7.25	3.5	ND	ND	3	0	0
7	AE	444	6	13	13 × 3.5	63	8	0	0
8	AE	479	3.1	15	14 × 4	60	11	0	0
9	AE	493	5.7	6.9	14 × 4	82	2	0	0
10	AE	615	5	7.6	16 × 5	117	14	0	0
11	FLT3-LM	88	4.5	10	15 × 4	95	4	ND	0
12	FLT3-LM	ND	4.5	13	ND	ND	2	ND	0
13	FLT3-LM	ND	5.6	8.3	ND	ND	1	ND	0
14 ^A	AE/FLT3-LM	233	ND	23	27 × 7	600	80	52	20
15 ^A	AE/FLT3-LM	100	0.85	26.5	19 × 6	166	48	80	75
16 ^A	AE/FLT3-LM	612	1.7	12.5	14 × 4	118	20	50	25
17 ^в	AE/FLT3-LM	84	ND	430	24 × 9	572	80	22	60
18 ^B	AE/FLT3-LM	84	4.4	3.3	21 × 7	270	85	43	62
19 ^в	AE/FLT3-LM	94	7.7	7.2	12 × 4	ND	85	20	95
20 ^B	AE/FLT3-LM	96	3.8	60	21 × 6	310	40	95	60
21 ^A	AE/FLT3-LM	269	4	2	28 × 9	650	38	62	30
22 ^A	AE/FLT3-LM	303	2.6	10	15 × 3	200	24	76	38
23 ^A	AE/FLT3-LM	304	2.6	2.5	29 × 10	1,400	27	55	78
24 ^A	AE/FLT3-LM	359	2.5	35	28 × 9	760	39	77	64

^AAML; ^BALL. PB, peripheral blood; ND, not determined; AE, AML1-ETO.

AML1-ETO fusion gene contributes to leukemogenesis, it is thought that 1 key mechanism is the suppression of AML1- and C/EBPadependent activation of genes responsible for myeloid development (15, 16). Perturbation of hematopoiesis by expression of AML1-ETO results in an increase in the replating capacity of murine clonogenic progenitors and in the growth of primitive human progenitor cells in vitro (6, 17). Furthermore, in vivo and ex vivo analyses demonstrated alterations in the differentiation pattern and proliferative capacity of murine hematopoietic cells expressing the fusion gene (8, 9, 18, 19). However, numerous murine in vivo models documented that AML1-ETO on its own is not able to induce leukemia (6, 7, 9, 18, 19). The observation that AML1-ETO as a single factor is nonleukemogenic is further supported by findings that nonleukemic AML1-ETOexpressing progenitor cells can be isolated from healthy individuals as well as AML patients in remission, which suggests that additional mutations in these AML1-ETO-positive progenitors are necessary for the transformation into leukemia-initiating cells (20-22). The importance of collaborating genetic events in the pathogenesis of AML1-ETO-positive leukemias has indeed been shown in different murine models, such as a conditional AML1-ETO murine model as well as an hMRP8-AML1-ETO transgenic mouse model. Only mice treated additionally with ENU developed AML or T cell lymphoma (6,7). Furthermore, retrovirally expressed AML1-ETO induced myeloblastic transformation in vivo only in a background deficient in the IFN-regulatory factor IFN consensus sequence-binding protein (18). These data strongly suggest that genetic alterations cooperating with AML1-ETO play a role in inducing leukemia.

In order to characterize genetic alterations that potentially collaborate with AML1-ETO, we screened 135 patients with AML for activating mutations of signal transduction pathways or mutations affecting the MLL gene. Whereas MLL-PTD mutations, which exemplify genetic alterations involved in transcriptional regulation, were not found at all, 28% of the patients were positive for activating mutations such as FLT3-LM, FLT3D835, KITD816, or NRAS. The frequent coexistence of such mutations with AML1-ETO fits well in the model of leukemogenesis in which the collaboration of 2 classes of genetic alterations, 1 affecting transcription factors associated with hematopoietic differentiation, the other affecting signal transduction pathways associated with cell proliferation, is necessary for the malignant transformation of hematopoietic progenitor cells (3). Using the murine BM transplantation model, we obtained direct evidence for a functional collaboration of AML1-ETO with FLT3-LM in inducing leukemia, supporting the aforementioned model of leukemogenesis. Furthermore, these data demonstrate the collaboration of the 2 most frequent genetic alterations in AML, providing an important model for the understanding of both the AML1-ETO-positive and the FLT3-LM-positive leukemias.

