THE EFFECT OF POSTURE ON PULMONARY CAPILLARY BLOOD FLOW IN MAN*

By ARNOLD NAIMARK AND KARLMAN WASSERMAN†

(From the Cardiovascular Research Institute, University of California School of Medicine, San Francisco, Calif.)

(Submitted for publication November 6, 1961; accepted January 11, 1962)

The alterations in cardiac output resulting from changes in posture are accompanied by shifts in blood volume and changes in venous return (1-8). Recent radioisotope studies have shown that, in addition to these general effects, posture has important influences on the distribution of blood flow to different parts of the lungs (9). The apexes receive relatively little blood flow when a person is upright, but the flow is more uniformly distributed when he is horizontal. Since pulmonary capillary blood flow had been studied only in seated subjects, we studied the effects on it of changes in posture (10). By minimizing the shifts of blood volume ordinarily associated with changes in posture, the effect of postural change per se on cardiac output, stroke volume, and instantaneous pulmonary capillary blood flow was determined.

METHODS

Six normal men, aged 27 to 39, were studied 1 to 2 hours after they had eaten lunch. Stroke volume and instantaneous pulmonary capillary blood flow were measured by the technique of Wasserman and Comroe (11). A multichannel oscillographic recorder provided simultaneous tracings of N_2O absorption, ECG, N_2O concentration, and thoracic volume (by pneumographs). The mechanical properties and the response characteristics of the system have been reported elsewhere (11). Brachial arterial blood pressure was determined by auscultation with an aneroid sphygmomanometer.

The experimental procedure consisted of measuring stroke volume, instantaneous pulmonary capillary blood flow, pulse rate, and blood pressure of the subjects on a tilt table in the following sequence of positions: 1) horizontal; 2) upright, at an angle of 60°; 3) upright, with pneumatic cuffs around the thighs inflated to a pressure of 60 to 70 mm Hg [previous investigations (6, 12) have shown that this procedure prevents shifts in

blood volume caused by changes in posture]; 4) horizontal, with the pneumatic cuffs still inflated; 5) horizontal, after the cuffs were deflated.

Each position was maintained for 30 minutes, and measurements were made after the initial 10 minutes to ensure that the pulse rate and blood pressure had reached a steady state.

RESULTS

Stroke volume and cardiac output. When the subjects were tilted from the horizontal to the upright position, the stroke volume and cardiac output always fell to 60 to 76 per cent of the control level (Table I), and remained low after inflation of the pneumatic cuffs. Although limb volume was not measured directly, the cuffs probably did not trap blood in the legs, since inflating the cuffs had no appreciable hemodynamic effect in any subject. Two subjects had a moderate degree of ankle edema. In the horizontal position with the cuffs still inflated, stroke volume was unchanged or slightly increased, but when the cuffs were deflated it increased to the control level.

The cuffs were deflated while the subject held his breath at the resting lung volume, with his airway opened to the Krogh spirometer. shown in Figure 1, within 2 or 3 seconds after deflating the cuffs, air was forced out of the lungs into the spirometer, and the pneumograph recorded a simultaneous increase in chest volume. (This can be interpreted only as representing an increase in thoracic blood volume.) In the example shown, the thoracic blood volume increased about 200 ml after deflation of the cuffs. Close inspection of both the pneumograph and spirometer tracings reveals increased amplitude of the cardiogenic oscillations after the shift of blood into the thorax, presumably because of an increase in stroke volume.

The effect of posture and distribution of blood volume on pulse rate and blood pressure is shown in Figure 2. In three subjects (A.N., R.Y., P.S.)

^{*}This study was supported by grants from the National Heart Institute (H-4029) and from the Office of Naval Research [Nonr 222 (55)].

[†] Present address: Stanford University Medical Center, Palo Alto, Calif.

pulse rate changed only slightly from control values, once a steady state had been achieved. In the other three it increased when they were upright and decreased when they were tilted back. Since there was little change in blood pressure and

a fall in cardiac output in all subjects when they were upright, total peripheral vascular resistance must have increased appreciably in this position.

