

1 **In utero human cytomegalovirus infection expands NK-like FcγRIII+CD8+ T cells that mediate Fc**
2 **antibody functions**

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29 and Hoopika CMV vaccine programs and leads sponsored research programs with Moderna, Merck,
30 and Dynavax. She also serves on the board of the National CMV Foundation and as an educator on
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33 **Abstract**

34 Human cytomegalovirus (HCMV) profoundly impacts host T and natural killer (NK) cells across the
35 lifespan, yet how this common congenital infection modulates developing fetal immune cell compartments
36 remains underexplored. Using cord blood from neonates with and without congenital HCMV (cCMV)
37 infection, we identify an expansion of Fcγ receptor III (FcγRIII)-expressing CD8⁺ T cells following HCMV
38 exposure in utero. Most FcγRIII⁺ CD8⁺ T cells express the canonical αβ T cell receptor (TCR) but a
39 proportion express non-canonical γδ TCR. FcγRIII⁺ CD8⁺ T cells are highly differentiated and have
40 increased expression of NK cell markers and cytolytic molecules. Transcriptional analysis reveals
41 FcγRIII⁺ CD8⁺ T cells upregulate T-bet and downregulate BCL11B, known transcription factors that
42 govern T/NK cell fate. We show that FcγRIII⁺ CD8⁺ T cells mediate antibody-dependent IFNγ production
43 and degranulation against IgG-opsonized target cells, similar to NK cell antibody-dependent cellular
44 cytotoxicity (ADCC). FcγRIII⁺ CD8⁺ T cell Fc effector functions were further enhanced by interleukin-15
45 (IL-15), as has been observed in neonatal NK cells. Our study reveals that FcγRIII⁺ CD8⁺ T cells elicited
46 in utero by HCMV infection can execute Fc-mediated effector functions bridging cellular and humoral
47 immunity and may be a promising target for antibody-based therapeutics and vaccination in early life.

48

49 **Keywords:** CMV, cytomegalovirus, congenital infection, fetal immunity, CD8⁺ T cells, γδ T cells, innate-
50 like T cells, NK cells, Fc receptor, CD16, Fc effector function, ADCC

51

52 **Summary:** In a cohort of maternal-fetal dyads, we reveal that human cytomegalovirus infection expands
53 FcγRIII-expressing CD8⁺ T cells that can mediate NK-like ADCC functions in utero.

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60 **Introduction**

61 Human cytomegalovirus (HCMV) is a ubiquitous β -herpesvirus and that has co-evolved with
62 humans and an important member of the human virome, a dynamic network of commensal and
63 pathogenic viruses (1, 2). Most individuals are latently infected with HCMV (3) and few human pathogens
64 are known to exert such a profound imprint on host immunity across the lifespan (4, 5). While primary
65 infection, latency reactivation, and reinfection are often asymptomatic in healthy children and adults,
66 HCMV can cause severe disease in immunocompromised populations including fetuses, transplant
67 recipients, and persons living with HIV/AIDS. HCMV is the most common congenital infection worldwide
68 and can cause devastating neurologic disease, yet most infants born with HCMV are asymptomatic (6).
69 Intriguingly, while HCMV is a danger to prenatal populations, emerging evidence suggests HCMV may
70 enhance heterologous immunity to other pathogens and vaccines in young, healthy individuals (2, 5, 7).

71 HCMV infection shapes global immune cell profiles, not just HCMV-specific cells, creating long-
72 lasting shifts in natural killer (NK) and T cell compartments and expanding effector populations bridging
73 innate and adaptive immunity (4, 8). “Memory-like” or “adaptive” NK cells generated by interactions
74 between the HCMV peptide UL40 and NKG2 killer lectin-like (KLR) receptors are persistently expanded
75 in HCMV seropositive individuals and can mediate enhanced anti-viral responses upon restimulation (9,
76 10). HCMV seropositivity has also been associated with the activation and terminal differentiation of
77 bystander non-HCMV specific CD8⁺ T cells (11, 12). Additionally, $\gamma\delta$ T cells and canonical CD8⁺ T cells
78 expressing NK cell receptors such as Fc γ receptor III (Fc γ RIII, also known as CD16), NKG2C, and killer-
79 like immunoglobulin receptors (KIRs) and demonstrating hybrid T-NK cell functions have also been
80 observed in adults with chronic HCMV infection (13-15).

81 Despite HCMV’s well-known impacts on the adult immune system, our understanding of how
82 HCMV modulates NK and T cells in early life remains limited. Fetal HCMV-specific T cells and $\gamma\delta$ T cell
83 subsets can expand following infection (16-20), yet the global impact of HCMV on developing T and NK
84 cells has been underexplored. Vaaben et al. recently reported that fetal NK cells in cCMV infection highly
85 express markers of maturation, activation, and cytotoxicity (21), though the functional capacity of these
86 NK cells is unclear (21). The fetal and neonatal immune landscape is fundamentally distinct from the

87 adult immune system, as it is biased towards immunotolerance and innate immune responses (22, 23),
88 leading us to question how HCMV exposure in utero influences developing T and NK cells.

89 In this study, we investigated how HCMV impacts fetal T and NK cell populations using banked
90 cord blood from U.S. donors with and without cCMV infection. We characterized cord blood T and NK
91 cells using high-dimensional flow cytometry, machine learning immune cell clustering, transcriptome
92 profiling, and functional assays, identifying a striking expansion of CD8⁺ T cells expressing the NK cell
93 associated marker FcγRIII in cCMV infection. FcγRIII⁺ CD8⁺ T cells were a heterogenous population,
94 mostly expressing αβ T cell receptor (TCR) but some expressing γδ TCR, with an NK-like transcriptional
95 profile and the capacity to mediate Fc antibody effector functions. Our findings suggest that fetal CD8⁺
96 T cells can be stimulated to differentiate into NK-like T cells that mediate antibody-dependent cellular
97 cytotoxicity (ADCC), an Fc effector function traditionally associated with NK cells. FcγRIII⁺ CD8⁺ T cells
98 may have translational potential as an effector cell population linking cellular and humoral immunity that
99 could be harnessed by antibody-based therapeutics or vaccines in early life.

100

101 **Results**

102

103 **Cord blood donor immunophenotyping highlights distinct immune landscape in cCMV-infected** 104 **versus uninfected neonates**

105 In this study, we analyzed samples from the U.S. Carolinas Cord Blood Bank (CCBB). In the
106 CCBB donor database, we identified cases of cCMV infection based on positive screening for cord blood
107 HCMV DNAemia (Supplementary Figure 1). Using infant sex, race/ethnicity, maternal age, and delivery
108 year as matching variables, HCMV positive neonates were matched to two HCMV negative donors
109 (Figure 1A). Demographic and clinical characteristics were similar between cCMV-infected (cCMV⁺,
110 n=59) and uninfected (cCMV⁻, n=135) donors (Supplementary Table 1) with no significant differences
111 between groups after correcting for multiple comparisons.

112 First, we compared cord blood donor immunophenotyping from cCMV⁺ and cCMV⁻ samples.
113 There was a higher proportion of T cells and an inverted ratio of CD4⁺/CD8⁺ T cells, driven by an

114 expansion of CD8⁺ T cells, in cCMV⁺ neonates (Figure 1B-E). To a lesser degree, CD4-CD8- “double
115 negative” T cells, likely representing $\gamma\delta$ T cells, (Figure 1F) and CD16⁺CD56⁺ lymphocytes, likely mostly
116 NK cells (Figure 1G) were also expanded in cCMV infection. Next, we used principal components analysis
117 (PCA) to visualize the cord blood immunophenotyping data by cCMV status. PC1 and PC2 accounted
118 for ~50% of the variance between donors (Figure 1H). Cord blood immunophenotypes from cCMV⁺ and
119 cCMV⁻ neonates clustered distinctly, with increased CD8⁺ T cells as the top parameter associated with
120 cCMV infection (Figure 1H-I). PCA visualization by infant sex, race/ethnicity, and delivery mode showed
121 no evidence that these characteristics were underlying differences between groups (Supplementary
122 Figure 2). To explore how HCMV exposure in utero influences developing immune cell compartments,
123 we performed multiparameter flow cytometry and transcriptional profiling of NK and T cells in a subset of
124 cord blood samples from cCMV⁺ (n=21) and cCMV⁻ (n=20) neonates (Supplementary Figure 3-4).

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126 **CD56^{neg} Fc γ RIII/CD16⁺ and NKG2C⁺ NK cells expand in cord blood from cCMV-infected neonates**

127 Total NK cells and 3 major NK cell subsets including CD56^{neg}CD16⁺, CD56^{bright}CD16^{+/-}, and
128 CD56^{dim}CD16^{+/-} NK cells (21, 24) were compared (Figure 2A-B). In cCMV infection, CD56^{neg}CD16⁺ NK
129 cells were significantly expanded (Figure 2B) and several NK cell subsets had higher expression of CD57
130 (Figure 2C), a marker of activation and differentiation. NKG2C, but not NKG2A, was also more frequently
131 expressed on NK cells from cCMV⁺ versus cCMV⁻ neonates (Figure 2D-E).

132 Next, we compared the transcriptome of FAC-sorted NK cells from cCMV⁺ (n=13) versus cCMV⁻
133 (n=12) neonates. Differential gene expression analysis identified 75 upregulated and 77 downregulated
134 genes (Figure 2F-G), though only 29 upregulated and 12 downregulated genes remained significant after
135 FDR correction ($P_{\text{FDR}} < 0.1$). Expression of LAG3, a checkpoint inhibitor induced by type I IFN that is
136 highly expressed by ADCC-mediating CD56^{neg}CD16⁺ NK cells (24), was 4-fold higher in NK cells from
137 cCMV⁺ versus cCMV⁻ neonates ($P_{\text{FDR}} = 2.53 \times 10^{-10}$). JAKMIP1, a marker of adaptive NK cells in chronic
138 HCMV infection (25) was also elevated 5-fold ($P_{\text{FDR}} = 2.53 \times 10^{-3}$). Enriched gene ontology pathways in
139 upregulated genes included innate immune response ($P_{\text{adj}} = 1.0 \times 10^{-4}$), defense to virus ($P_{\text{adj}} = 2.5 \times 10^{-4}$),
140 and type I interferon signaling ($P_{\text{adj}} = 6.7 \times 10^{-4}$). Together, these data demonstrate that NK cells with an

141 anti-viral transcriptional program expand in cCMV infection but limited subsets express NKG2C, the
142 characteristic marker of “memory-like” NK cells that expand in adult infection (8).

143

144 **Minor phenotypic and transcriptional changes in CD4+ T cells following cCMV infection**

145 The proportion of total CD4+ T cells was lower in cCMV+ versus cCMV- infants, yet there were
146 no differences in naïve, central memory (Tcm), effector memory (Tem), terminally differentiated effector
147 memory T cells re-expressing CD45RA+ (Temra), or regulatory (Treg) subsets (Supplementary Figure
148 5A-B). CD4+ T cells expressing activation (HLA-DR), differentiation (CD57), and antigen stimulation (PD-
149 1) markers were increased in cCMV infection, but overall low abundance (Supplementary Figure 5C).
150 Differential gene expression analysis identified 180 upregulated and 368 downregulated genes in CD4+
151 T cells from cCMV+ (n=11) versus cCMV- (n=12) neonates (Supplementary Figure 5D), yet only 25
152 upregulated and 37 downregulated genes were significant after FDR correction ($P_{FDR} < 0.1$). Expression
153 of CCL5 ($P_{FDR} = 1.10 \times 10^{-8}$), natural-killer gene 7 (NKG7, $P_{FDR} = 1.97 \times 10^{-6}$), which helps traffic cytotoxic
154 vesicles to the immunological synapse, and granzyme H ($P_{FDR} = 5.8 \times 10^{-6}$) were upregulated 5-to-7-fold
155 (Supplementary Figure 5D-E). Taken together, these data suggest that HCMV activates a minor subset
156 of fetal CD4+ T cells, particularly those that may recruit cytotoxic cells or direct cytotoxic activity.