Of note, 4 of 7 AML mice reported here expressed the T cell antigen CD4. Although the mechanisms underlying the coexpression of myeloid and lymphoid antigens in AML1-ETO-positive myeloid leukemia are not clear, one possibility is that in this AML subtype an early progenitor cell with a lineage-overlapping mixed phenotype is the target of leukemogenic transformation, as recently proposed for hematological malignancies (23). Of note, it was recently demonstrated that, in AML1-ETO-positive leukemia, evidence of lineage overlap is not restricted to the expression of cytoplasmic or surface antigens but extends to the transcriptional apparatus, since *PAXS* is selectively expressed in one-third of patients with t(8;21) AML in

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Figure 4

Immunophenotype and morphology of hematopoietic cells recovered from leukemic mice. The plots show representative FACS profiles from BM cells in comparison with cells from GFP control animals, with indication of the proportion of positive cells within the GFP⁺/YFP⁺ compartment. The photographs show cytospin preparations (H&E; magnification, ×630) from peripheral blood (**A**, right image; **B** and **C**) and from BM (**A**, left image). (**A**) AML with a dominant mast cell population (marked by arrows) (mouse no. 16). (**B**) B-ALL (mouse no. 17). (**C**) T-ALL (mouse no. 20).

contrast to all other cytogenetically defined AML subtypes (24). Furthermore, both myeloblastic and lymphoblastic leukemias of B and T cell type developed in this model of AML1-ETO and FLT3-LM cooperation. This observation was also reported in other murine models: in a conditional AML1-ETO murine model, mice treated additionally with ENU developed AML as well as T cell lymphoblastic lymphoma, although most of the T cell neoplasms did not express the fusion gene (6). Similar observations were reported from an hMRP8-AML1-ETO transgenic mouse model, which developed AML as well as T-ALL/lymphoma after ENU treatment (7). In addition, expression of AML1-ETO might contribute to the lymphoid phenotype of the leukemias in our model, as it was reported that FLT3-LM is able to induce a long-latency T cell lymphoma-like disease in the C57BL/ C3H background (25). However, the association of FLT3-LM with a lymphoid disease seems to depend on the genetic background of the mouse strain, as FLT3-LM induced a myeloproliferative syndrome in BALB/c mice (26). In our model, also using the C57BL/C3H background, constitutive expression of FLT3-LM alone did not induce any perturbation of the hematopoietic development in vivo; this result was also recently reported in a mouse model of collaboration of FLT3-LM with MLL-SEPT6 using the C57BL/6 strain (27).

Of note, overexpression of FLT3 and activating FLT3 mutations are associated with ALL in humans, in particular in cases of ALL with hyperdiploidy or *MLL* rearrangement, characterized by a primi-

tive B cell or a mixed lymphoid-myeloid phenotype (28-30). The observations that ETV6-PDGFBR, which already by itself causes a lethal myeloproliferative syndrome in transplanted mice, induces exclusively a myeloblastic leukemia when coexpressed with AML1-ETO might point to the importance of the collaborating partner for the phenotype of the induced leukemia (31). Another possible explanation for the development of lymphoid malignancies in our model is that, in individual mice, lymphoid-committed stages of differentiation were hit by the retrovirus, resulting in lymphoblastic leukemia in these animals. This would potentially be a key difference from the human situation, in which both AML1-ETO and FLT3-LM are already present in the HSC pool (21, 32). An important question is whether the results were influenced by retroviral insertional mutagenesis. Most of the leukemic animals were transplanted with a low transplant dose and then suffered from monoclonal or oligoclonal disease. Although the number of retroviral integration events was low in the mice, insertional mutagenesis might have contributed to the leukemogenesis. However, analyses of the retroviral integration sites in the diseased animals showed integration into intergenic regions or introns of genes, more likely resulting in their knock down than in their activation. These data suggest that retroviral insertional mutagenesis might not play the key part in disease development, an issue that might be more accurately addressed in mouse models expressing AML1-ETO from an endogenous promoter. The long latency of the leukemias, even of secondary disease, however, strongly argues that additional secondary in vivo genetic events in the animals contributed to disease development.