Instantaneous pulmonary capillary blood flow. The method we used to measure N₂O uptake re-

TABLE I

The effect of posture and distribution of blood volume on cardiac and stroke indexes in six normal men *

Horizontal	Upright (60°)	Upright (60°), cuffs infl.	Horizontal, cuffs infl.	Horizontal, cuffs defl.
	A.N., age 27, 180	cm, 81.0 kg, 2.02 n	n²	
3.08	1.88	2.04	1.82	2.80 2.58–2.90
50	30	32	30	46
62	63	64	60	43–49 62
60–65				60–64
0.26				0.45
2.25-2.45	1.55-1.55	1.59-1.60	1.42-1.49	2.45 2.23–2.68
				40 35–44
59	59	61	56	62
58-60	57–61	59–62	55–58	60-64
	P.S., age 39, 185	5 cm, 84.0 kg, 2.10	m²	
3.63 3.38_4.00		2.07	2.34†	3.11 3.00–3.21
52		30	35†	48
			66+	45–49 65
69 – 71		67–60	001	65 67–69
	J.B., age 37, 187	cm, 86.5 kg, 2.10	m²	
4.49	2.83	2.76	3.07	3.65
				3.64-3.68 61
58-59	29-45	29-36	43-45	60-62
77 75–79	84 73–100	86 84–88	68 67–69	57 55-58
	D.W., age 34, 17	5 cm, 71.0 kg, 1.85	m³	
3.55	2.56	2.13	2.24	3.33†
3.35-3.72	2.52-2.57	1.76–2.46	1.93-2.52	50±
				50†
70	79	75	68	67
68–71	78–79	71–79	66–69	66–69
	, , ,	, .,		
				2.50 2.45-2.66
38	26	24	27	40
				39–40 63
65–68	69–79	73–77	70-72	62-64
	Mean pe	rcentage of control	value	
100	65	62	64	91 95
	2.95-3.18 50 47-54 62 60-65 2.36 2.25-2.45 40 39-41 59 58-60 3.63 3.38-4.09 52 49-58 70 69-71 4.49 4.33-4.65 58 58-59 77 75-79 3.55 3.35-3.72 51 47-55 70 68-71 2.53 2.50-2.64 38 36-40 67 65-68	3.08 2.95-3.18 1.88 2.95-3.18 3.0 47-54 28-36 62 63 60-65 62 63 60-65 R.Y., age 31, 183 2.36 3.9-41 25-27 59 59 58-60 P.S., age 39, 183 3.63 3.38-4.09 52 49-58 70 69-71 J.B., age 37, 187 4.49 2.83 4.33-4.65 2.48-3.18 58 58-59 29-45 77 84 75-79 73-100 D.W., age 34, 17 3.55 3.55 3.55-3.72 2.56 3.35-3.72 3.1-35 70 79 68-71 D.D., age 36, 17 2.53 2.50-2.64 1.82-2.05 38 36-40 26-27 67 74 65-68 69-79 Mean per 100	3.08	2.95-3.18

^{*}Values shown are mean and range of 3 to 5 determinations in each situation unless otherwise indicated. C.I. = cardiac index (L/min/m²); S.I. = stroke index (ml/m²); H.R. = heart rate (cycles/min).

† Single determination.

quires the subtraction of total gas absorption after a control breath of air from that after a breath of N₂O. This requires use of cardiac cycles of identical duration to calculate instantaneous N2O uptake (11). In two subjects instantaneous flow could not be measured in all positions because of variability of pulse rate. The results in the other four are illustrated in Figure 3. Blood flow through the pulmonary capillaries was clearly pulsatile in all experimental positions. The flow curves pass through a minimum, frequently zero, at the time of inscription of the R wave of the ECG. Peak flow occurred just after the T wave. In some persons (A.N. in this study), tracings indicate back flow in the pulmonary capillaries. This may be an artifact, since it occurs when the tracings are changing direction abruptly and the

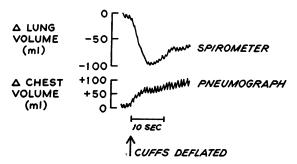


Fig. 1. Increase in chest volume and decrease in lung volume after deflation of pneumatic cuffs.