157

158 **CD8+ T cells upregulate cytolytic and NK cell associated genes in cCMV infection**

159 Total CD8+ T cells and Tcm/Tem subsets were increased in cCMV+ versus cCMV- neonates
160 (Figure 3A-B). CD57 was expressed on the majority of CD8+ T cells from cCMV+ infants (median = 59%)
161 versus <1% of cCMV- infants (Figure 3C). Differential gene expression analysis identified 774
162 upregulated and 420 downregulated genes in CD8+ T cells from cCMV+ (n=13) versus cCMV- (n=11)
163 groups (Figure 3C-D), which all remained statistically significant after FDR correction ($P_{FDR} < 0.1$).
164 Chemokines CCL3 ($P_{FDR} = 1.1 \times 10^{-18}$), CCL4 ($P_{FDR} = 2.1 \times 10^{-30}$), and CCL5 ($P_{FDR} = 1.7 \times 10^{-20}$) were
165 upregulated (Figure 3D-E). Expression of cytolytic molecules granzyme H ($P_{FDR} = 1.6 \times 10^{-11}$), granzyme
166 B ($P_{FDR} = 3.8 \times 10^{-18}$), perforin (PRF1, $P_{FDR} = 9.2 \times 10^{-12}$), granulysin (GNLY, $P_{FDR} = 2.7 \times 10^{-14}$) and NKG7
167 ($P_{FDR} = 1.5 \times 10^{-24}$) were increased 3-to-5-fold in cCMV infection (Figure 3D-F). Expression of genes

168 encoding FcγRIIIa/CD16A ($P_{FDR} = 8.8 \times 10^{-26}$), FcγRIIIb/CD16B ($P_{FDR} = 1.7 \times 10^{-13}$), and KLRs were also
169 increased (Figure 3E, G). Gene set enrichment analysis revealed that the top induced pathways in CD8+
170 T cells from cCMV+ infants included NK cell mediated immunity ($P_{adj} = 1.3 \times 10^{-3}$), NK cell mediated
171 cytotoxicity ($P_{adj} = 1.3 \times 10^{-3}$), and regulation of NK cell immunity ($P_{adj} = 2.9 \times 10^{-3}$) (Supplementary Table
172 2). Together, these data indicate that CD8+ T cells exposed to HCMV in utero have high cytotoxic
173 potential and upregulate NK cell associated genes that may contribute to anti-viral functions.

174

175 **CD8+ T cells expressing FcγRIII and NKG2A/C expand in cCMV infection**

176 To define the CD8+ T cell populations underlying these transcriptional changes, we used CITRUS
177 (cluster identification, characterization, and regression), a machine learning algorithm that employs
178 unsupervised hierarchical clustering of flow cytometry data to identify immune cell populations that differ
179 between groups rather than traditional Boolean gating (26). We first used t-SNE-CUDA (27) to visualize
180 our data (Figure 4A) and select fluorescent channels for CITRUS analysis. CD3, CD4, CD8, CD127,
181 CD25, CD19, CD56, FcγRIII/CD16, NKG2A, NKG2C, HLA-DR, and CD14 marker expression on
182 1,250,000 cells (50,000 cells/sample) were used to generate the CITRUS cluster map (Figure 4B,
183 Supplementary Figure 6). CITRUS identified 38 immune cell clusters that differed significantly ($P_{FDR} <$
184 0.01) between cCMV+ and cCMV- groups, including clusters of activated CD8+ and CD4+ T cells that
185 we previously identified with manual gating (Supplementary Data, Figure 4B). Two clusters in the CD8+
186 T cell “branch”, one co-expressing NKG2A and NKG2C (Figure 4C) and the other co-expressing FcγRIII
187 and NKG2C (Figure 4D), were also more abundant in cCMV infection. These populations clustered
188 distinctly from the NK cell “branch” and expressed the T cell marker CD3 (Figure 4B-D). Using manual
189 gating to confirm our CITRUS analysis (Figure 4E), we found that CD8+ T cells expressing FcγRIII were
190 significantly enriched in cord blood from cCMV+ neonates (Figure 4F). Frequency of CD8+ T cells
191 expressing NKG2A/NKG2C was also higher in cCMV infection and nearly absent (median <1%) in cCMV-
192 infants (Figure 4F). Together, these data demonstrate that CD8+ T cells expressing the NK cell
193 associated receptors FcγRIII and NKG2A/C expand in utero following HCMV infection.

194

195 **FcγRIII+ CD8+ T cells include canonical αβ and nontraditional γδ T cell populations**

196 CD8+ T cells expressing NK cell markers have been described in chronic HCMV, EBV, HIV and
197 HCV infections in adults (28-32), prompting us to perform additional T and NK cell phenotyping to define
198 these populations in the fetal immune context. CITRUS analysis of CD3, CD4, CD8, CD56, FcγRIII/CD16,
199 γδ TCR, CCR7, CD45RA, PD-1, CD57, NKG2A, and NKG2C marker expression on 1,200,000 cells
200 (75,000 cells/sample) generated a map with distinct “branches” of T and NK cell clusters and identified
201 28 clusters that differed significantly ($P_{\text{FDR}} < 0.01$) between cCMV+ (n=8) and cCMV- (n=8) groups (Figure
202 5A, Supplementary Figure 7). Multiple CD8+ T cell clusters expressing FcγRIII were enriched in cCMV
203 infection (Figure 5A). Most FcγRIII+CD8+ T cell clusters resembled canonical CD8+ T cells, whereas two
204 clusters expressed γδ TCR (Figure 5B-C).

205 Though low abundance overall, γδ T cells were expanded in cCMV+ (median = 4.7%) versus
206 cCMV- (median = 1.3%) groups (Figure 5D). While γδ T cells are typically CD8-CD4- “double negative”,
207 the proportion of CD8+CD4- γδ T cells were particularly increased in cCMV+ (median = 56%) versus
208 cCMV- (median = 17%) groups (Figure 5E), though all γδ T cell subsets were expanded. Frequency of
209 FcγRIII expression was higher on γδ T cells from cCMV+ (median = 47%) versus cCMV- (median = 7%)
210 groups (Figure 5E). Within the FcγRIII+CD8+ T cell population, γδ TCR was expressed on a minority of
211 cells (median = 19%) whereas αβ TCR was expressed on a majority of cells (median = 76%), though
212 there was heterogeneity across samples (Figure 5F). Most FcγRIII+CD8+ T cells were Temra and had
213 increased expression of CD57, PD-1 and NKG2C compared to CD8+ T cells lacking FcγRIII (Figure 5G).
214 Overall, these data suggest that HCMV stimulates fetal CD8+ T cells, including αβ and γδ T cells, to
215 differentiate and acquire NK cell associated receptors in utero.

216

217 **FcγRIII+ CD8+ T cells in cord blood from cCMV-infected neonates upregulate NK cell genes**

218 Next, we compared the transcriptome of FAC-sorted FcγRIII+CD8+ T (which we refer to as FcRT
219 cells) and FcγRIII-CD8+ T cells from cCMV+ and cCMV- neonates (Supplementary Figure 3). Cytolytic
220 molecules and chemokines were upregulated in FcγRIII+ and FcγRIII-CD8+ T cells in cCMV infection
221 (Figure 6A, Supplementary Figure 8A-D). FcγRIII-CD8+ T cells from cCMV- infants had a distinct

222 transcriptional profile with enriched expression of IL7R and CCR7, markers of naïve T cells (Figure 6B-
223 C). KIR and KLR expression were upregulated in FcRT cells as were additional NK cell identity genes
224 including CD244, NCR1/NKp46, NCAM1, and TYROBP (Figure 6D, Supplementary Figure 8C-D), which
225 were examined based on prior literature (14, 32). FcRT cells had increased expression of genes encoding
226 granzyme B, granzyme H, perforin, granulysin and NKG7, indicating high cytolytic potential, and multiple
227 FcγRs that can mediate Fc effector functions like ADCC and antibody-dependent cellular phagocytosis
228 (ADCP) (Figure 6D-E).

229 Next, we compared transcription factor (TF) expression in CD8+ T cells and NK cells from cCMV+
230 infants. We found 57 TFs were upregulated and 101 TFs were downregulated in FcRT versus FcγRIII-
231 CD8+ T cells (Figure 7A-B). To assess which TFs may be driving CD8+ T cells towards an NK-like profile,
232 we performed a PCA of bulk RNA-seq data from sorted CD8+ T cells and NK cells (Figure 7C). We
233 identified TFs HHEX, IRF5, and EOMES as associated with NK cell identity and TFs MEOX1 and BCL11B
234 as associated with T cell identity, whereas the TF T-bet was enriched most in FcRT cells (Figure 7C-D).
235 Together, these data demonstrate that FcRT cells elicited in utero during HCMV infection acquire an NK-
236 like transcriptional profile, likely governed by shifts in TFs regulating T/NK maturation.

237

238 **Cord blood NK and FcγRIII+CD8+ T cells produce IFN γ and degranulate against antibody-** 239 **opsonized target cells**

240 To assess whether FcRT cells are activated by Fc-IgG binding and mediate Fc effector functions,
241 we measured antibody-dependent IFN γ production and degranulation on CD8+ T and NK cells (Figure
242 8A). We observed high intracellular expression of perforin and granzyme B in FcRT cells with levels
243 similar to autologous NK cells (Figure 8B-C). To test FcγR function, we employed a validated assay for
244 NK cell ADCC (33) using an HIV model system (all donors were HIV negative, ensuring responses to the
245 antigen were mediated by antibodies and not memory T cell responses). NK cell degranulation and IFN γ
246 production to antibody stimulation was comparable in cord blood from cCMV+ and cCMV- neonates
247 (Supplementary Figure 9A-B). FcγRIII-CD8+ T cells from cCMV+ and cCMV- infants did not degranulate
248 or produce effector cytokines against HIV antigen coated cells when co-incubated with non-specific or

249 HIV-specific antibodies (Figure 8D-G, Supplementary Figure 9C-D). In contrast, FcRT cells from cCMV+
250 neonates degranulated, as measured by CD107a expression, and produced IFN γ in an antigen-specific,
251 antibody-dependent manner (Figure 8D-G). IL-15 augments ADCC activity in neonatal NK cells (34), so
252 we examined whether FcRT cells were also responsive to IL-15. We found that FcRT cells had increased
253 degranulation and IFN γ production following IL-15 stimulation, similar to autologous NK cells (Figure
254 8E,G, Supplementary Figure 9A-D). Taken together, these data demonstrate that cord blood NK and
255 FcRT cells elicited in utero following HCMV infection mediate ADCC functions that are enhanced by
256 effector cytokines.