To our knowledge, this is the first functional evidence of a leukemogenic collaboration of *AML1-ETO* with a complementary class of mutation, recurrently found in patients with t(8;21). It facilitates our understanding of acute leukemias associated with 2 of the most frequent genetic alterations in this disease. Furthermore, our experimental data support recent reports that show the functional relevance of activating mutations in patients with CBF leukemias [*AML1-ETO* or *CBFB-MYH11*] by demonstrating

a significantly shortened overall and event-free survival for AML1-ETO-positive leukemias harboring activating mutations of FLT3 or KIT compared with those without these mutations. In contrast, RAS mutations did not affect the treatment outcome (S. Schnittger, unpublished observations) (33, 34). In line with these findings, it was recently shown that in patients with AML1-ETO-positive leukemia, most leukemic cells at diagnosis additionally harbored mutations in KIT, whereas in 3 patients analyzed in complete remission, only the fusion gene, but not the KIT mutation, could be detected by PCR; this strongly supports the concept of a stepwise development of disease involving 2 collaborating genetic aberrations (35). These observations encourage the systematic screening of activating mutations in patients with CBF leukemias in prospective clinical trials to evaluate more precisely their prognostic impact, and they form a rationale to consider treatment strategies targeting the signal transduction apparatus in this AML subtype.

Methods

Patient samples. BM samples from 135 adult patients with newly diagnosed AML – de novo AML (n = 118), secondary AML after treatment of a previous malignancy (n = 13), and AML at relapse (n = 4) – were analyzed. The diagnosis of AML was performed according to the French-American-British criteria and the WHO classification (36, 37). Cytomorphology, cytochemistry, cytogenetics, and molecular genetics were applied in all cases



Figure 5

Histological analyses of leukemic mice. (A–F) Histological analyses of AML (mouse no. 14). Original magnifications: A–C, ×200; inset in C, ×1,000; D and right side of E, ×250; inset in D, ×650; left side of E, ×400; F, ×400. (G–O) Histological analyses of AML with a dominant mast cell population (mouse no. 16). (G–D) Primary recipient. (M–O) Secondary recipient. Original magnifications: G and M, ×100; H, J, and N, ×200; I, K, and O, ×400; L, ×600. Mast cells with metachromatic granulation in the Giemsa stain are indicated by an arrow. MPO, myeloperoxidase; CAE, *N*-acetyl-chloroacetate esterase.

as described below. Both animal and human studies were approved by the Ethics Committee of Ludwig Maximilians University and abided by the tenets of the revised World Medical Association Declaration of Helsinki (http://www.wma.net/e/policy/b3.htm).

Cytogenetic and FISH analysis. Cytogenetic analyses were performed using standard techniques. For FISH, a commercially available AML1-ETO probe was used according to the manufacturer's instructions (Vysis Inc.) (38).