subtraction procedure is more open to error than it is later in the cardiac cycle. The fact that it appears regularly in some persons, however, suggests that it is real. Even if back flow occurs, one would expect only minute amounts of N₂O (1 per cent of mean uptake) to be added to the alveolar gas, because the last blood to leave the pulmonary capillaries (and the first to flow back) would have virtually the same tension as alveolar gas. An alternative possibility exists. If N₂O tension equilibrates more slowly between alveolar gas and pulmonary parenchymal tissue than it does between alveolar gas and pulmonary capillary blood, almost 8 per cent of the total uptake of N₂O may enter the alveoli from the parenchymal tissue when blood flow falls to zero (11).

Although the pattern of flow was similar in all positions, the peak flow was reduced in the upright. In the horizontal, with pneumatic cuffs inflated,

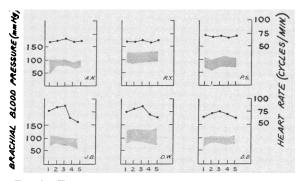


Fig. 2. Effect of posture and distribution of blood volume on pulse rate and blood pressure. Abscissa numbers correspond to sequence of positions described in Methods.

the peak flow remained low but increased to the control value when the cuffs were deflated. The changes in amplitude of the flow pulse with change in posture are therefore related to the changes in stroke volume (see above). This correlation between peak flow and stroke volume is shown in Figure 4.

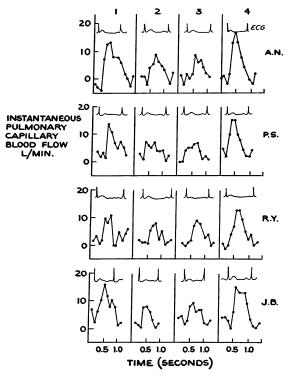


FIG. 3. EFFECT OF POSTURE AND DISTRIBUTION OF BLOOD VOLUME ON INSTANTANEOUS PULMONARY CAPILLARY BLOOD FLOW. 1) Horizontal; 2) upright; 3) horizontal, cuffs inflated; 4) horizontal, cuffs deflated.

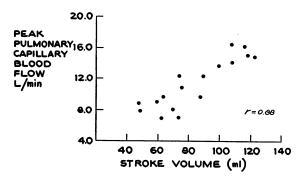


FIG. 4. RELATIONSHIP BETWEEN PEAK PULMONARY CAP-ILLARY BLOOD FLOW AND STROKE VOLUME.

DISCUSSION

Theoretically, the uptake of N₂O from the lungs is proportional to the blood flow through the pulmonary capillaries.¹ In normal persons, in whom there is only a small "physiological" rightto-left shunt, the N2O and other foreign-gas methods yield values which closely approximate the total cardiac output as measured by the direct Fick and indicator dilution techniques (10, 11, 13). The use of a foreign-gas method has the advantage of not requiring cardiac catheterization, injections, or chemical analysis of blood. Certain other considerations make foreign-gas methods especially advantageous for the study of physiological phenomena in resting man. We have found, for example, that stroke volume measurements are less variable than are those obtained by the direct Fick or dye dilution methods. This is illustrated in Table II, in which an analysis of the variability of duplicate determinations of cardiac output and stroke volume, reported by Holmgren and Pernow who used the direct Fick method, is compared with a similar analysis of duplicate determinations made during the present study (14). We have attributed the reduced variability with the N₂O method to absence of the psychic effects of pain and apprehension (2, 15). The chief value of this method, however, is that it permits an estimate of instantaneous flow in the pulmonary capillaries.

Our data demonstrate the well known changes in stroke volume and cardiac output that accompany changes in posture (1–8). These changes may be regarded as passive phenomena resulting from alterations in the distribution of blood volume. It has been shown, for example, that a change from lying to sitting or standing increases venous pressure and causes vascular dilatation in the legs and perhaps elsewhere, depending on the compliance of the vessels (16, 17).