257

258 **Discussion**

259 Congenital infections like HCMV pose a unique challenge to the developing immune system,
260 which must balance the competing demands of anti-pathogen defense versus immunotolerance to
261 maternal alloantigens, commensal microbiota, and environmental antigens (22). Thus, fetal and neonatal
262 immune cells favor innate over adaptive responses (23, 35) and antigen-specific responses by
263 developing T and B cells remain limited. While HCMV-specific T cells have been observed in cord blood
264 from cCMV-infected infants, adaptive T cell responses against HCMV are constrained by an immature T
265 cell compartment and functional exhaustion in utero (17-19). Here, we demonstrate that HCMV infection
266 expands a population of cord blood CD8 $^{+}$ T cells expressing Fc γ RIII and capable of Fc effector functions
267 traditionally associated with NK cells. Increased expression of granzyme, perforin, granulysin and NKG7
268 (36, 37), indicates that Fc γ RIII+CD8 $^{+}$ T cells (referred to as FcRT cells) are polyfunctional and highly
269 cytotoxic. We show that cord blood FcRT cells and NK cells from cCMV-infected neonates respond to
270 antibody stimulation with degranulation and IFN γ production, indicating that both populations are poised
271 to mediate ADCC. Our work identifies an alternative pathway by which the developing immune system
272 can overcome the limits to TCR-mediated immunity by engaging CD8 $^{+}$ T cells in Fc-mediated immunity.
273 In summary, our study suggests that CD8 $^{+}$ T cells and NK cells expressing Fc γ RIII can bridge cellular
274 and humoral immunity through Fc effector functions in early life.

275 NK-like CD8⁺ T cells have been described in adults with chronic HIV (32, 38), HCV (31), EBV
276 (30), and HCMV (14, 28, 29) infections. Prior work has shown that FcγRIII-expressing CD8⁺ T cells in
277 this adult context can mediate ADCC (30-32) that can be enhanced by IL-15 (39). These NK-like CD8⁺
278 T cells do not fit the characteristics of iNKT but rather represent separate heterogenous subpopulations
279 of cytotoxic T cells capable of innate and adaptive responses (40). To our knowledge, our work newly
280 demonstrates that FcγRIII-expressing CD8⁺ T cells with a similar transcriptional profile, cytokine
281 responsiveness, and functionality can be induced in an immature, developing immune system. Given the
282 limited gestational window when these infections occur, our identification of FcγR-expressing CD8⁺ T
283 cells in cord blood challenges the assumption that these cells only develop over months to years following
284 chronic antigenic stimulation (40). That these FcRT cells had upregulated expression of T-bet, EOMES
285 and HHEX, which regulate the maturation of IL-15 responsive cytotoxic CD8⁺ T cells and NK cells in
286 adults (41-43), suggests that transcriptional reprogramming governed by these TFs may also drive the
287 development of these cells in utero. Furthermore, FcRT cells had downregulation of BCL11B, which
288 regulates the development of CD8⁺ T cells with NK identity in chronic HCMV infection (14, 44-46).
289 Altogether, our findings indicate that this may be a more conserved and fundamental pathway for T cells
290 to take given the ability of developing fetal T cells to acquire these transcriptional changes and functions.
291 That the fetal immune system can rapidly develop NK-like CD8⁺ T cells in response to an infectious
292 stimulus suggests that neither chronic infection nor a fully developed adult immune system is required to
293 generate these cells. Our work reveals that FcRT cells may be a previously unappreciated effector cell
294 population that could contribute to host defense in early life by acquiring Fc antibody effector functions.

295 Our finding that a subset of FcRT cells expressed γδ TCR expands upon our current
296 understanding of γδ T cells in HCMV and other infections. Prior studies reported that fetal γδ T cells
297 expressing NK receptors expand in cCMV infection and have cytotoxic functions but did not examine
298 CD8 expression nor FcγR-mediated functions (20). FcγRIII-expressing γδ T cells capable of ADCC have
299 been observed in adults with chronic antigenic exposures (15, 47-49), though the ontogeny of these cells
300 remains unclear. Moreover, FcγRIII-expressing γδ T cells with upregulation of T-bet and robust ADCC
301 responses have been observed in children with malaria (47) and latent TB (50). Our study reveals that

302 similar populations can be generated by the fetal immune system. Since $\gamma\delta$ T cells are present in early
303 gestation before antigen-specific T cells develop, $\gamma\delta$ T cells bridging humoral and cellular immunity are
304 an attractive target to protect the maternal-fetal dyad.

305 There were minor shifts in the NK cell compartment compared to the CD8⁺ T cell compartment
306 following cCMV infection. NKG2C, a marker for the “memory-like” NK cells associated with chronic HCMV
307 infection (9, 10, 51-53), was more frequently expressed on NK cells from some but not all cCMV-infected
308 infants. “Atypical” or “adaptive-like” CD56^{neg} NK cells that function through Fc γ RIII-mediated ADCC rather
309 than direct cytotoxicity (24, 54, 55) were more consistently expanded, consistent with prior observations
310 in HCMV, EBV and malaria infection in early life (21, 24, 54). CD56^{neg} NK cells highly express CD57 and
311 LAG3, mirroring the transcriptional changes we observed in cCMV infection and suggesting this may be
312 a comparable population. Our work suggests that NK cells capable of ADCC expand in utero following
313 HCMV infection and whether they aid in anti-viral control should be explored (56).

314 Our data provide insights into the influence of cCMV infection on host cellular immunity and also
315 highlight an opportunity to protect neonates broadly using antibodies against infected, malignant, or
316 autoimmune cells. Maternal IgG is actively transferred across the placenta via FcRn to protect infants in
317 utero and during the first year of life (57-60). We speculate that FcRT cells may represent an additional
318 effector cell population that can expand the cellular compartment to leverage maternal IgG in early life.
319 Vaaben et al. recently proposed that Fc γ RIII-activating maternal IgG may synergize with neonatal NK
320 cells to protect against HCMV via antibody-dependent mechanisms (21, 56), a hypothesis that our data
321 further support. We recently reported that higher levels of Fc γ RIII and ADCC-activating IgG in maternal
322 and cord blood sera were associated with protection against congenital HCMV transmission in this same
323 cohort (61, 62). Thomas et al. also found that higher ADCC-mediating antibody responses and viral
324 susceptibility to ADCC were associated with decreased risk of HIV-1 transmission in utero (63). Together,
325 these findings suggest that Fc-mediated responses linking maternal humoral and fetal cellular immunity
326 may contribute to immune responses against congenital infections.

327 We propose that neonatal NK and FcRT cells could be targeted with monoclonal or polyclonal
328 antibodies to elicit cellular Fc effector functions against HCMV and other pathogens. Maternal

329 hyperimmune globulin treatment did not prevent fetal HCMV transmission in randomized clinical trials
330 (64, 65), underscoring the unmet need to develop novel strategies to prevent transmission. Antibodies
331 with Fc regions engineered to improve FcγR binding or bivalent antibodies to engage specific FcγR-
332 expressing cells could be given to pregnant people or neonates. Nirsevimab, an anti-RSV monoclonal
333 with a modified Fc region, has recently been shown to be highly efficacious in protecting neonates from
334 RSV (66), highlighting the promise of such emerging antibody-based therapeutics. More broadly, FcRT
335 and NK cells capable of ADCC may be an underappreciated component of early life immunity that could
336 be harnessed by vaccines against HCMV or pathogens like group B strep and E. coli that are a leading
337 cause of neonatal sepsis. Stimulating the expansion of FcγR-expressing NK and T cells with vaccine
338 adjuvants or specific antigens may allow better synergy between infant effector cells and maternal IgG.
339 Additional work into how these cells are generated and their role in anti-pathogen defense is needed but
340 these future directions highlight their translational potential.

341 There are several limitations to our study that could be expanded upon in future work. Our
342 retrospective cohort limited us from collecting additional clinical data and biospecimens. We were unable
343 to test for HCMV viral loads in saliva or urine as these samples were not collected so used DNAemia to
344 define cCMV infection, which has been validated in several studies (67, 68). Due to cord blood bank
345 protocols, samples from cCMV-infected infants born preterm, from a multiple gestation pregnancy, or
346 with symptomatic disease at birth were not available and long-term clinical outcomes were not collected.
347 Thus, we could not investigate how these immune changes relate to symptomatic disease or whether the
348 gestational timing of transmission influenced fetal immune responses. Moreover, we could not determine
349 how long these phenotypic and functional changes persist in the cellular compartment. Finally, banked
350 cord blood sample volumes were extremely limited, so we could only perform functional studies on a
351 subset of infants. Nevertheless, the data presented convincingly demonstrate that cCMV infection
352 expands NK-like CD8+ T cells capable of antibody-dependent effector functions. Future studies should
353 investigate how these immunological changes relate to anti-viral control and clinical outcomes of cCMV
354 infection and further characterize the origin, persistence, and functions of FcRT cells in early life.

355 In conclusion, we have demonstrated that cCMV infection expands FcγRIII-expressing CD8⁺ T
356 cells, including canonical αβ and non-traditional γδ T cells, that have high cytotoxic potential and are
357 poised to mediate ADCC. How FcγRT and NK cells contribute to fetal defense against congenital infections
358 must be explored further, but these populations represent promising translational targets to overcome
359 the challenges to generating adaptive immune responses in early life. Altogether, our work suggests that
360 CD8⁺ T and NK cells can mediate antibody effector functions through FcγR in early life and should be
361 considered in antibody-based therapeutics and vaccination strategies to protect the infant.

362 **Methods**

363

364 **Sex as a biological variable**

365 Our study included cord blood samples from male and female infants. Cases of cCMV infection and
366 controls without cCMV infection were matched based on infant sex to account for any potential
367 confounding when comparing groups. No differences in cord blood immunophenotypes by sex were
368 observed in our PCA analysis of CCBB graft data, though subsequent experiments were not stratified by
369 sex due to limited sample size.

370

371 **Human umbilical cord blood samples**

372 Cases and controls were identified from over 29,000 CCBB donor records (see Supplementary Figure 1
373 for an overview of sample selection). Maternal donors underwent infectious diseases screening for
374 HCMV, hepatitis B virus, syphilis, hepatitis C virus, HIV-1/2, HTLV I and II, Chagas Disease, and West
375 Nile virus. Only donors with healthy, uncomplicated pregnancies that gave birth at term were included
376 and infants were screened for signs of (a) neonatal sepsis, (b) congenital infection (petechial rash,
377 thrombocytopenia, hepatosplenomegaly), and (c) congenital abnormalities. Cord blood plasma was
378 screened by the CCBB for HCMV viremia with a Real-Time PCR COBAS AmpliPrep/TaqMan nucleic
379 acid test (Roche Diagnostics). Cases of cCMV infection were defined as donors with cord blood that
380 screened positive for HCMV DNAemia per PCR. Cases with cCMV infection (cCMV+, n=59) were
381 matched to at least 2 uninfected controls (cCMV-, n=135) that did not have detectable HCMV DNAemia
382 in the cord blood at birth. Matching variables included infant sex, race/ethnicity, maternal age (+/- 3
383 years), and delivery year (+/- 3 years), as in Supplementary Table 1.