PCR. Molecular genetic analysis for *AML1-ETO* (38), *MLL-PTD* (39), *FLT3-LM* (4), *NRAS* mutations, *FLT3D835*, and *KITD816* (40) in patient samples was performed as has been described previously (41). In leukemic mice, expression of AML1-ETO and FLT3-LM was assessed by RT-PCR in animals transplanted with BM cells coexpressing AML1-ETO/GFP and FLT3-LM/YFP. Preparation of cDNA was performed as previously described (41). For AML1-ETO the primer forward 5'-ATGACCTCAGGTTTGTCGGTCG-3' and the primer reverse

5'-TGAACTGGTTCTTGGAGCCTCCT-3' (corresponding to positions nucleotide 395 and nucleotide 633 of GenBank accession number D13979, respectively) were used; for *FLT3-LM* the primer forward 5'-GCAATTTAGGTATGAAAGCCAGC-3' and the primer reverse 5'-CTTTCAGCATTTT-GACGGCAACC-3' (corresponding to positions nucleotide 1,704 and nucleotide 1,920 of GenBank accession number NM_004119, respectively) were used. The annealing temperature was 57°C. The number of PCR cycles for each gene was chosen to stop the reaction in the linear phase of amplification (35 cycles for AML-ETO and FLT3-LM). The integrity of the RNA in all samples was confirmed by mβ-2 microglobulin RT-PCR.

For the linker-mediated PCR (LM-PCR), integrated long-terminal repeats (LTRs) and flanking genomic sequences were amplified and then isolated using a modification of the bubble LM-PCR strategy (42, 43). Aliquots of the cell lysates from leukemic mice were digested with PstI or Ase (New England Biolabs Inc.), and the fragments were ligated overnight at room temperature to a double-stranded bubble linker (5'-CTCTCCCTTCTCGAATCGTAACCGTTCG-TACGAGAATCGCTGTCCTCTCCTTG-3' and 5'-ANTCAAGGAGAGGACGCTGTCTGTCGAAGG-TAAGGAACGGACGAGAGAAGGGAGAG-3'). Next, a first PCR (PCR-A) was performed on 10 µl (one-tenth) of the ligation product using a linkerspecific Vectorette primer (5'-CGAATCGTAACC-GTTCGTACGAGAATCGCT-3') (Invitrogen Corp.) and an LTR-specific primer (LTR-A: 5'-CAACACA-CACATTGAAGCACTCAAGGCAAG-3') under the following conditions: 1 cycle of 94°C for 2 minutes, 20 cycles of 94°C for 30 seconds and 65°C for 1 minute, and 1 cycle of 72°C for 2 minutes. The bubble linker contains a 30-nucleotide nonhomologous sequence in the middle region that prevents binding of the linker primer in the absence of the minus strand generated by the LTR-specific primer. A 1-µl aliquot of the PCR-A reaction (onefifteenth) was then used as a template for a second nested PCR (PCR-B) using an internal LTR-specific primer (LTR-B: 5'-GAGAGCTCCCAGGCTCA-GATCTGGTCTAAC-3') and the same linker-spe-

cific Vectorette primer as was used in PCR-A, with the following conditions: 1 cycle of 94°C for 2 minutes, 30 cycles of 94°C for 60 seconds and 72°C for 1 minute, and 1 cycle of 72°C for 2 minutes. Ten microliters (one-half) of the final PCR-B product was electrophoresed using 2% agarose tris-acetate-EDTA gel. Individual bands were excised and purified using the QIAEX II Gel Extraction Kit (QIAGEN) and then cloned into PCR2.1 (Invitrogen Corp.) before sequencing of the integration site of the retrovirus.

Multiparameter flow cytometry. Immunophenotypic analyses were performed as previously described (44). The following combinations of antibodies were used: CD34/CD2/CD33, CD7/CD33/CD34, CD34/CD56/ CD33, CD11b/CD117/CD34, CD64/CD4/CD45, CD34/CD13/CD19, CD65/CD87/CD34, CD15/CD34/CD33, HLA-DR/CD33/CD34, CD4/ CD13/CD14, CD34/CD135/CD117, CD34/CD116/CD33, CD90/CD117/ CD34, CD34/NG2(7.1)/CD33, CD38/CD133/CD34, CD61/CD14/CD45,



Figure 6

Analysis of proviral integrations. (A) Southern blot analysis of genomic DNA from different primary mice and a secondary recipient to detect clonal proviral integrations. DNA was digested with *Eco*RI, which cuts once in the proviral sequence, and blots were hybridized to a GFP/YFP probe. The mouse numbers are indicated (corresponding to those in Table 2). S, spleen; PB, peripheral blood; sec. of 21, second mouse of 1° mouse no. 21. (B) Bubble PCR analyses of retroviral integration sites in diseased mice. The bands (A–I) were isolated, subcloned, and sequenced. A description of the PCR products is given in Table 3. Asterisk indicates resolution as 2 unique bands after subcloning and sequencing of integration sites.