When an adult stands, up to 500 ml of blood may pool in his legs (6). These findings have led investigators to conclude that stroke volume and cardiac output are reduced in the upright posture because of a reduction in the thoracic blood volume and impaired diastolic filling of the heart (4). This is supported by studies that show a reduction in thoracic blood volume and heart size in the upright posture (18, 19). Other factors that might be partly responsible for the postural changes in cardiac output and stroke volume have not previously been assessed. These include alterations in the position of the heart and great vessels, reorientation of the vestibular apparatus, and proprioceptive influences from the periphery. Our studies showed that changes in stroke volume and cardiac output that accompany changes in position can be prevented by minimizing shifts in blood volume. This indicates that any other effects of posture are of little importance as far as cardiac output and stroke volume are concerned. These findings extend the observations of Asmussen, Christensen and Nielsen (12), who found that the changes in the diuretic response to a water load, blood pressure, and pulse rate resulting from tilting could be prevented by inflating pneumatic cuffs around the thighs before tilting. Our observations are similar to those of Warren,

TABLE II

A comparison between the reproducibility of duplicate determinations of cardiac output and stroke volume obtained by direct Fick and by the nitrous oxide technique *

	Direct Fick [Holmgren and Pernow (14)]				Nitrous oxide (Present study)			
	n	$\bar{\mathbf{x}}$	±SD	±SD (% X)	n	$\overline{\mathbf{x}}$	±SD	±SD (% X)
Cardiac output, L/min	17	7.7	0.89	11.6	30	4.6	0.34	7.4
Stroke volume, ml	17	84	10.2	12.1	30	68	5.0	7.3

^{*}n=Number of pairs; \overline{X} =mean; SD=standard deviation; SD ($(\%, \overline{X})$)=standard deviation expressed as a percentage of the mean.

¹ The term "capillaries" is used here to refer to blood vessels into which N₂O passes from the alveoli, although these may not meet the anatomical criteria for capillaries.

Weissler and Leonard, who studied the effects of changes in "central blood volume" on the cardiac response to atropine (8).

Because of the low pressure of the pulmonary circulation, the hydrostatic effects of posture have special hemodynamic significance. This has been clearly demonstrated by the use of radioactive CO₂ (9). Such studies have indicated that in the upright posture there are large differences in blood flow to different parts of the lung, the apexes receiving less than other parts. regional differences disappear in the horizontal posture. Reported studies of instantaneous pulmonary capillary blood flow indicate that the pattern of flow is pulsatile (10, 11). These studies, however, were performed when the subjects One might postulate, therefore, were sitting. that the pulsation of flow observed under these circumstances is a result of intermittent flow through the upper regions of the lung that occurs only at the time of peak driving pressure, whereas the flow through the capillaries at the bases of the lungs is constant throughout the cardiac cycle. Our observations indicate clearly that flow is pulsatile in both the upright and horizontal posture and that the pulsations thus cannot be due, to any large extent, to the hydrostatic effect of the upright posture. Posture, however, does influence the amplitude of the pulsations. This effect appears to be related to the coincident changes in stroke volume and not to the posture alone since, when stroke volume is kept constant by minimizing shifts in blood volume, posture has little effect on the pulsations.

SUMMARY AND CONCLUSIONS

The effects of posture and distribution of blood volume on cardiac output, stroke volume, and instantaneous pulmonary capillary blood flow were studied in normal male subjects by a modification of the N₂O technique. The results indicate that the changes in cardiac output and stroke volume that ordinarily accompany changes in posture can be prevented if shifts in blood volume are prevented. The results support the contention that the thoracic blood volume is an important determinant of the stroke output of the heart in normal resting man. Blood flow through the pulmonary capillaries is pulsatile in both the upright and

horizontal postures. The amplitude of the pulsations is increased in the horizontal posture. This increase is related to coincident changes in stroke volume and not to the posture itself.