384

385 ***CCBB graft characterization***

386 Flow cytometry graft characterization was performed at the time of donation on fresh umbilical cord blood
387 mononuclear cells (CBMCs) by the Duke Stem Cell Transplant Laboratory of Duke University Hospital,
388 a CAP and FACT accredited, CLIA certified clinical laboratory which provides contract services to the

389 CCBB. Graft characterization data was then obtained retrospectively from the CCBB donor database.
390 PCA plots of graft characterization data were rendered using ggplot2 (v3.4.0) in R.

391

392 ***NK and T cell phenotyping and sorting***

393 Flow cytometry was performed in the Duke Human Vaccine Institute (DHVI) Research Flow Cytometry
394 Shared Resource Facility (Durham, NC). For phenotyping, cryopreserved umbilical cord blood was
395 thawed briefly at 37°C and resuspended in R10 media (RPM1 1640 with glutamine [Gibco] plus 10%
396 heat-inactivated fetal bovine serum [FBS]) with Benzonase (Millipore; 2ul/mL). Re-suspended cord blood
397 was then pelleted at 1500 rpm for 5 minutes. Following pelleting, fetal red blood cells were lysed with ~3
398 mL of RBC lysis buffer for 5 mins then washed with 1X PBS and pelleted at 1500 rpm for 5 mins. CBMCs
399 were then re-suspended and enumerated on a Muse Cell Analyzer before being pelleted at 1500 rpm for
400 5 mins and re-suspended at 2.0×10^7 cells/mL in 1% PBS/BSA. For phenotyping, 5-10 million cells
401 (depending on viable cell count after cryopreservation) were stained with an optimized monoclonal
402 antibody cocktail of fluorescently conjugated antibodies against surface markers for 30 mins at 4°C.
403 Antibodies in the general lineage panel included: CD14 pacific blue (M5E2, Biolegend), CD16 BV570
404 (3G8, Biolegend), CD25 BV605 (BC96, Biolegend), CD56 BV650 (HCD56, Biolegend), NKG2C BV711
405 (134591), CD45 BV786 (HI30, BD Biosciences), CD34 FITC (561, Biolegend), CD19 BB700 (HIB19, BD
406 Biosciences), NKG2A PE (S19004C, Biolegend), CD235a PE-Cy-5 (HIR2, Biolegend), CD3 PE-Texas
407 Red (7D6, ThermoFisher), CD127 PE-Cy7 (A019D5, Biolegend), CD8 APC (RPA-T8, BD Biosciences),
408 HLA-DR AF700 (L243, Biolegend), and CD4 APC-H7 (SK3, BD Biosciences). Antibodies in the T and
409 NK cell panel included: CD3 BV421 (UCHT1, BD Biosciences), CD8 BV570 (RPA-T8, Biolegend), CCR7
410 BV605 (G043H7, Biolegend), CD56 BV650 (HCD56, Biolegend), PD-1 BV785 (EH12.2H7, Biolegend),
411 TCR γ/δ FITC (11F2, BD Biosciences), CD45RA PerCP-Cy5.5 (HI100, Biolegend), NKG2C PE (S19005E,
412 Biolegend), CD57 PE-CF594 (NK-1, BD Biosciences), CD235a PE-Cy5 (HIR2, Biolegend), CD16 PE-
413 Cy7 (3G8, BD Biosciences), and NKG2A PE-Cy5 (HIR2, Biolegend) and CD4 AF700 (L200, BD
414 Biosciences). Antibodies in the TCR panel included: gamma/delta TCR FITC (5A6.E9, Invitrogen),
415 alpha/beta TCR APC (IP26, Biolegend) in a panel with antibodies against iNKT BV421 (6B11, Biolgened),

416 CD14 BV500 (M5E2, BD Biosciences), CD19 BV500 (HIB19, BD Biosciences), PD1 BV605 (EH12.2H7,
417 BD Biosciences), CD45RA BV711 (HI100, BD Biosciences), CD4 BV785 (OKT4, Biolegend), CD16 PE
418 (3g8, Biolegend), NKG2D PE-CF596 (1d11, BD Biosciences), CCR7 Pe-Cy5 (G043H7, Biolegend), CD8
419 PerCP Cy5.5 (SK1, Biolegend), CD56 Pe-Cy7 (NCAM162, BD Biosciences), CD69 AF700 (FN50,
420 Biolegend), and CD3 APC-H7 (SK7, BD Biosciences). Cells were then washed with PBS and pelleted at
421 1500 rpm for 5 mins and resuspended in live/dead Aqua (ThermoFisher) or near IR (Invitrogen) stain and
422 incubated at room temperature for 20 mins. Fluorescence minus one (FMO) control tubes were included
423 for CD34, CD16, CD56, CD127, HLA-DR, TCR γ/δ , CCR7, CD45RA, NKG2A, NKG2C, CD57, and PD-
424 1 for downstream manual gating. Single color AbC or ArC beads (Invitrogen) for each antibody and
425 live/dead stain were used as compensation controls. Flow cytometry data was acquired on a FACSAria
426 (BD Biosciences) instrument using FACSDiva (v8.0) and analyzed in FlowJo (v10.8.1).

427

428 ***CITRUS analysis***

429 tSNE-CUDA dimensionality reduction and CITRUS (cluster identification, characterization, and
430 regression) analyses (26) were completed in Cytobank, a cloud-based bioinformatics platform for
431 analyzing high dimensional cytometry data (Beckman Coulter; www.cytobank.org). All samples were pre-
432 gated on live, CD235 negative cells before FCS files before downstream analyses. For the tSNE-CUDA
433 analysis, 400,000 live, CD235- events were sampled per sample FCS file and perplexity was set to 40.
434 For the general lineage panel CITRUS analysis, 50,000 live, CD235- events were sampled per sample
435 FCS file (n=25 CBMCs, total cell events = 1,250,000) and the minimum cluster size was set to 1% of total
436 events. CD3, CD4, CD8, CD127, CD25, CD19, CD56, CD16, NKG2A, NKG2C, HLA-DR, and CD14
437 marker expression was normalized across all samples then used as channels for clustering. For the T
438 and NK cell panel CITRUS analysis, 75,000 live, CD235- events were sampled per sample FCS file (n=16
439 CBMCs, total cell events = 1,200,000) and the minimum cluster size was set to 1% of total events. CD3,
440 CD4, CD8, CD56, CD16, $\gamma\delta$ TCR, CCR7, CD45RA, PD-1, CD57, NKG2A, and NKG2C marker
441 expression was normalized across all samples then used as channels for clustering. SAM, a correlative

442 association model, was used to identify cell clusters that differed in abundance (significance cut-off FDR
443 $P < 0.01$) between cCMV+ and cCMV- groups.

444

445 ***RNA-seq sample preparation and analysis***

446 T and NK cell subsets were FAC-sorted directly into RLT lysis buffer (Qiagen), and total RNA was
447 extracted using the RNeasy Micro Kit (Qiagen Cat. No. 74004). Total CD4+ T, CD8+ T, and NK cells
448 were sorted from 25 unique cord blood samples (n=13 cCMV+, n=12 cCMV-); however, several samples
449 failed RNA quality control and were excluded from downstream transcriptional analyses. CD16-CD8+
450 and CD16+CD8+ T cell subsets were sorted from a total of 16 unique cord blood samples (n=8 cCMV+,
451 n=8 cCMV-), and one sample from the cCMV- group failed QC and was excluded from downstream
452 transcriptional analyses. RNA quality was evaluated by RIN number (minimum cut-off > 8.5) prior to
453 library preparation by the Duke Human Vaccine Institute (DHVI) Sequencing Core Facility. Briefly, full
454 length cDNAs were generated using up to 10ng of total RNA through the SMART-Seq v4 Ultra Low Input
455 Kit for Sequencing (Takara Cat. No. 634891). Total 200pg cDNAs were used to generate the dual index
456 Illumina libraries using Nextera XT DNA Library Prep Kit (Illumina Cat No. FC-131-1096). Sequencing
457 was performed on an Illumina NextSeq 500 sequencer to generate 2 × 76 paired-end reads using TG
458 NextSeq 500/550 High Output kit v2.5 (150 cycles) following the manufacturer's protocol (Illumina, Cat.
459 No. 20024912). The quality of cDNAs and Illumina libraries were assessed on a TapeStation 2200 with
460 the high sensitivity D5000 ScreenTape (Agilent Cat, No. 5067-5592), and their quantity were determined
461 by Qubit 3.0 fluorometer (Thermo Fisher). Gene reads were aligned to the human reference genome
462 GRCh38 using Qiagen CLC genomics (v20). DESeq2 (v1.38.3) was used to normalize count data and
463 perform differential gene expression analysis. Genes were considered differentially expressed based on
464 a 1.2 log₂fold change in gene expression and FDR $P < 0.1$. PCA was performed on rlog-transformed
465 data using the plotPCA function in DESeq2. Volcano plots were generated using the EnhancedVolcano
466 (v1.16.0) package in R. Heatmaps and hierarchical clustering was performed on rlog-normalized
467 DESeq2data using the ComplexHeatmap (2.14.0) package in R. Gene set enrichment analysis of
468 differentially expressed gene ontology (GO) pathways (min 5, max 2000 genes) was performed using

469 iDEP v0.96 (69) with a significance cut-off of FDR $P < 0.2$. Genes encoding transcription factors (TFs)
470 were identified by matching DE gene IDs to a list of human TFs from AnimalTFDB v4.0 (14, 70).

471

472 ***Functional immunological assays***

473 Antibody-dependent degranulation of NK and CD8⁺ T cells was performed as follows. Cord blood was
474 thawed at 37°C, diluted 1:4 in RPMI supplemented with 10% FBS, penicillin, streptomycin, L-glutamine,
475 and gentamicin (complete media) and processed using Ficoll separation. Following separation, the cells
476 were counted and rested overnight at a concentration 2-3 million cells per mL in either complete media
477 or complete media supplemented with 10 ng/mL of IL-15. After resting overnight, the cells were counted
478 and resuspended at 5 million/mL. CBMCs in bulk are referred to as “Effector” cells and CEM.NKRs coated
479 with 5 ug/mL BAL gp120 are referred to as “Target” cells. CBMCs were either plated alone (Effector only),
480 with a 10:1 ratio with targets (Effector+Target), and with 1µg/mL of Synagis (Effector+Targets+Synagis)
481 or 1ug/mL a mixture of 4 optimized HIV antibodies (Effector+Target+mAb Mix). The HIV antibody cocktail
482 comprised of 250 ng/mL each of 7B2_AAA, 2G12_AAA, A32_AAA, and CH44_AAA which contain the
483 AAA optimization for Fc mediated activity. All four conditions were plated for 6 hours in the presence of
484 1µg/mL brefeldin (BD GolgiPlug), 1µg/mL Monensin A (BD GolgiStop), and anti-CD107a antibody
485 (Biolegend H4A3). After 6 hours, the cells were washed with DPBS and stained with Live/Dead viability
486 stain (ThermoFisher), then washed and stained for the following surface markers: CD14 V500 (M5E2,
487 BD Biosciences), CD19 V500 (HIB19, BD Biosciences), CD57 BV711 (QA17A04, Biolegend), CD4
488 BV785 (OKT4, Biolegend), CD45RA FITC (5H9, BD Biosciences), CD16 PE (3g8, Biolegend). CCR7 Pe-
489 Cy5 (G043H7, Biolegend), CD8 PerCP Cy5-5 (SK1, Biolegend), CD56 PeCy7 (NCAM16.2, BD
490 Biosciences), CD3 APC-Cy7 (SK7, BD Biosciences). Next, cells were fixed with CytoFix/CytoPerm (BD
491 Biosciences) then stained for the following intracellular markers: Perforin PacBlue (dg9, Biolegend), IFN γ
492 APC (4S.B3, Biolegend), Granzyme B AF700 (QA16A02, Biolegend) in the presence of Perm/Wash
493 Buffer (BD Biosciences). Samples were acquired on a LSRFortessa II (BD Biosciences) using FACSDiva
494 v8.0 software. Frequency of NK and CD8⁺ T cells expressing granzyme B and perforin were measured
495 in the effector only condition. Percentage change in IFN γ and CD107a were calculated by subtracting

496 the frequencies in the Effector+Target conditions from the anti-RSV and anti-HIV antibody conditions
497 respectively with the dot plots showing the background subtracted data points. Data was analyzed using
498 FlowJo Version 10.8.