CD36/CD235a/CD45, CD15/CD13/CD33, CD9/CD34/CD33, CD38/ CD34/CD90, CD34/CD79a/CD19, TdT/cyCD33/cyCD45, myeloperoxidase/lactoferrin/cyCD15, TdT/cyCD79a/cyCD3, and TdT/cyCD22/ cyCD3. All antibodies were purchased from Beckman Coulter Inc., except for CD64 and CD15 (Medarex Inc.), CD133 (Miltenyi Biotec), and myeloperoxidase and lactoferrin (CALTAG Laboratories). For the analysis of cytoplasmic antigens, cells were fixed and permeabilized before staining with FIX & PERM (CALTAG Laboratories). Multiparameter flow cytometry analysis was performed with a FACSCalibur flow cytometer (BD).

The purity of all samples was 80–100%. Furthermore, immunophenotyping was performed with triple staining in all cases as indicated above, testing simultaneous expression of myeloid and lymphoid antigens to exclude contaminating normal lymphoid cells.

In mice, immunophenotypic analysis of singlecell suspensions from BM, spleen, and peripheral blood was performed by flow cytometry (FACS-Calibur cytometer; BD) using PE-labeled Sca-1, Gr-1, Ter-119, and CD4 antibodies and allophycocyanin-labeled Mac-1, Kit, B220, and CD8 antibodies (all from BD Biosciences — Pharmingen), as previously described (45). The surface expression of the FLT3-LM construct and the FLT3-LM-KD mutant of Ba/F3 cells was confirmed by FACS analysis (Figure 2B) using anti-human CD135-PE mAb (BD) and an isotype-matched IgG1-PE control (Beckman Coulter Inc.).

cDNA constructs and retroviral vectors. For retroviral gene transfer into primary BM cells, AML1-ETO cDNA was subcloned into the multiple cloning site of the modified murine stem cell virus (MSCV) 2.1 vector (41) upstream of the enhanced GFP (EGFP) gene and the internal ribosomal entry site (IRES). The FLT3-LM cDNA was subcloned into the identical MSCV vector construct carrying the enhanced YFP (Figure 1A). The MSCV vector carrying only the IRES-EGFP cassette was used as a control. The cDNA of FLT3-LM was kindly provided by D.G. Gilliland (Harvard Medical School, Boston, Massachusetts, USA) and contained a 28-amino acid duplicated sequence (CSSDNEYFYVDFREYEY-DLKWEFPRENL) inserted between amino acids 610 and 611. The AML1-ETO cDNA was provided by S.W. Hiebert (Vanderbilt University School of Medicine, Nashville, Tennessee, USA).

The FLT3-LM-KD mutation K672R (a point mutation of Lys644 to Arg that disrupts an ion pair with Glu661 that is critical for nucleotide binding in FLT3-WT; ref. 46), and the L148D AML1-ETO DNA binding, were generated from the full-length human FLT3-LM cDNA and the AML1-ETO cDNA, respectively, using the QuikChange Site-Directed Mutagenesis Kit (Stratagene) according to the manufacturer's instructions. The correct sequences of the constructs were confirmed by complete nucleotide sequencing, and expression was proved by Western blot and FACS analysis (Figure 1).