REFERENCES

- 1. McMichael, J., and Sharpey-Schafer, E. J. Cardiac output in man by a direct Fick method. Effects of posture, venous pressure change, atropine, and adrenaline. Brit. Heart J. 1944, 6, 33.
- Stead, E. A., Jr., Warren, J. V., Merrill, A. J., and Brannon, E. S. The cardiac output in male subjects as measured by the technique of right atrial catheterization. Normal values with observations on the effect of anxiety and tilting. J. clin. Invest. 1945, 24, 326.
- Donald, K. W., Bishop, J. M., Cumming, G., and Wade, O. L. The effect of nursing positions on the cardiac output in man, with a note on repeatability of measurements of cardiac output by direct Fick method and with data on subjects with normal cardiovascular systems. Clin. Sci. 1953, 12, 199.
- Bevegård, S., Holmgren, A., and Jonsson, B. The effect of body position on the circulation at rest and during exercise, with special reference to the influence on stroke volume. Acta physiol. scand. 1960, 49, 279.
- Lagerlöf, H., Eliasch, H., Werkö, L., and Berglund, E. Orthostatic changes of pulmonary and peripheral circulation in man; preliminary report. Scand. J. clin. Lab. Invest. 1951, 3, 85.
- 6. Sjöstrand, T. Volume and distribution of blood and their significance in regulating the circulation. Physiol. Rev. 1953, 33, 202.
- Sjöstrand, T. Determinations of changes in the intrathoracic blood volume in man. Acta physiol. scand. 1951, 22, 114.
- Warren, J. V., Weissler, A. M., and Leonard, J. J. Observations on the determinants of cardiac output. Trans. Ass. Amer. Phycns 1957, 70, 268.
- West, J. B., and Dollery, C. T. Distribution of blood flow and ventilation-perfusion ratio in the lung, measured with radioactive carbon dioxide. J. appl. Physiol. 1960, 15, 405.
- Lee, G. de J., and DuBois, A. B. Pulmonary capillary blood flow in man. J. clin. Invest. 1955, 34, 1380.
- Wasserman, K., and Comroe, J. H., Jr. A method for estimating instantaneous pulmonary capillary blood flow in man. J. clin. Invest. 1962, 41, 401.
- Asmussen, E., Christensen, E., and Nielsen, M. Über die Kreislaufinsuffizienz in stehender Stellung bei normalen arteriellen Druck und herabgesetztem Minutenvolumen. Skand. Arch. Physiol. 1939, 81, 214.
- 13. Asmussen, E., and Nielsen, M. The cardiac ouput in rest and work determined simultaneously by

- acetylene and the dye injection methods. Acta physiol. scand. 1952, 27, 217.
- Holmgren, A., and Pernow, B. The reproducibility of cardiac output determination by the direct Fick method during muscular work. Scand. J. clin. Lab. Invest. 1960, 12, 224.
- Hickam, J. B., Cargill, W. H., and Golden, A. Cardiovascular reactions to emotional stimuli. Effect on the cardiac output, arteriovenous oxygen difference, arterial pressure, and peripheral resistance. J. clin. Invest. 1948, 27, 290.
- 16. Walker, A. J., and Longland, C. J. Venous pressure measurement in the foot in exercise as an aid to

- investigation of venous disease in the leg. Clin. Sci. 1950, 9, 101.
- Page, E. B., Hickam, J. B., Sieker, H. O., McIntosh, H. D., and Pryor, W. W. Reflex venomotor activity in normal persons and in patients with postural hypotension. Circulation 1955, 11, 262.
- Weissler, A. M., McCraw, B. H., and Warren, J. V. Pulmonary blood volume determined by a radioactive tracer technique. J. appl. Physiol. 1959, 14, 531.
- Holmgren, A., and Ovenfors, C. O. Heart volume at rest and during muscular work in the supine and sitting position. Acta med. scand. 1960, 167, 267.

IMPORTANT NOTICE

THE EDITORIAL AND BUSINESS OFFICES OF THE JOURNAL OF CLINICAL INVESTIGATION

WILL MOVE ON

MAY 1, 1962

OLD ADDRESS: THE JOURNAL OF CLINICAL INVESTIGATION
333 CEDAR STREET
NEW HAVEN 11, CONNECTICUT

NEW ADDRESS: THE JOURNAL OF CLINICAL INVESTIGATION 10 STOUGHTON STREET BOSTON 18, MASSACHUSETTS