499

500 **Statistics**

501 All statistical analyses were completed in R (v4) or GraphPad Prism (v9 and v10). Frequencies of immune
502 cell populations or normalized gene expression data were compared using Mann-Whitney U/Wilcoxon
503 rank-sum tests for pair-wise comparisons and ANOVA with a post-hoc Tukey's test for comparisons
504 across multiple groups. Statistical significance was defined a priori as $P < 0.05$ with a two-tailed test and
505 FDR correction for multiple comparisons. Specific details on each statistical analysis performed and the
506 exact n are available in the respective figure legends. Additional details on the statistical significance
507 thresholds for the CITRUS and RNA-seq analyses are described in the methods section above. Inclusion
508 and exclusion criteria for the study are described above and outlined in Supplementary Figure 1 and
509 randomization for case-control matching was achieved using a random number generator.

510

511 **Study approval**

512 Our study included cases of congenital cytomegalovirus infection (cCMV) and controls without cCMV
513 infection that were recruited from 2008-2017 as donors to the Carolinas Cord Blood Bank (CCBB).
514 Approval was obtained from Duke's Institutional Review Board (Pro00089256) to use de-identified clinical
515 data and biospecimens provided by the CCBB. No patients were prospectively recruited for this study
516 and all cord blood was acquired retrospectively from the CCBB biorepository from donors who provided
517 written consent for biospecimens to be used for research.

518

519 **Data availability**

520 Requests for data, resources and reagents should be directed to the corresponding authors Sallie R.
521 Permar (sallie.permar@med.cornell.edu) and Kyle M. Walsh (kyle.walsh@duke.edu). RNA-seq read
522 count data and code have been deposited at Zenodo (<https://doi.org/10.5281/zenodo.8323011>) and are

523 publicly available; however, the CCBB consent documents under which these samples were originally
524 collected do not contain any language that would authorize depositing identifiable genomic data, such as
525 FASTQ files from RNA sequencing, into a repository for data sharing. Importantly, the CCBB consent
526 forms were submitted and approved years before the NIH data management and sharing policy went into
527 effect Individual data points presented in the manuscript are available in the “Supporting data values” file
528 available online. Additional information required to reanalyze the data reported in this paper is available
529 from the lead contacts upon request.

530

531 **Author contributions**

532 Conceptualization, ECS, DRN, GF, JP, SRP, KMW; Formal Analysis, ECS, DRN; Methodology, ECS,
533 TDB, CBC, KR, JP, SRP, KMW; Data curation, ECS; Funding acquisition, ECS, CBC, JHH, SRP, KMW;
534 Investigation, ECS, DRN, AN; Project administration, JHH; Resources, DC, KR, JK, CBC; Software, ECS,
535 CBC; Supervision, JP, SRP, KMW; Visualization, ECS, DRN; Writing – original draft, ECS, DRN; Writing
536 – review & editing, ECS, DRN, AN, JHH, DC, TDB, JK, KR, CBC, GF, JP, SRP, KMW.

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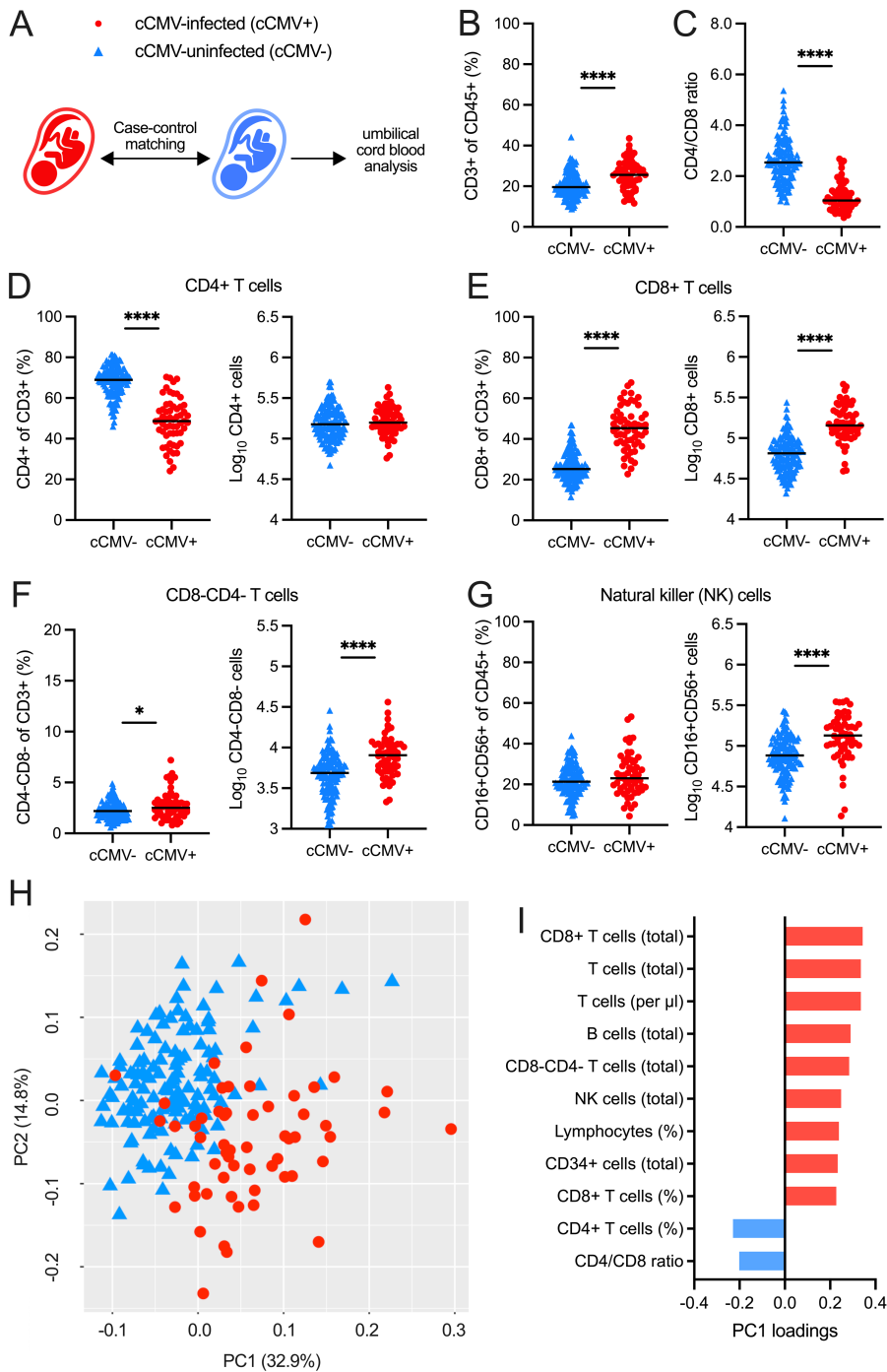
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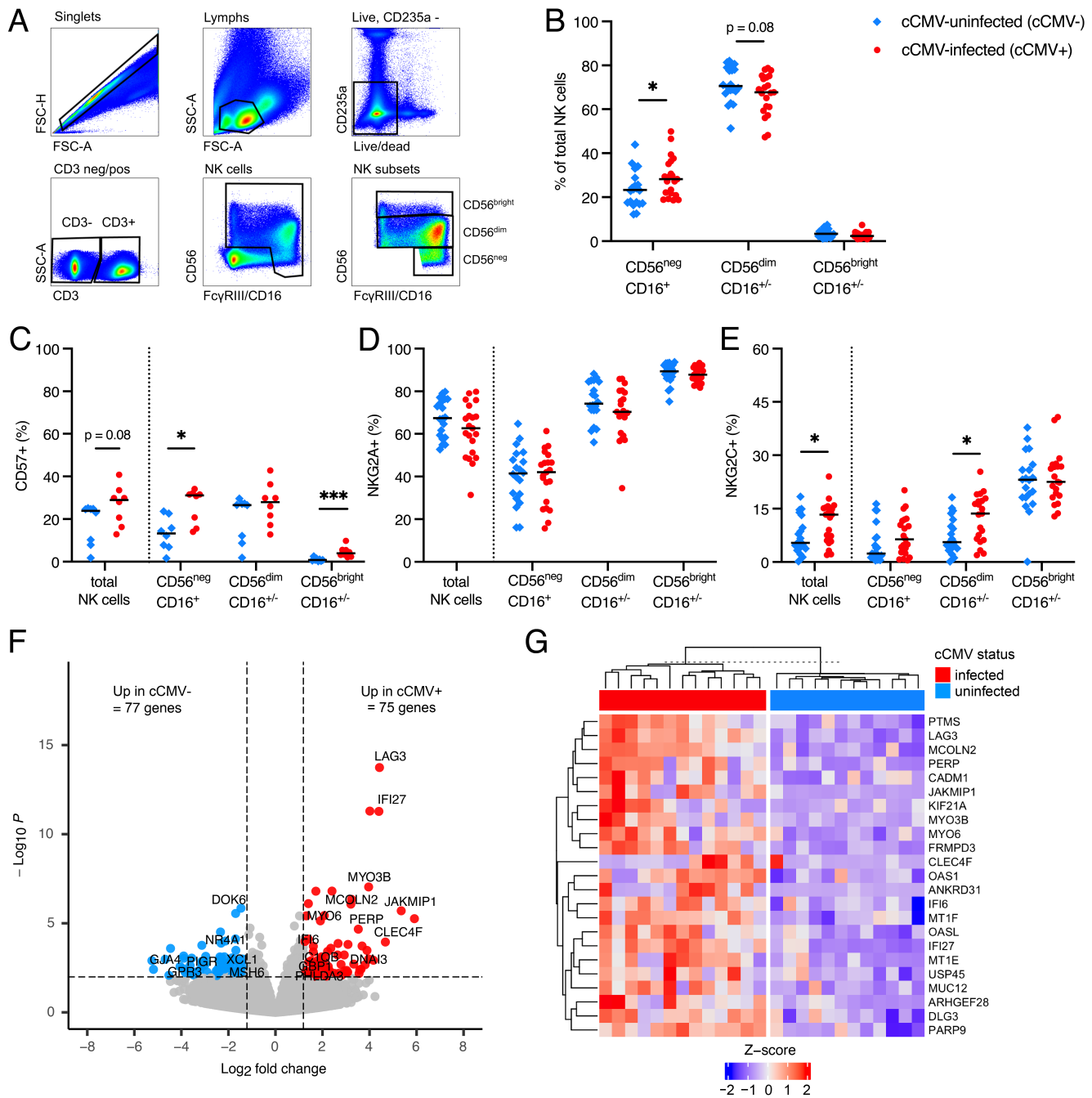
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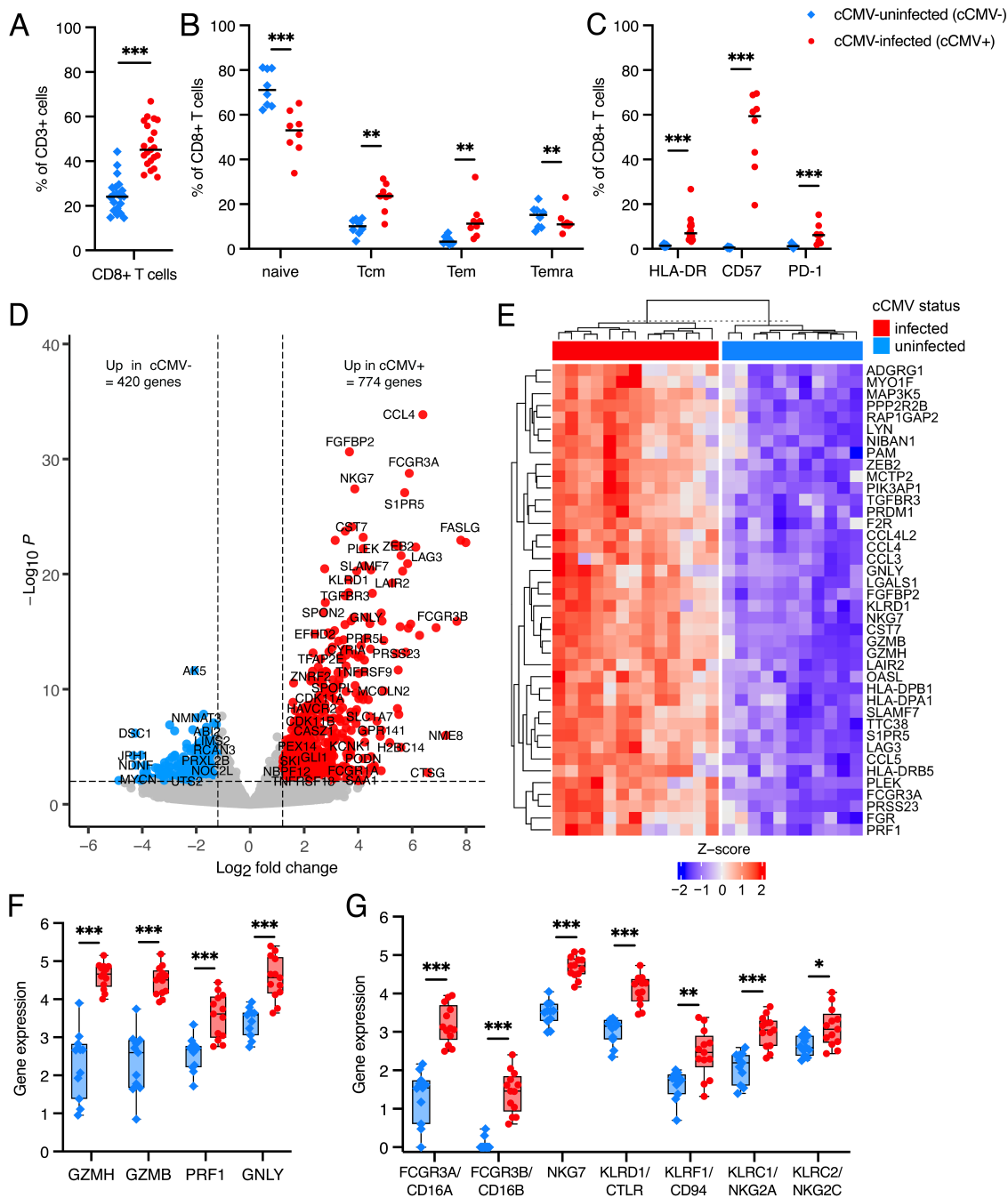