Cell culture. Gag-pol and envelope (GP⁺ E86) packaging cells, NIH 3T3 cells, and 293T cells were grown in DMEM with 10% FBS and 1% penicillin/streptomy-

cin in a humidified incubator at 37 °C and 5% CO₂. Primary murine BM cells were plated in transplant medium consisting of DMEM supplemented with 15% FBS, 1% penicillin/streptomycin, 6 ng/ml IL-3, 10 ng/ml IL-6, and 100 ng/ml SCF (tebu-bio GmbH). IL-3–dependent Ba/F3 cells stably expressing the empty vector alone, FLT3-WT, FLT3-LM, and FLT3-LM-KD were seeded at a concentration of 0.05×10^6 per milliliter in the presence or absence of IL-3 and FLT3 ligand, as described previously (48). At 72 hours, viable cells were counted in a standard hemacytometer after staining with trypan blue.

Retrovirus production. High-titer helper-free retrovirus was produced with the constructs above by individual cotransfection of each construct with Ecopac (Cell Genesys Inc.) into 293T cells using calcium chloride precipitation. The retrovirus was subsequently collected in the conditioned medium.

Table 3

Identity of retroviral integration sites in diseased mice

Lane ^A	PCR product ^A	Gene	Protein family	Chromosome	Mouse no.
2	A 1 A 2	Intergenic Intron 1 of Rnf8 alias AIP37 and 5 kbp 3′ of Pim1	Ring finger protein 8	17qE3 17qA3	15
3	B C	Intergenic Intron 1 of Ptp4a3	Protein tyrosine phosphatase 4a3	15qE2 15qD3	16
4	D E	Hypothetical gene Intron 3 of GATA	Transcription factor	10qC2 6qD1	12
5	F G	Intergenic Intron 4 of SF3b	Splicing factor subunit b3	18qD3 8qE1	
6	H	Intergenic in intron of hypothetical gene Intergenic in intron of hypothetical gene		3qF1 16qA1	18

^ALanes and PCR products according to Figure 6B.



Figure 7

Expression of lymphoid antigens on 52 samples of patients with AML1-ETO-positive AML, determined by immunophenotyping. Samples were defined as negative for expression of cytoplasmic antigens when less than 10% of the cells stained with the antibody, and as negative for expression of surface antigens when less than 20% of the cells stained with the antibody (shaded areas).

To optimize transduction efficiency, the virus-containing medium (VCM) of different constructs was used to transfect GP⁺ E86 cells to establish stable packaging cell lines, or to directly infect 5-FU–mobilized BM cells in the case of FLT3-LM. Ba/F3 cells were transfected with the different constructs as previously described (48, 49).

Mice and retroviral infection of primary BM cells. Parental-strain mice were bred and maintained at the GSF animal facility. Donors of primary BM cells [(C57BL/6Ly-Pep3b × C3H/HeJ) F1 (PepC3) mice] and recipient mice [(C57BL/6J × C3H/HeJ) F1 (B6C3)] were more than 8 weeks old. Primary mouse BM cells were transduced as previously described (41). For transduction of AML1-ETO, cells were cocultured in transplant medium with AML1-ETO/GFP producer cells irradiated with 40 Gy of ¹³⁷Cs γ-radiation. For infection with the FLT3-LM virus, BM cells were cultured in FLT3-LM/VCM, supplemented with cytokines (IL-3, IL-6, and SCF), to achieve optimal transduction efficacy. For coinfection with the FLT3-LM and AML1-ETO retroviruses, BM cells were cultured on a mixture of 30–50% AML1-ETO/GFP and 50-70% FLT3-LM/YFP producer cells in transplant medium or FLT3-LM/VCM supplemented with IL-3, IL-6, and SCF. Retroviral transfection of primary BM cells with the AML1-ETO mutant L148D and the FLT3-LM-KD mutant was performed as described for FLT3-LM, by cultivation of the BM in VCM supplemented with IL-3, IL-6, and SCF. All transductions were performed with the addition of 5 µg/ml protamine sulfate. Infected cells were highly purified (FACSVantage; BD) based on expression of GFP (for AML1-ETO alone), expression of YFP (for FLT3-LM alone), or coexpression of GFP and YFP (for AML1-ETO/FLT3-LM cotransduction) before transplantation.