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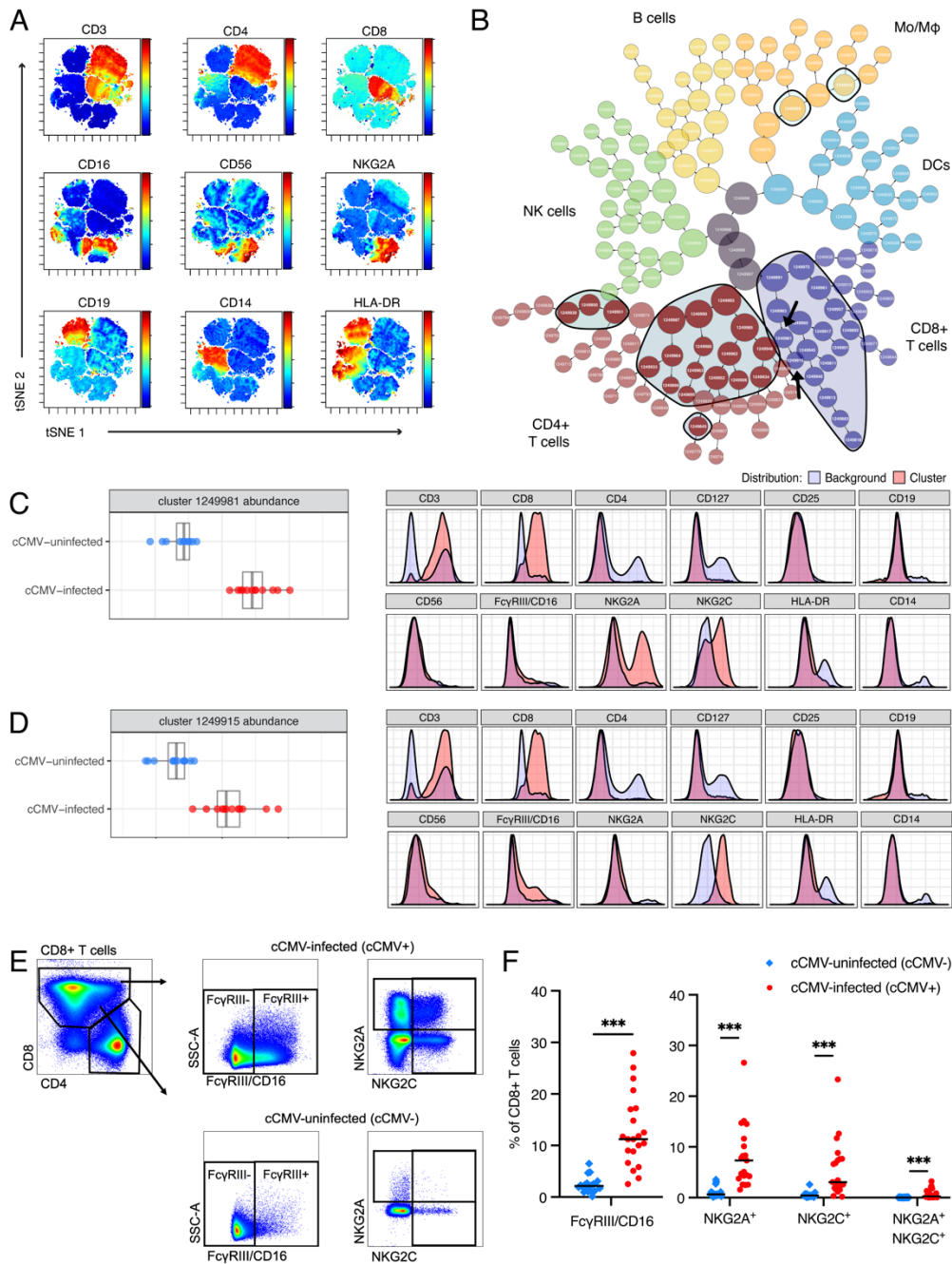
751 **Figure 1. Cord blood donor phenotyping reveals distinct immune landscape in cCMV-infected**
 752 **versus uninfected neonates.** Flow cytometry phenotyping of umbilical cord blood from cCMV-infected
 753 (cCMV+, red circles, n=59) and cCMV-uninfected (cCMV-, blue triangles, n=135) neonates was
 754 performed by the Carolinas Cord Blood Bank (CCBB) at the time of donation. (A) Case-control study
 755 overview. (B-G) Frequencies and total immune cell counts (per cord blood collection unit) from CCBB
 756 cord blood phenotyping. (H-I) Principal components analysis (PCA) of 18 immune cell parameters from
 757 CCBB phenotyping. (H) Scatterplot of PC1 and PC2. (I) Immune cell parameter loading variables ordered
 758 by magnitude of contribution to PC1 (positive loading variables shown in red due to clustering with cCMV+
 759 samples, negative loading variables shown in blue due to clustering with cCMV- samples). FDR-corrected
 760 *P* values for Mann-Whitney U test. **P* < 0.05, *****P* < 0.0001.



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 762 **Figure 2. CD56^{neg}FcγRIII/CD16⁺ and NKG2C⁺ NK cells expand in cord blood from cCMV-infected**
 763 **neonates.** NK cell immunophenotypes and transcriptional profiles were compared in cord blood from
 764 cCMV-infected (cCMV+, red circles) versus cCMV-uninfected (cCMV-, blue diamonds) neonates. (A) NK
 765 cell gating strategy. (B) Frequencies of NK cell subsets in cCMV+ (n=21) versus cCMV- (n=20) neonates.
 766 (C) Frequency of total NK cells and NK cell subsets expressing CD57 in cCMV+ (n=8) versus cCMV-
 767 (n=8) neonates. (D-E) Frequency of total NK cells and NK cell subsets expressing (D) NKG2A and (E)
 768 NKG2C in cCMV+ (n=21) versus cCMV- (n=20) neonates. (F-G) RNA-seq analysis of FAC-sorted NK
 769 cells from cCMV+ (n=13) and cCMV- (n=12) neonates. (F) Volcano plot of differentially expressed genes
 770 ($P < 0.01$, \log_2 foldchange ± 1.2). Red circles indicate genes enriched in cCMV+, blue circles indicate
 771 genes enriched in cCMV. (G) Heatmap of top 23 enriched genes (FDR $P < 0.1$, \log_2 foldchange > 1.2).
 772 Z-score shows gene expression based on rlog-transformed data. FDR-corrected P values for Mann-
 773 Whitney U test. * $P < 0.05$, *** $P < 0.001$.
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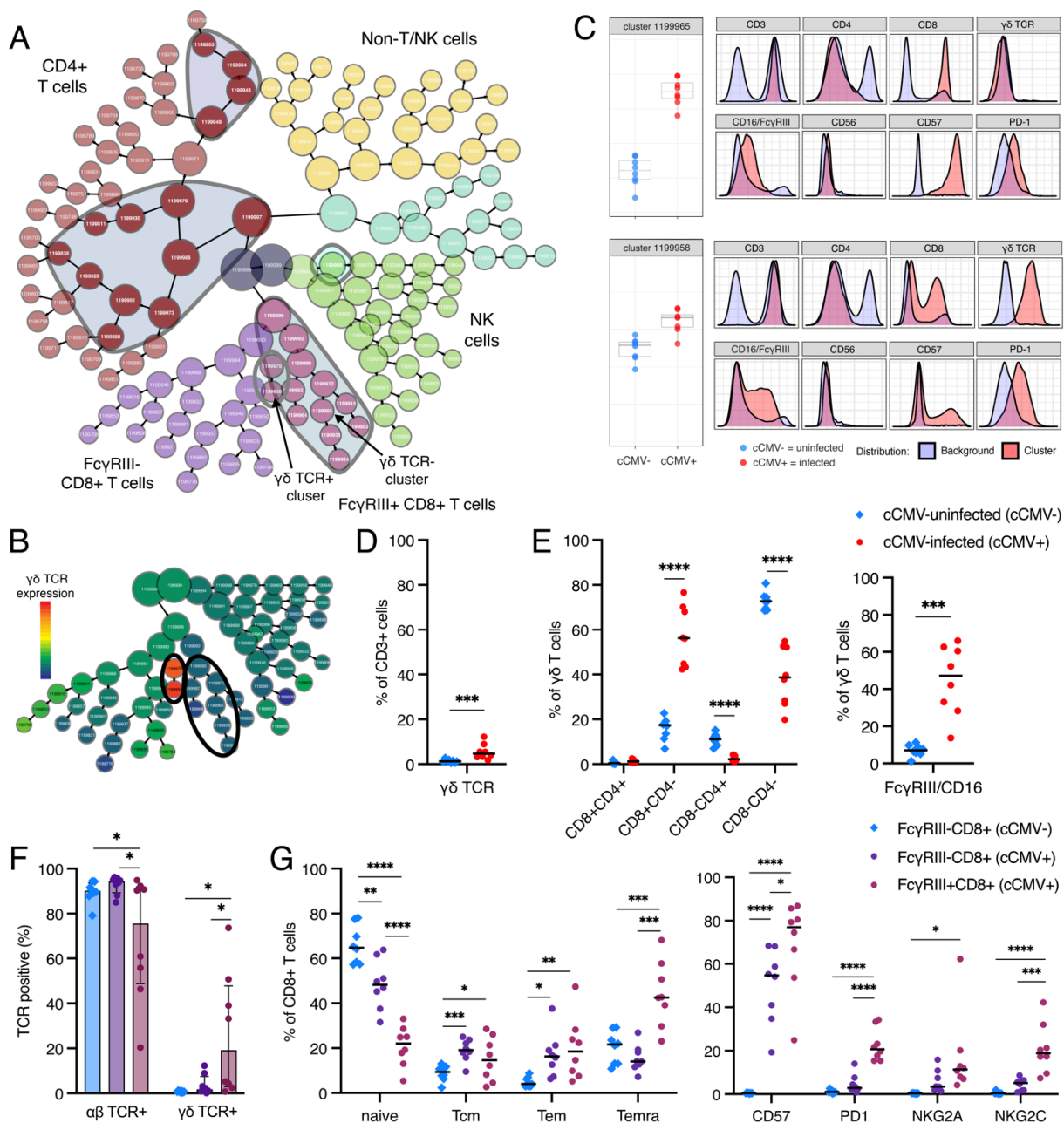


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776 **Figure 3. CD8+ T cells upregulate cytotoxicity and NK cell genes in cord blood from cCMV-**
777 **infected neonates.** (A-B) CD8+ T cell immunophenotypes were compared in cord blood from cCMV-
778 infected (cCMV+, red circles, n=21) versus cCMV-uninfected (cCMV-, blue diamonds, n=20) neonates.
779 (A-B) Frequency of total, naive, central memory (Tcm), effector memory (Tem), and terminally
780 differentiated effector memory cells (Temra) CD8+ T cells. (C) Frequency of CD8+ T cells expressing
781 HLA-DR, CD57, and PD-1 in cCMV+ (n=8) versus cCMV- (n=8) neonates. (D-G) RNA-seq analysis of
782 FAC-sorted total CD8+ T cells from cCMV+ (n=13) and cCMV- (n=11) neonates. (D) Volcano plot
783 demonstrating differentially expressed genes ($P < 0.01$, $\log_2\text{foldchange} \pm 1.2$). Red circles indicate
784 genes enriched in cCMV+, blue circles indicate genes enriched in cCMV-, and grey circles indicate genes
785 whose expression did not differ significantly. (E) Heatmap of top 40 enriched genes (FDR $P < 0.1$,
786 $\log_2\text{foldchange} > 3.0$). (F-G) Expression of genes encoding (F) cytolytic molecules and (G) NK
787 associated cell markers. Z-score shows gene expression based on rlog -transformed data. FDR-corrected
788 P values for Mann-Whitney U test. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$.



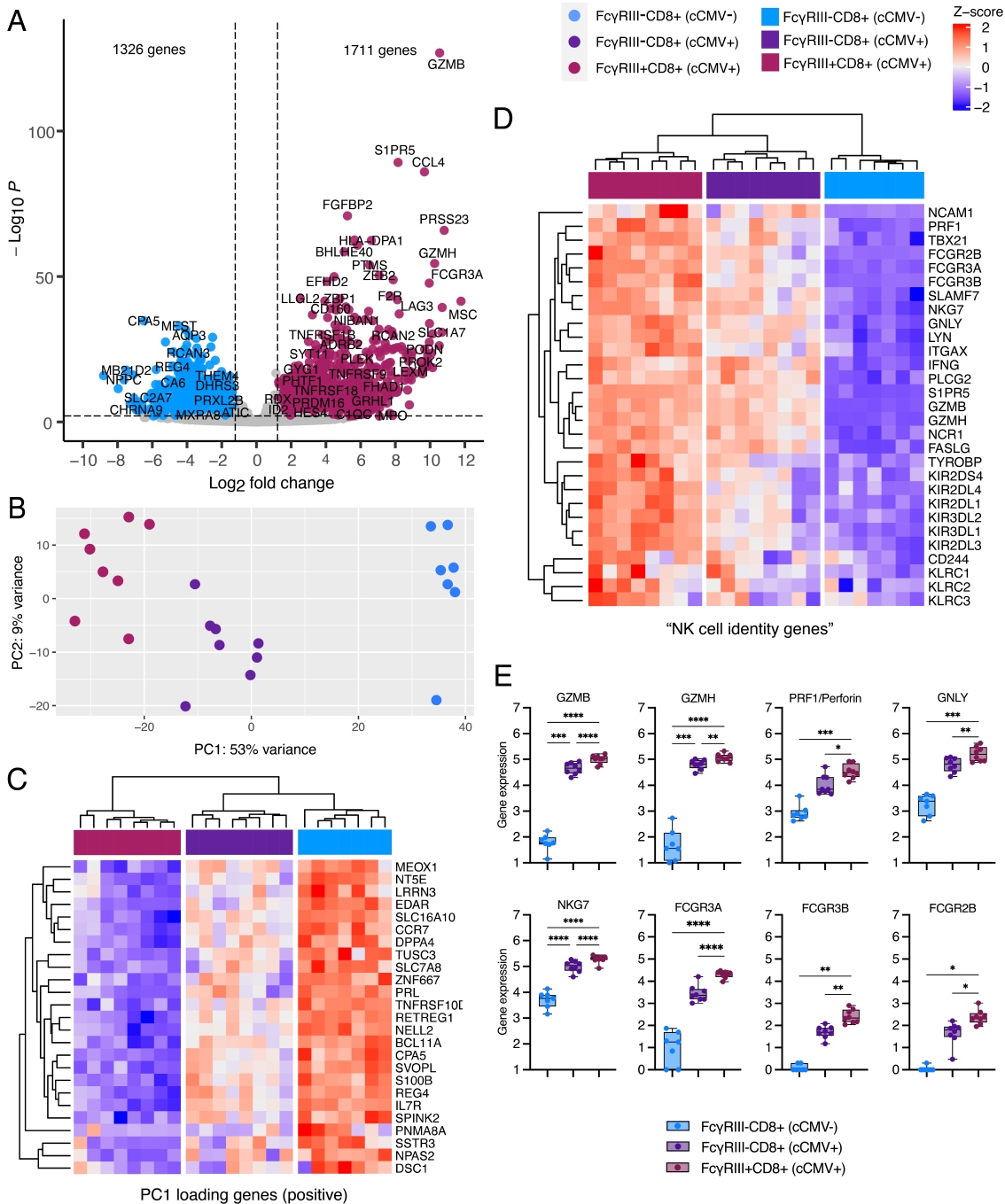
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Figure 4. CD8+ T cells expressing NK cell receptors FcγRIII and NKG2A/C expand in cord blood from cCMV-infected neonates. (A-D) Cluster identification, characterization, and regression (CITRUS) analysis of flow cytometry data was used to identify immune cell populations with differing abundance in cord blood from cCMV-infected (n=13) versus cCMV-uninfected (n=12) neonates. (A) t-SNE-CUDA dimensionality reduction of flow cytometry data prior to CITRUS. (B) CITRUS cluster map with black outlines and shaded areas indicating clusters that differed significantly (FDR $P < 0.01$) between cCMV+ and cCMV- groups. Clusters colored by CD8+ T cells (purple), CD4+ T cells (red), NK cells (green), B cells (yellow), monocytes/macrophages (MO/Mφ; orange) and dendritic cells (DCs; blue) based on marker expression (Supplementary Data). (C-D) Select clusters (black arrows in panel B) of CD8+ T cells expressing NK cell markers. Dot plots indicate cluster abundance in cCMV+ (red circles) versus cCMV- (blue circles) neonates. Histograms indicate fluorescent marker expression of select cluster (pink) relative to background (blue). (E) Gating strategy to identify CD8+ T cells expressing NK cell markers. (F) Frequency of FcγRIII and NKG2A/C expression on CD8+ T cells from cCMV+ (red circles, n=21) versus cCMV- (blue diamonds, n=20) neonates. FDR-corrected P values for Mann-Whitney U test. *** $P < 0.001$.