BM transplantation and assessment of mice. FACS-purified transduced BM cells or ratios of transduced and nontransduced cells (if less than 3×10^5 transduced cells per recipient were available) were injected into the tail vein of 8- to 10-week-old irradiated recipient F₁ (B6C3) mice (800 cGy from a ¹³⁷Cs γ -radiation source). Peripheral blood or BM cell progeny of transduced cells were tracked using the GFP and/or YFP fluorescence in vivo (41). For transplantation of secondary mice, 1×10^6 to 2×10^6 cells of diseased primary animals were injected into the recipients after 800 cGy irradiation.

CFU-S and Δ CFU-S assay. 5-FU-mobilized primary BM cells from F₁ (PepC3) donor mice were retrovirally transduced with AML1-ETO; AML1-ETO-L148D; FLT3-LM; FLT3-LM-KD; both AML1-ETO and FLT3-LM; both AML1-ETO and FLT3-LM-KD; or both AML1-ETO-L148D and FLT3-LM. Cells transfected with the empty GFP vector served as control. Successfully transduced cells were isolated 48 hours after termination of infection by FACS (FACSVantage; BD). To assess initial (day 0) CFU-S numbers, purified cell populations were injected into lethally irradiated F_1 (B6C3) recipient mice 96 hours after the start of infection (45). To study the effect of the selective protein tyrosine kinase inhibitor PKC412 on double-positive cells, freshly sorted cells were cultured in transplant medium with 0 and 100 nM PKC412. After 48 hours, the cells were injected into lethally irradiated mice as described above, and the day 0 equivalent of the CFU-S frequency was calculated for both experimental groups. In this Δ CFU-S assay the base-line frequency of CFU-S is lower than in the CFU-S assay. The recovery of CFU-S cells was quantified by determination of the number of macroscopic colonies on the spleen at day 12 postinjection after fixation in Telleyesniczky's solution.

Southern blot. Genomic DNA was isolated from BM, spleen, and peripheral blood of diseased mice with DNAzol as recommended by the manufacturer (Invitrogen Corp.). Southern blot analysis was performed as previously described (45). DNA was digested with *Eco*RI and probed with a ³²P-labeled GFP/YFP DNA. Hybridizing bands were visualized by autoradiography.

Western blot. Protein expression of AML1-ETO, AML1-ETO-L148D, FLT3-LM, and FLT3-LM-KD was demonstrated by Western blotting using standard procedures (41). Membranes were probed with an anti-ETO polyclonal goat antibody and an anti-FLT3 polyclonal rabbit antibody (Santa Cruz Biotechnology Inc.). Protein expression of FLT3-LM showed 2 bands, as previously reported: in detail, the FLT3 receptor occurs in 2 different forms due to glycosylation that can be resolved in SDS-PAGE gradient gels - a 158- to 160-kDa membrane-bound protein that is glycosylated at N-linked glycosylation sites in the extracellular domain and an unglycosylated 130to 143-kDa protein that is not membrane bound (50-52). Phosphorylation of FLT3 was tested by Western blot using 293T cells that were starved for 12 hours at 37°C, 5% CO2. After cell harvesting and lysis, 300 µg of the lysates was immunoprecipitated with polyclonal rabbit anti-FLT3 antibody (s-18; Santa Cruz Biotechnology Inc.). Immunoprecipitates were analyzed by SDS-PAGE with mouse monoclonal anti-phosphotyrosine antibody (PY-99; Santa Cruz Biotechnology Inc.) and reprobed with anti-FLT3 antibody.

Histology. For histological analyses, sections of selected organs were prepared and stained at the Academic Pathology Laboratory, GSF (Munich, Germany), using standard protocols, as previously described (41). The mast cell-specific tryptase and the CD117 antibody were purchased from Dako-Cytomation. All the tumors were histopathologically classified according to the Bethesda proposals for classification on nonlymphoid and lymphoid hematopoietic neoplasms in mice (53, 54).

Statistical analysis. Data were evaluated using the 2-tailed Student's *t* test for dependent or independent samples (Microsoft Excel 2002, Microsoft Corp.). Differences with *P* values less than 0.05 were considered statistically significant.

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