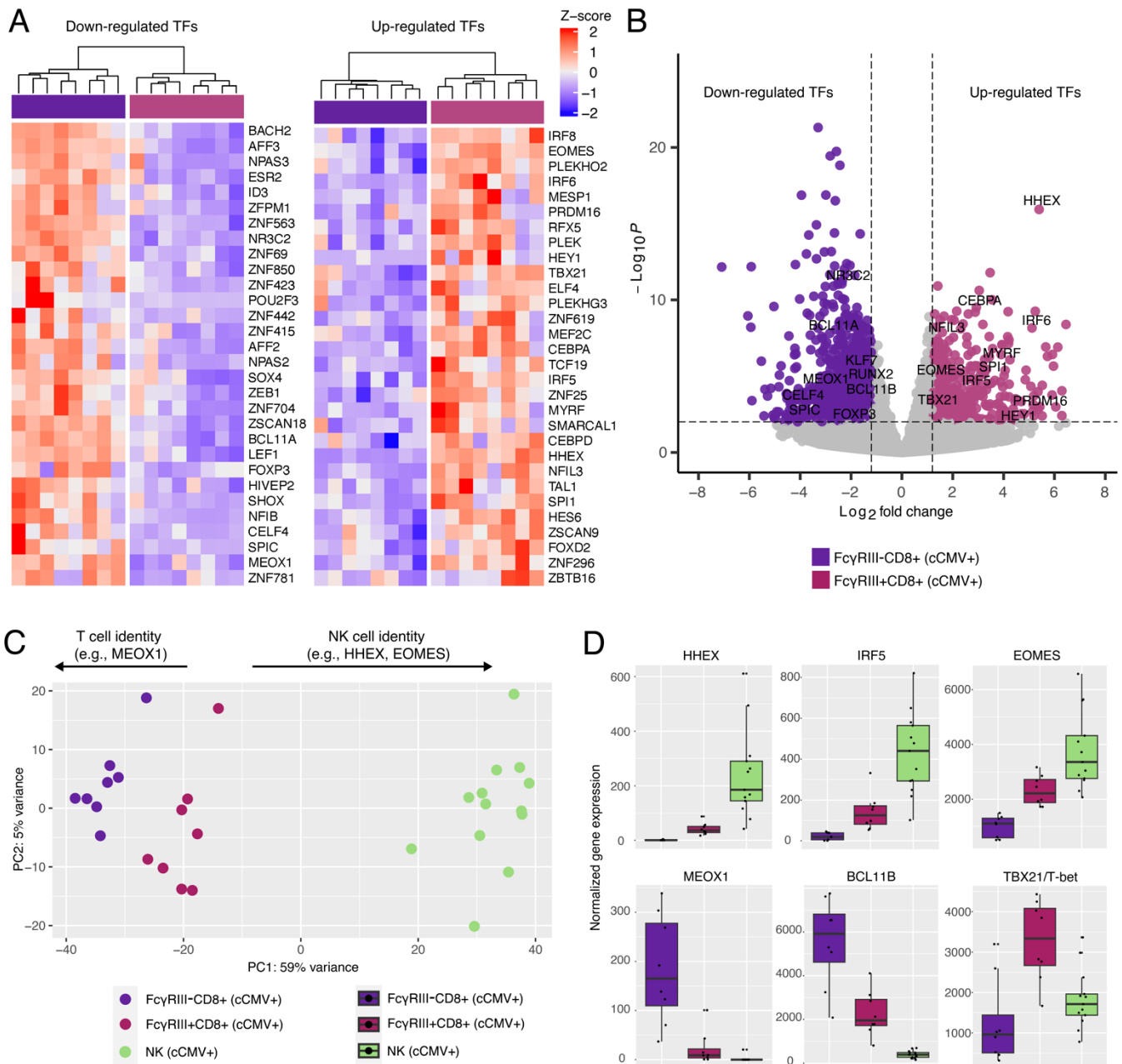
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Figure 5. FcγRIII+ CD8+ T cells include canonical αβ and unconventional γδ T cell populations. (A-G) Immunophenotypes in cord blood from cCMV-infected (n=8, circles) versus uninfected (n=8, diamonds) neonates. (A-B) Cluster identification, characterization, and regression (CITRUS) cluster map with grey outlines and shaded areas indicating immune cell clusters that differed significantly (FDR $P < 0.01$) between groups. Clusters colored by CD8+ T cells (purple, plum shade for increased FcγRIII expression), CD4+ T cells (red), NK cells (green), and non-T/NK cells (yellow, aqua) based on marker expression (Supplementary Data). (B) Expression of γδ TCR with black outlines showing FcγRIII+ CD8+ T cell clusters. (C) FcγRIII+ CD8+ T cell clusters (arrows in panel A). Dot plots indicate cluster abundance in cord blood from cCMV+ (red) versus cCMV- (blue) neonates. Histograms indicate fluorescent marker expression of select cluster (pink) relative to background (blue). (D-E) γδ T cells in cord blood from cCMV+ (red) versus cCMV- (blue) neonates. (D) Frequency of total γδ T cells and (E) γδ T cells expressing CD8/CD4 and FcγRIII. (F) Expression of αβ and γδ TCR and (G) differentiation/functional markers (F) on FcγRIII- (cCMV+ purple; cCMV- blue) and FcγRIII+ (plum) CD8+ T cells. FDR-corrected P values for Mann-Whitney U (D-E) and ANOVA followed by Tukey's post hoc test (F-G). * $P < 0.05$ ** $P < 0.01$ *** $P < 0.001$ **** $P < 0.0001$.



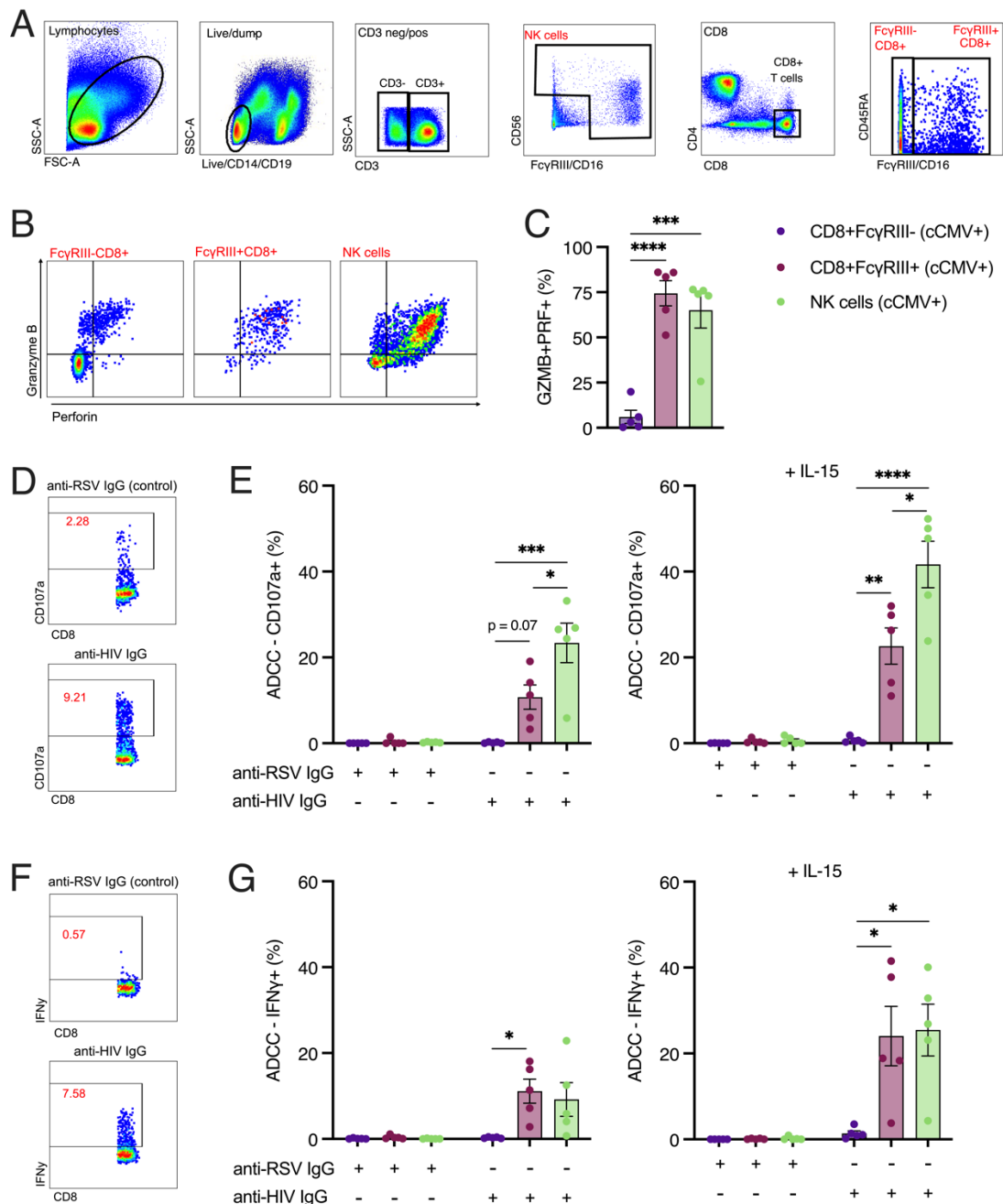
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 821 **Figure 6. FcγRIII+ CD8+ T cells in cord blood from cCMV-infected neonates upregulate NK cell**
 822 **identity genes.** (A-E) Transcriptome analysis of FAC-sorted FcγRIII+ and FcγRIII- CD8+ T cells from
 823 cCMV-infected (n=8) and cCMV-uninfected (n=7) neonates. (A) Volcano plot of differentially expressed
 824 genes in FcγRIII+ versus FcγRIII- CD8+ T cells ($P < 0.01$, log₂foldchange +/- 1.2). Plum circles indicate
 825 genes enriched in FcγRIII+ CD8+ T cells (cCMV+ only), blue circles indicate genes enriched in FcγRIII-
 826 CD8+ T cells (cCMV- only). (B) PCA of top 500 differentially expressed genes in FcγRIII+ versus FcγRIII-
 827 CD8+ T cells. (C) Heatmap of top 25 PC1 loading genes (panel B). (D) Heatmap of NK cell identity genes.
 828 (E) Cytotoxicity and FcγR gene expression levels. Z-score shows gene expression based on rlog-
 829 transformed data. FDR-corrected P values for ANOVA followed by Tukey's post hoc test. * $P < 0.05$ ** P
 830 < 0.01 *** $P < 0.001$ **** $P < 0.0001$.

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Figure 7. Transcription factors expressed by FcγRIII+ CD8+ T cells suggest shift from T to NK identity. (A-D) RNA-seq analysis of transcription factor (TF) expression from FAC-sorted FcγRIII+ CD8+ T cells (n=8, plum), FcγRIII- CD8+ T cells (n=8, dark purple) and NK cells (n=13, green) in cord blood from cCMV-infected neonates. (A-B) Heatmap and volcano plot showing top down-regulated (left) and up-regulated (right) TFs (FDR $P < 0.05$, \log_2 foldchange ± 1.2) in FcγRIII+ versus FcγRIII- CD8+ T cells. (B) Volcano plot of all differentially expressed genes with TFs labeled. (C) PCA of top 500 differentially expressed genes in CD8+ T and NK cells. (D) Boxplots show normalized gene expression levels of TFs. Z-score shows gene expression based on rlog-transformed data.



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843 **Figure 8. Cord blood FcγRIII+ CD8+ T cells and NK cells mediate ADCC functions.** (A-H)
 844 Degranulation (CD107a positivity) and IFNγ production following antibody stimulation with anti-RSV IgG
 845 (non-specific antibody) or anti-HIV IgG (target cell specific antibody) were measured as markers of ADCC
 846 in cord blood from cCMV-infected (n=5) and cCMV-uninfected (n=5, Supplementary Figure 9) in two
 847 independent experiments. (A) Gating strategy to identify NK cells and CD8+ T cells with and without
 848 FcγRIII expression. (B) Gating strategy for granzyme B and perforin expression. (C) Percent of population
 849 co-expressing granzyme B and perforin. (D-G) ADCC activity in FcγRIII- CD8+ T cells (dark purple),
 850 FcγRIII+ CD8+ T cells (plum), and NK cells (light green) from cCMV-infected infants. (D-E) T cell and NK
 851 degranulation (CD107a positivity) following antibody stimulation with and without IL-15 pretreatment. (F-
 852 G) T cell and NK IFNγ production following antibody stimulation with and without IL-15 pretreatment.
 853 FDR-corrected *P* values for ANOVA followed by Tukey's post hoc test. **P* < 0.05 ***P* < 0.01 ****P* < 0.001
 854 *****P* < 0.0001.